

# Updates on $W_R$ and HNL Search In a $\tau_h \tau_\ell + \text{jets}$ Final State

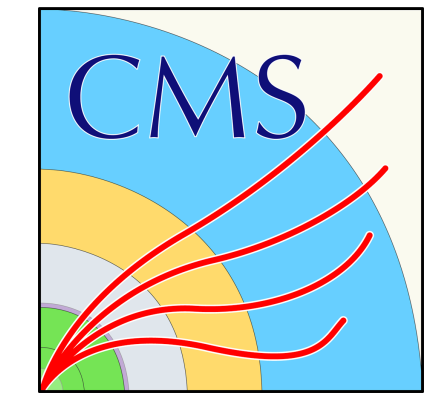
**Exotica Jets+X Meeting**

**9th Sep. 2024**

**Youngwan Kim**<sup>1</sup>, Sihyun Jeon<sup>2</sup>, Un-ki Yang<sup>1</sup>, John Almond<sup>1</sup>, Michael Krohn<sup>3</sup>, Billy Jackson<sup>3</sup>, Sean Poczos<sup>3</sup>, Jeremy Mans<sup>3</sup>

1 : Seoul National University , 2 : Boston University, 3 : University of Minnesota

# Analysis Status Overview



- Documentation
  - AN-23-001 (v4)
    - Sent out to conveners for the first time before this presentation
- Presentations
  - Exotica MC&I (14th Feb. 2023)
  - Exotica Jets+X (13th May 2024)
- Updates
  - Nonprompt background estimation method following EXO-19-016 fake factor method
  - Including major systematic sources
  - Used newly processed 2018 signal samples
    - **This presentation mainly focuses on 2018 results**
  - 2016,2017 has similar overall picture ; relevant plots included in backups

\* Slides with updates since last Jets+X presentation will include a box : **Updated**

Available on the CMS information server

**CMS AN-23-001**

## CMS Draft Analysis Note

*The content of this note is intended for CMS internal use and distribution only*

2024/09/06

Archive Hash: untracked

Archive Date: 2024/09/06

Search for  $W_R$  decaying into a heavy neutral lepton in a  $\tau_h \tau_l + \text{jets}$  final state

Youngwan Kim<sup>\*,1</sup>, Sihyun Jeon<sup>2</sup>, John Leslie Almond<sup>1</sup>, and Un-ki Yang<sup>1</sup>

<sup>1</sup> Seoul National University

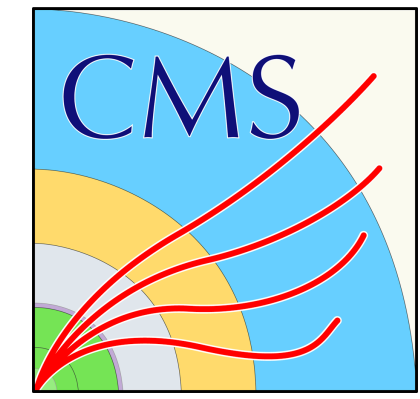
<sup>2</sup> Boston University

\*Primary author

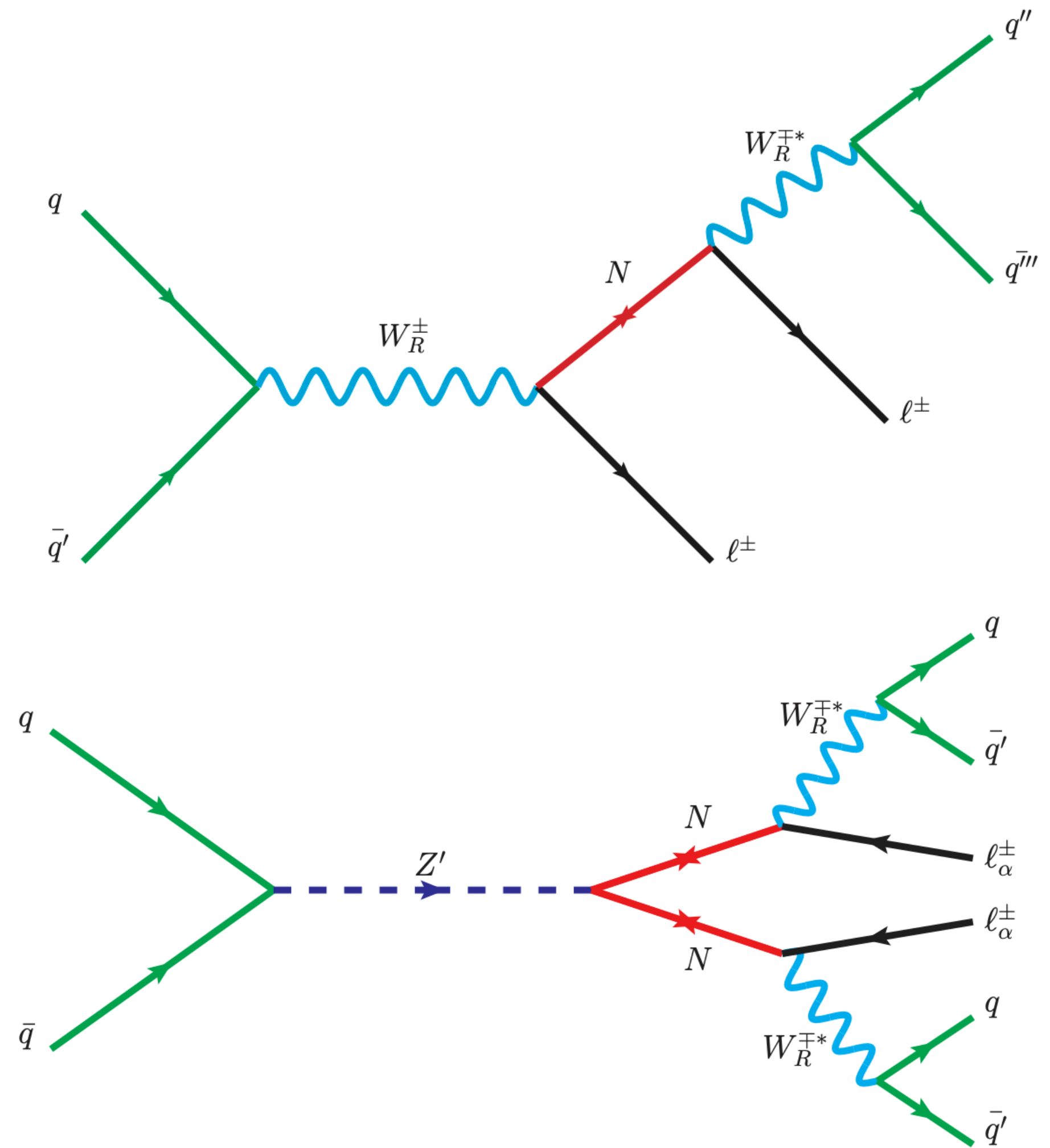
**CMS AN-23-001**

# Introduction

## Motivation

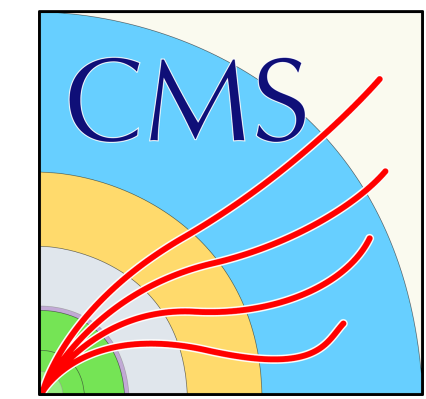


- Unsolved mysteries with neutrinos
  - Non-zero neutrino mass from oscillation observations
  - Nature of neutrino mass also yet unknown
    - All unexplainable within the bounds of the SM
- Left-Right Symmetric Model (LRSM)
  - Requires a new SU(2) symmetry between left handed and right handed particles
  - Such symmetry introduces new “right handed gauge bosons” ( $W_R, Z_R$ )
  - Predicts the existence of heavy right-handed neutrinos ( $N$ )
  - Explains the SM neutrino mass problem via the seesaw mechanism.



# $W_R$ Searches in CMS

## Overview



- $W_R$  and Heavy  $N$  searches since Run 2 :

- LQ+LRSM inclusive search in  $\tau$  channels :

- EXO-16-016 :  $\tau_h \tau_h + \text{jets}$  (2015 data,  $2.1 \text{ fb}^{-1}$ )

(doi:[10.1007/JHEP03\(2017\)077](https://doi.org/10.1007/JHEP03(2017)077))

- EXO-16-023 :  $\tau_\ell \tau_h + \text{jets}$  (2016 data,  $12.9 \text{ fb}^{-1}$ )

(doi:[10.1007/JHEP07\(2017\)121](https://doi.org/10.1007/JHEP07(2017)121))

- EXO-17-016 :  $\tau_\ell \tau_h + \text{jets}$  (2016 data,  $35.9 \text{ fb}^{-1}$ )

(doi:[10.1007/JHEP03\(2019\)170](https://doi.org/10.1007/JHEP03(2019)170))

- LRSM only search in  $ee/\mu\mu$  channels :

- EXO-17-011 :  $ee/\mu\mu + \text{jets}$  (2016,  $35.9 \text{ fb}^{-1}$ )

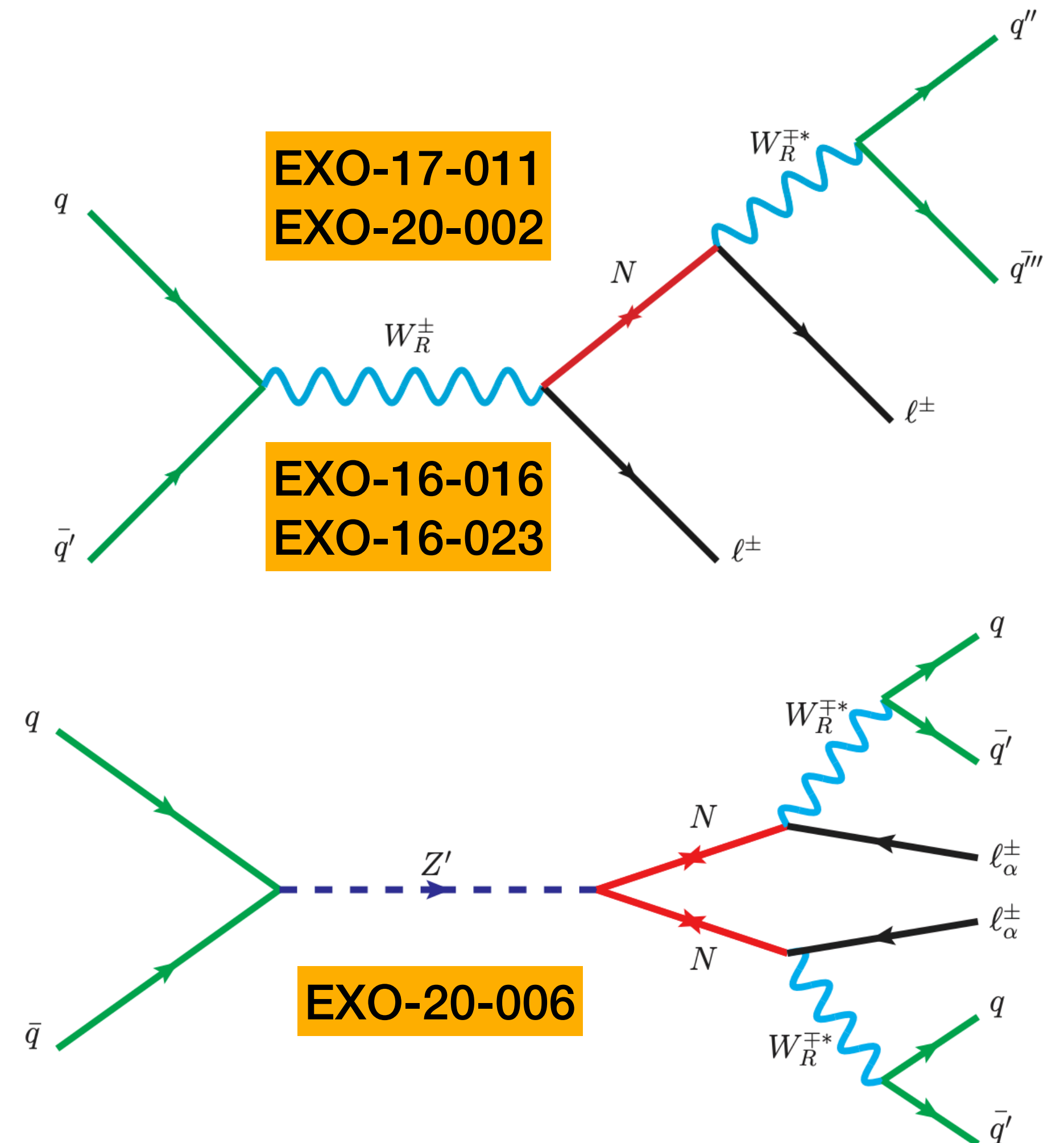
(doi:[10.1007/JHEP05\(2018\)148](https://doi.org/10.1007/JHEP05(2018)148))

- EXO-20-002 :  $ee/\mu\mu + \text{jets}$  (RunII,  $137 \text{ fb}^{-1}$ )

(doi:[10.1007/JHEP04\(2022\)047](https://doi.org/10.1007/JHEP04(2022)047))

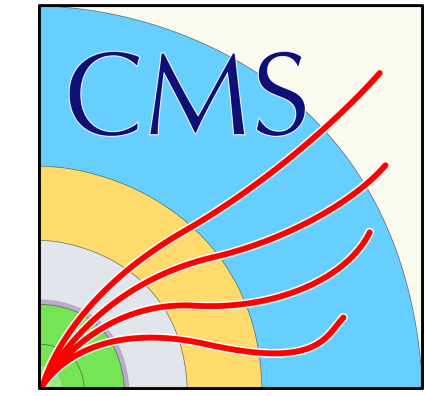
- EXO-20-006 :  $ee/\mu\mu + \text{jets}$  (RunII,  $137 \text{ fb}^{-1}$ ) (the only  $Z'$  induced search)

(doi:[10.1007/JHEP11\(2023\)181](https://doi.org/10.1007/JHEP11(2023)181))



# $W_R$ Searches in CMS

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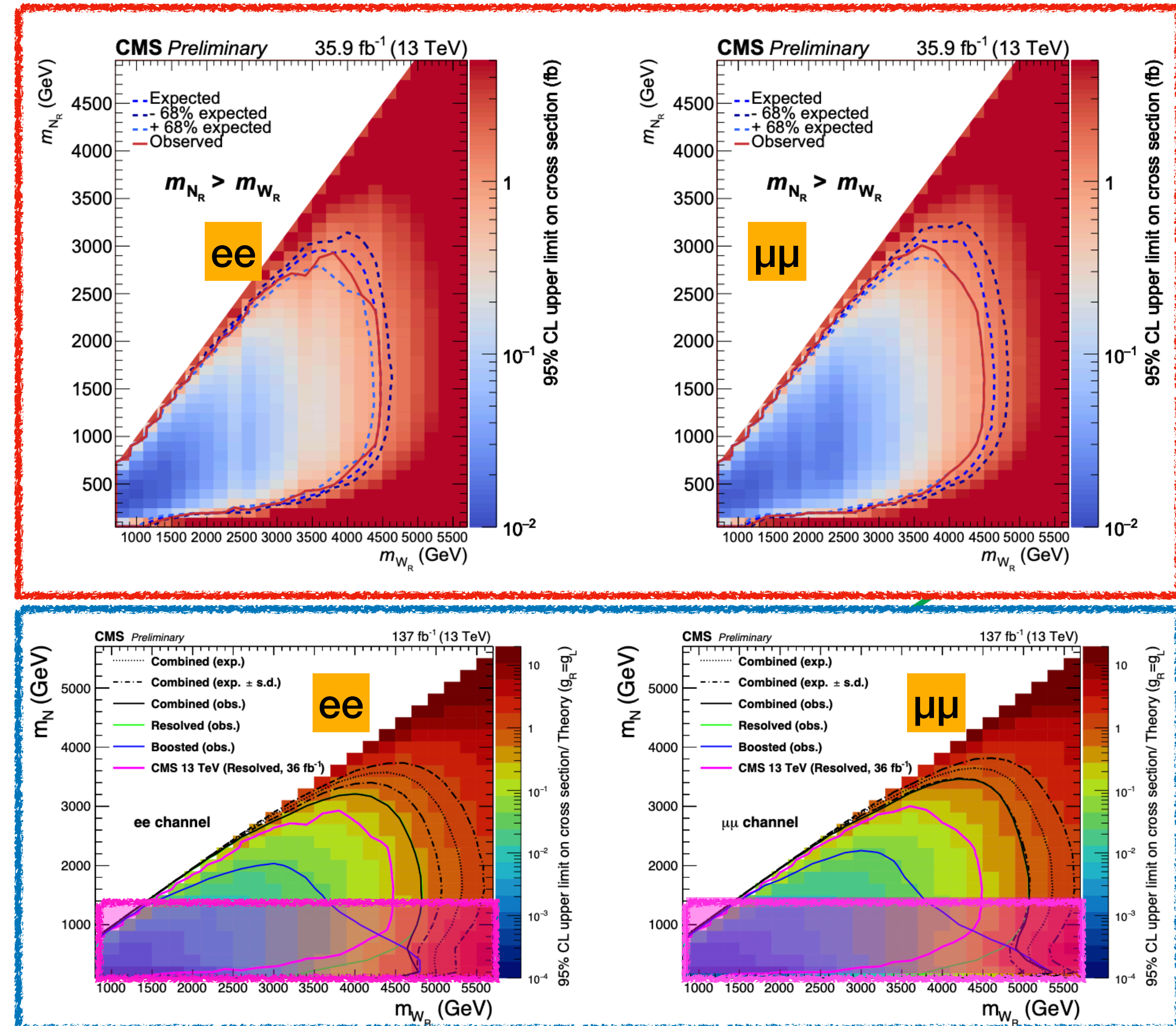
- **EXO-20-002** :  $ee/\mu\mu + \text{jets}$  (RunII,  $137 \text{ fb}^{-1}$ )

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- **EXO-20-006** :  $ee/\mu\mu + \text{jets}$  (RunII,  $137 \text{ fb}^{-1}$ ) (the only  $Z'$  induced search)

(doi:10.1007/JHEP11(2023)181)

With similar analogy, trying to improve similar phase space region in tau analysis



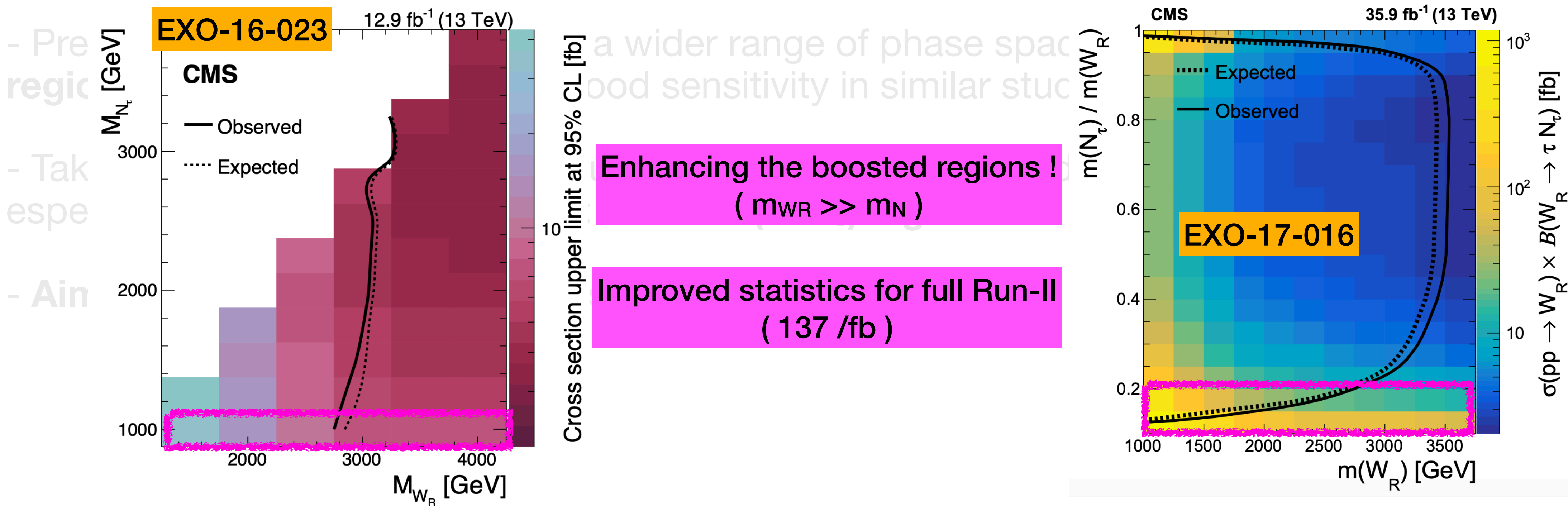
Improvement in regions with  $m_{W_R} \gg m_N$  !

# Analysis Motivation

## Search Strategy

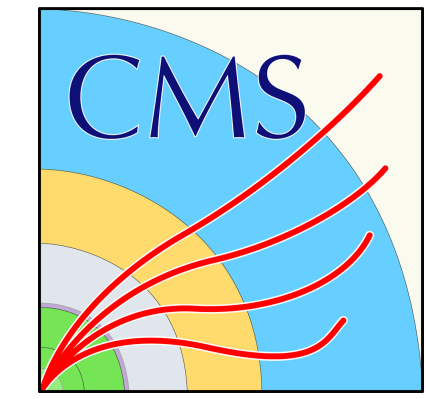


- Adding more sensitivity for  $W_R$  search in tau channels in the  $m_{WR} \gg m_N$  region.
- Trying to add sensitivity to boosted region with  $m_{WR} \gg m_N$  also for the tau channels.



# Analysis Motivation

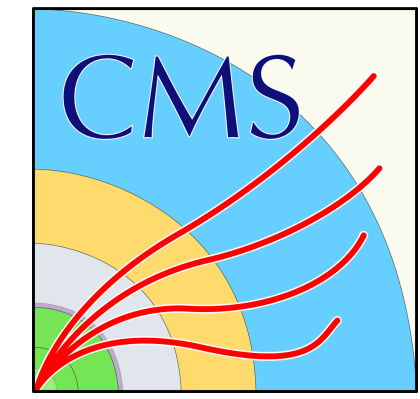
## Search Strategy



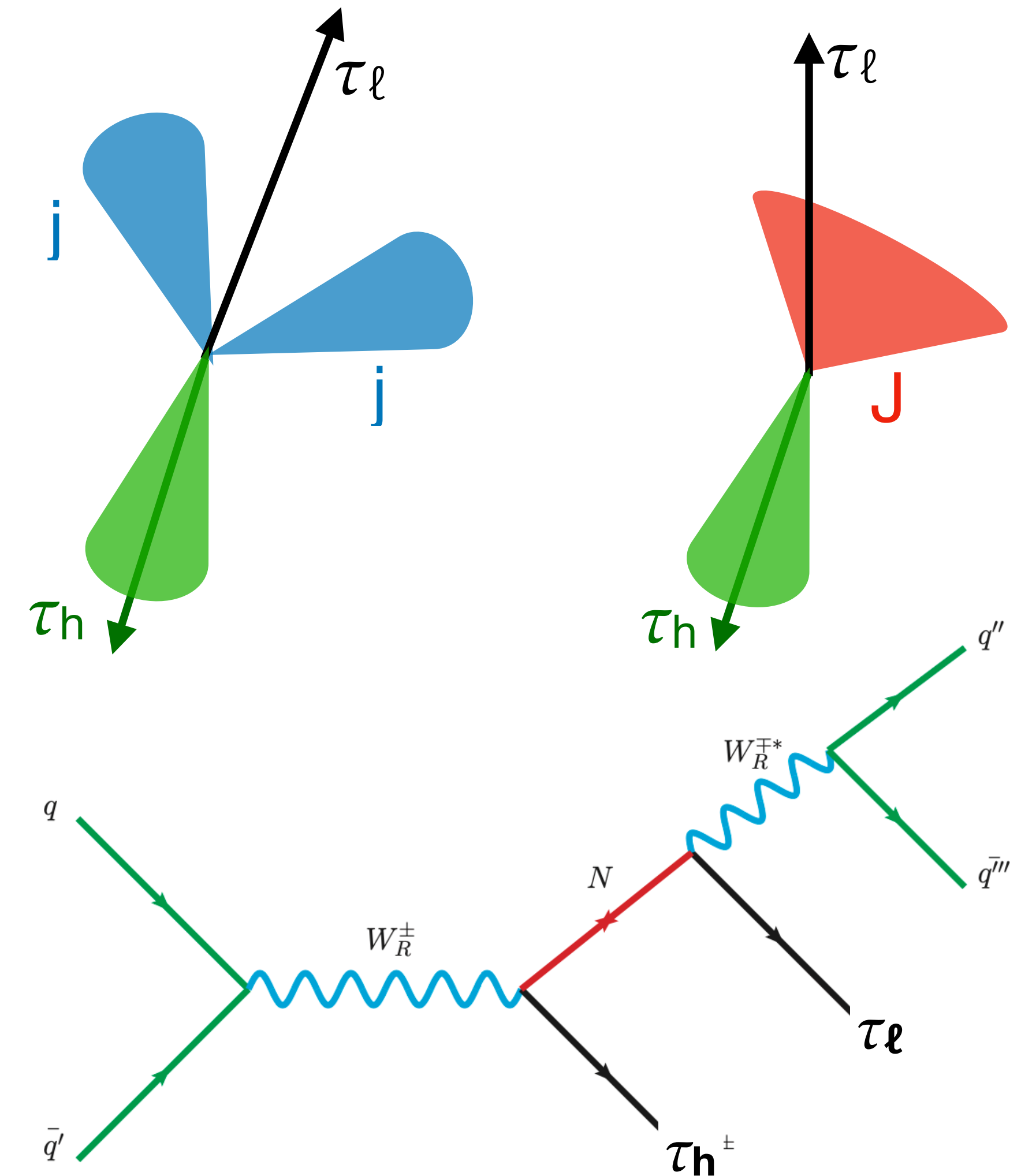
- Adding more sensitivity for  $W_R$  search in tau channels in the  $m_{WR} \gg m_N$  region.
  - Trying to add sensitivity to boosted region with  $m_{WR} \gg m_N$  also for the tau channels.
  - Previous study was able to scan a wider range of phase space, especially for the **boosted regions** which was not showing good sensitivity in similar studies before.
  - Applying lessons learned from EXO-20-002 by taking advantage from jet substructures with leptons merged inside a boosted fatjet, from especially using the **lepton subjet fraction (LSF<sub>3</sub>) algorithm**.
  - **Aiming to set 2D limits on cross sections on the  $m_{WR}, m_N$  mass plane.**

# Signals

## Final Objects

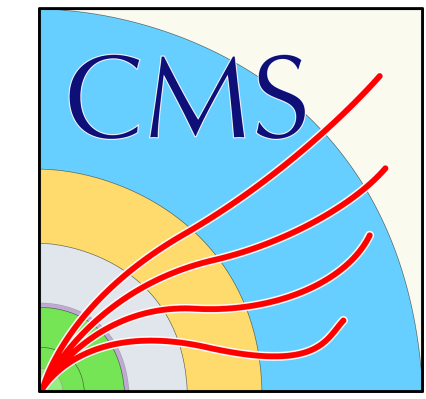


- Target channel
  - $\mathbf{p\,p} > \tau_h \mathbf{N}, \mathbf{N} > \tau_\ell \mathbf{j\,j}$  is targeted order to mimic the previous study utilizing LSF algorithms  
( $\tau_h$ : hadronic tau,  $\tau_\ell$ : leptonic tau)
- Final state objects
  - Isolated  $\tau_h$  & leptons + jets (back to back)
  - Kinematics of final state objects differ dramatically by the ratio of  $W_R$  and  $N$  mass
    - **Resolved** : leptonic tau near 2 AK4 jets ( $m_{WR} \sim m_N$ )
    - **Boosted** : leptonic tau inside AK8 jet with bad isolation ( $m_{WR} \gg m_N$ )



# Signals

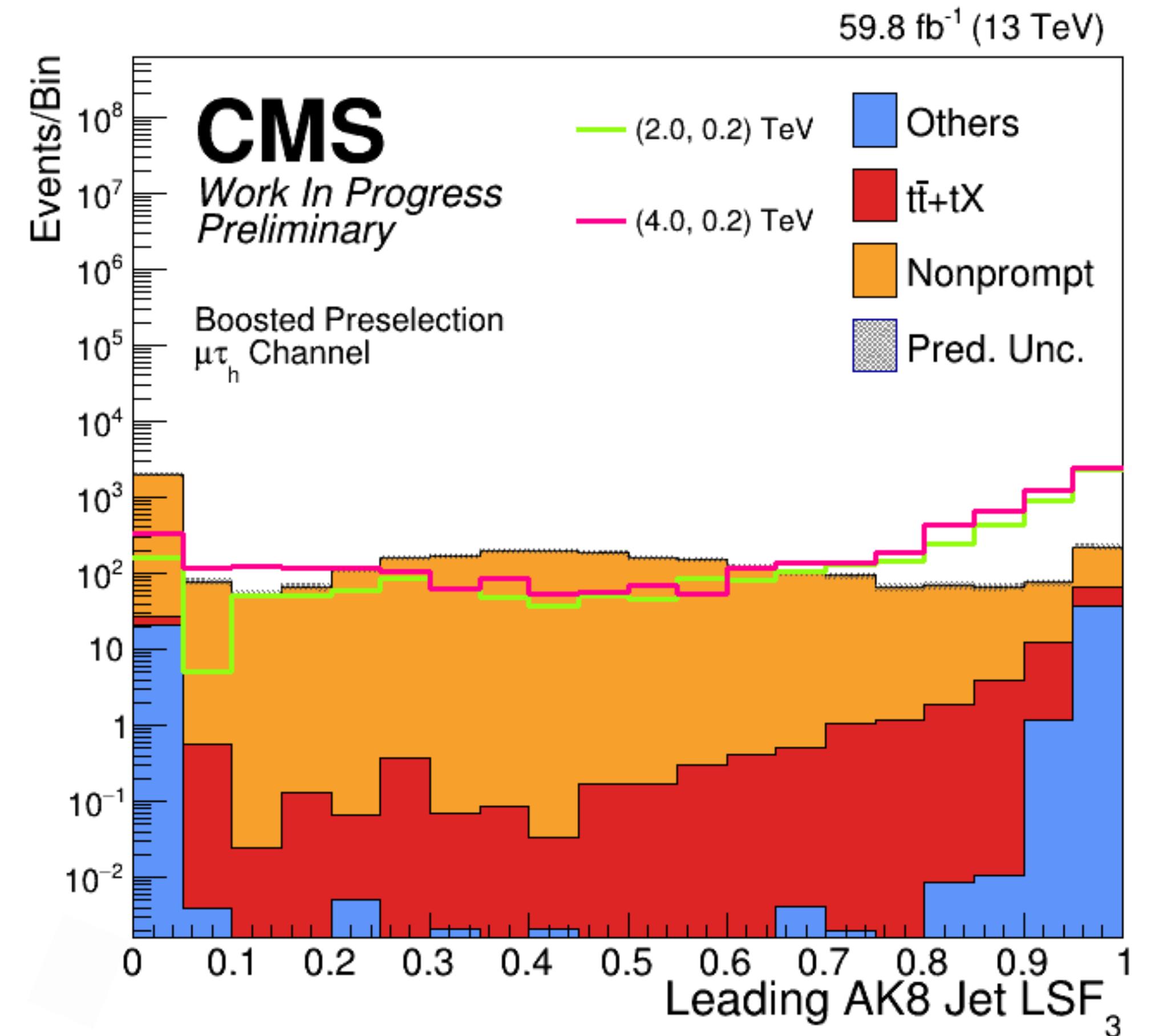
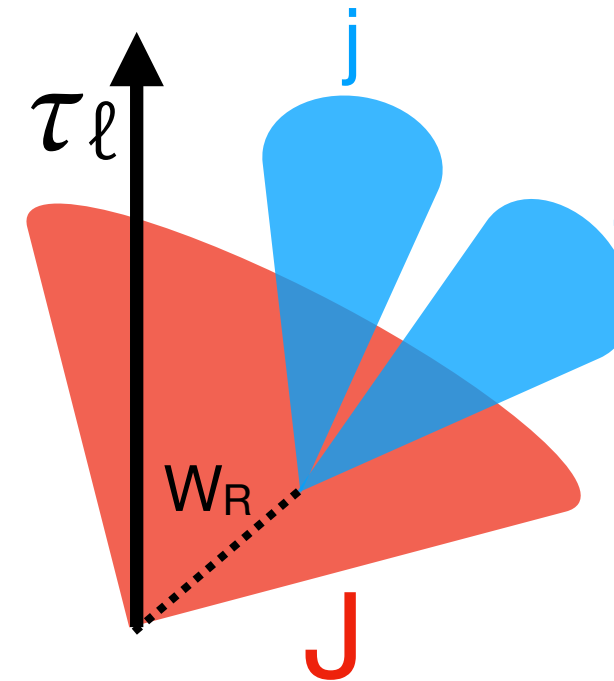
## Lepton Subjet Fraction



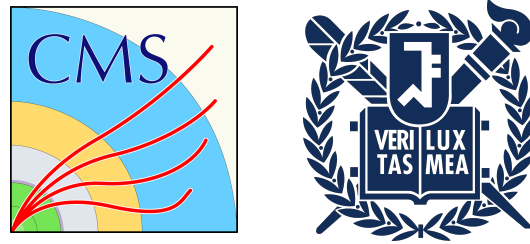
- Lepton Subjet Fraction ( $LSF_3$ )

[doi:10.1007/JHEP04(2015)079]

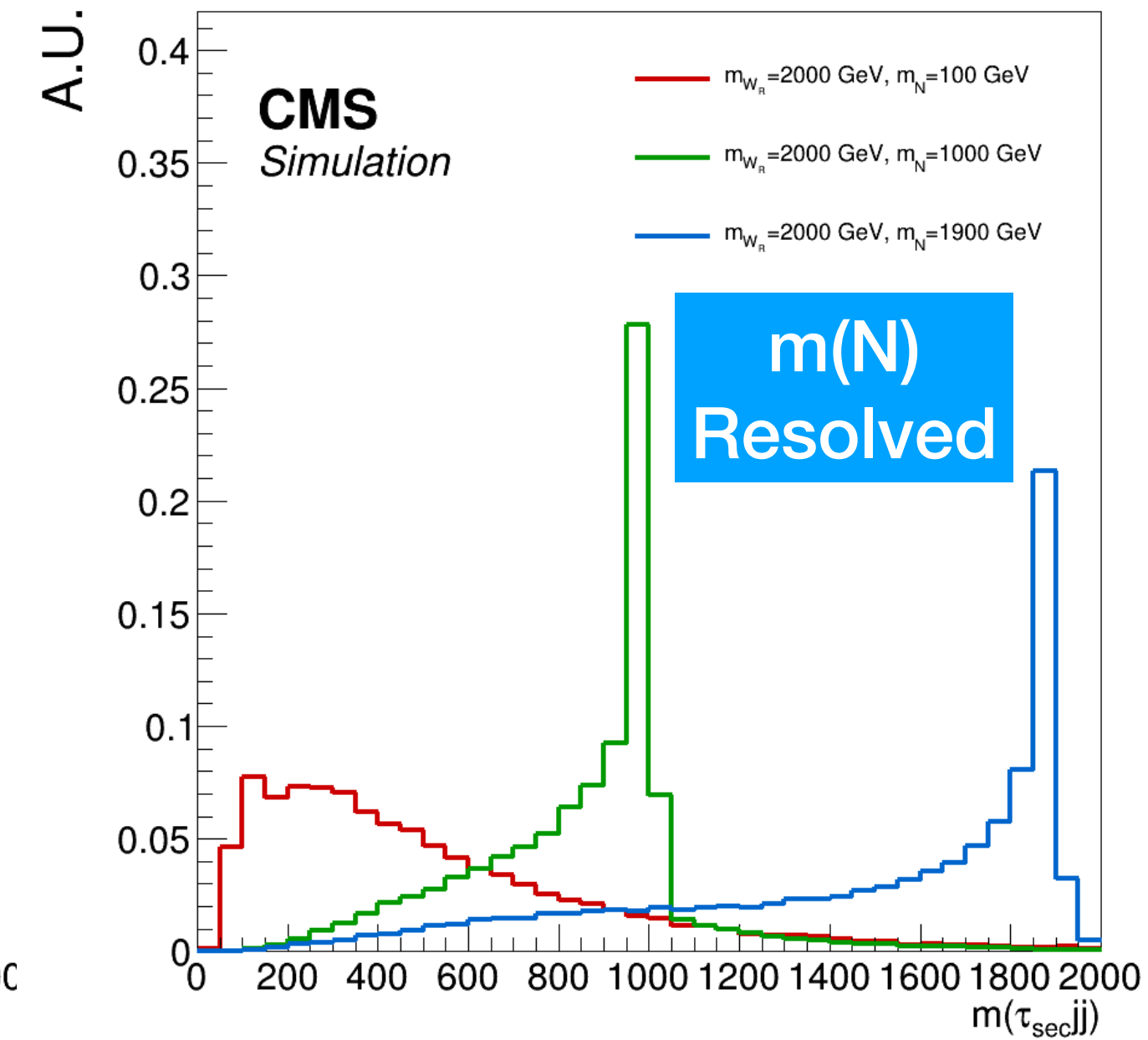
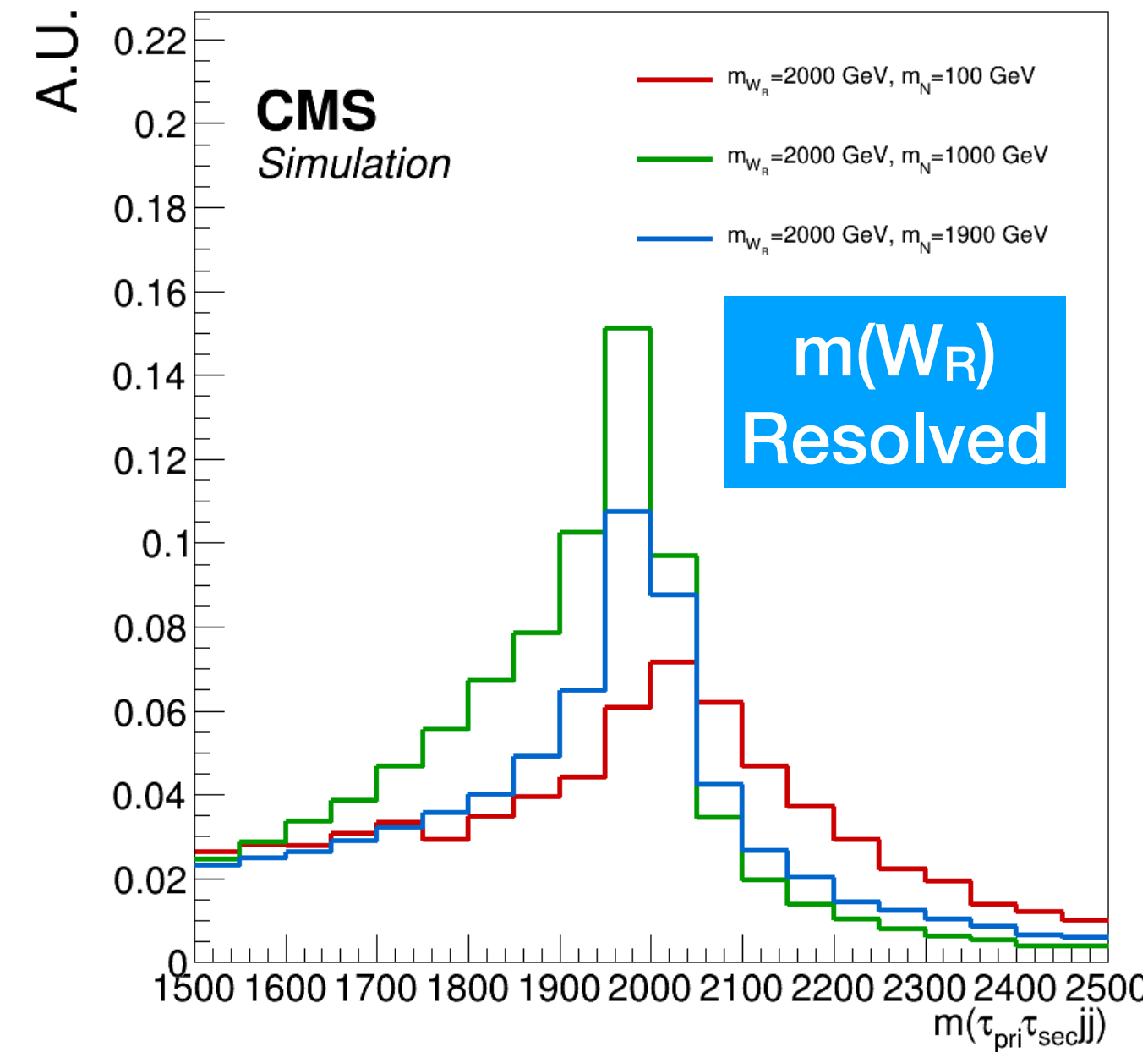
- Variable devised to distinguish fat jets that are likely to contain a lepton :
- For a given fat jet, constituents are clustered into 3 subjets using the exclusive kT algorithm
- Between all pair of particles, cluster them with minimum distance  $d_{ij} = \min(p_{T,i}, p_{T,j}) R_{ij}$  into a single subjet until only 3 are left
- Doing so, all leptons in the event will be associated with a subjet
- LSF is then defined by the  $p_T$  ratio of the lepton to the associated subjet



# Signal Kinematics

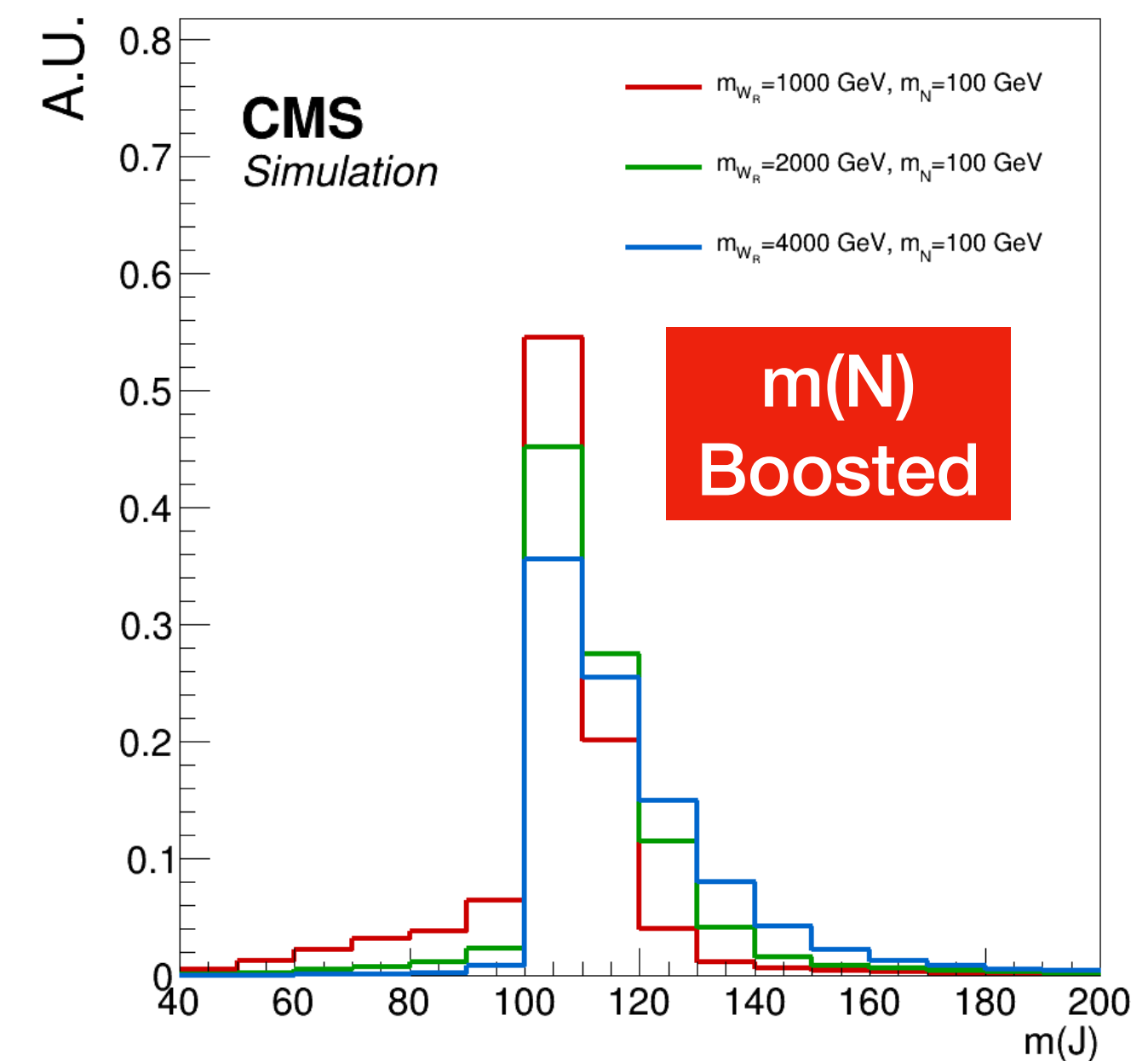
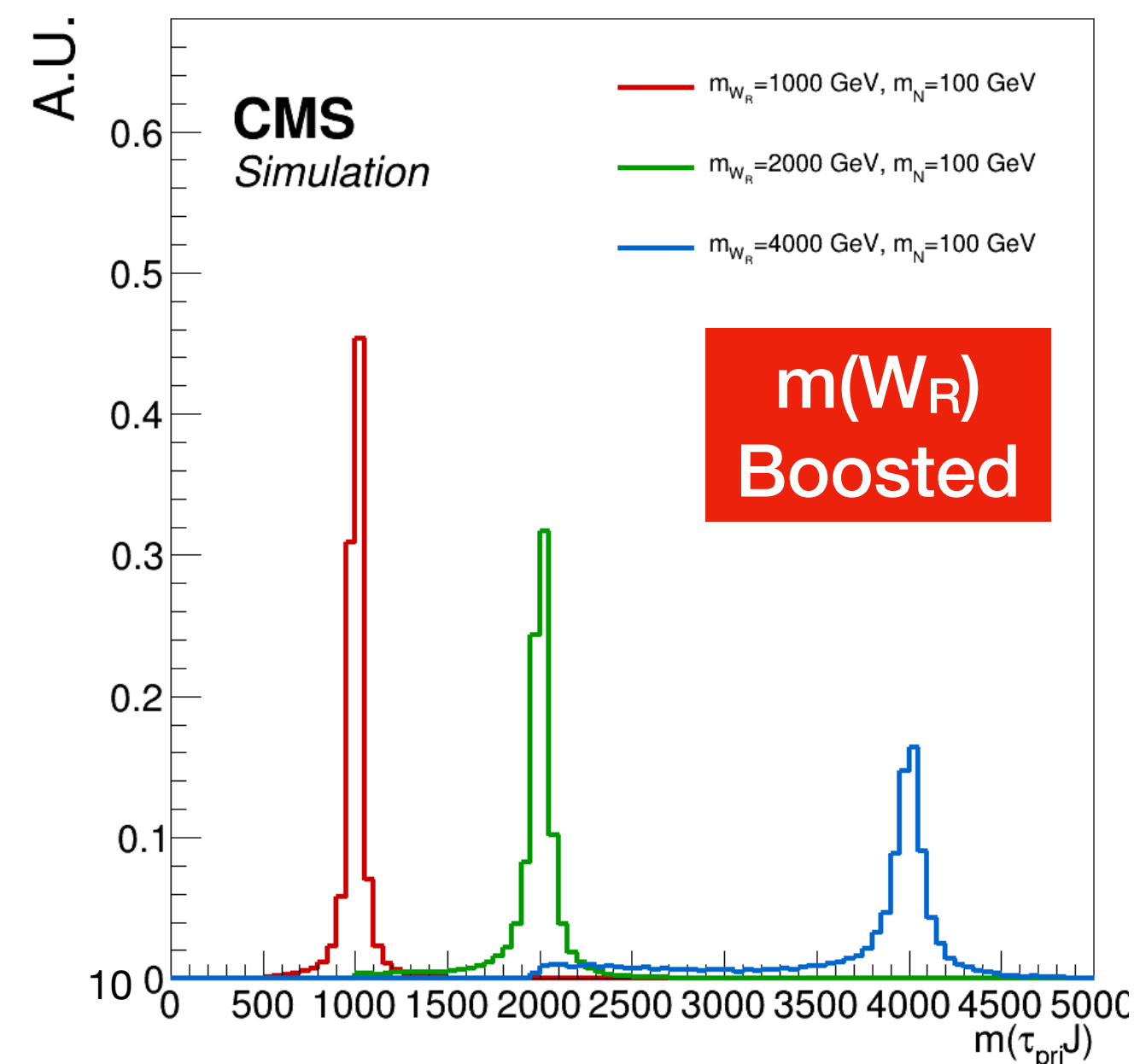


- **Resolved** region legend :
  - $m_{WR} = 2 \text{ TeV}$
  - $m_N = 100, 1000, 1900 \text{ GeV}$



- **Boosted** region legend :
  - $m_{WR} = 1, 2, 4 \text{ TeV}$
  - $m_N = 100 \text{ GeV}$

(Using mass points having more sensitivity in the boosted selection ;  $m_{WR} \gg m_N$  )



# Objects

## Definition



- Muon
  - $p_T > 50 \text{ GeV}$  ,  $|\eta| < 2.4$
  - Tight ID : POG High  $p_T$  & Tracker isolation  $< 0.1$
  - Loose ID : POG High  $p_T$

Requirement	Loose	Tight
$ \eta $	$< 2.4$	$< 2.4$
$p_T$	$> 53 \text{ GeV}$	$> 53 \text{ GeV}$
ID	HighPt	HighPt
Isolation	-	Relative Tracker Isolation $< 0.1$

- Electron
  - $p_T > 50 \text{ GeV}$  ,  $|\eta| < 2.4$
  - Tight ID : POG cut based loose w/o rellsoWithEA
  - Loose ID : POG HEEP ID

Requirement	Loose	Tight
$ \eta $	$< 2.4$	$< 2.4$
$p_T$	$> 53 \text{ GeV}$	$> 53 \text{ GeV}$
ID	Cut Based Loose without rellsoWithEA	HEEPv7

- Tau
  - $p_T > \text{Trigger safe cut}$  ,  $|\eta| < 2.4$
  - DecayModeNewDM &  $|dZ| < 0.2$
  - DeepTau v2.1 (vJet,vEl,vMu) = (Tight,Tight,Tight)

	2016	2017	2018
Trigger	HLT_VLooseIsoPFTau140_Trk50_eta2p1	HLT_MediumChargedIsoPFTau180HighPtRelaxedIso_Trk50_eta2p1	
Trigger Safe $p_T$ Cut	150 GeV	190 GeV	

# Objects

## Corrections

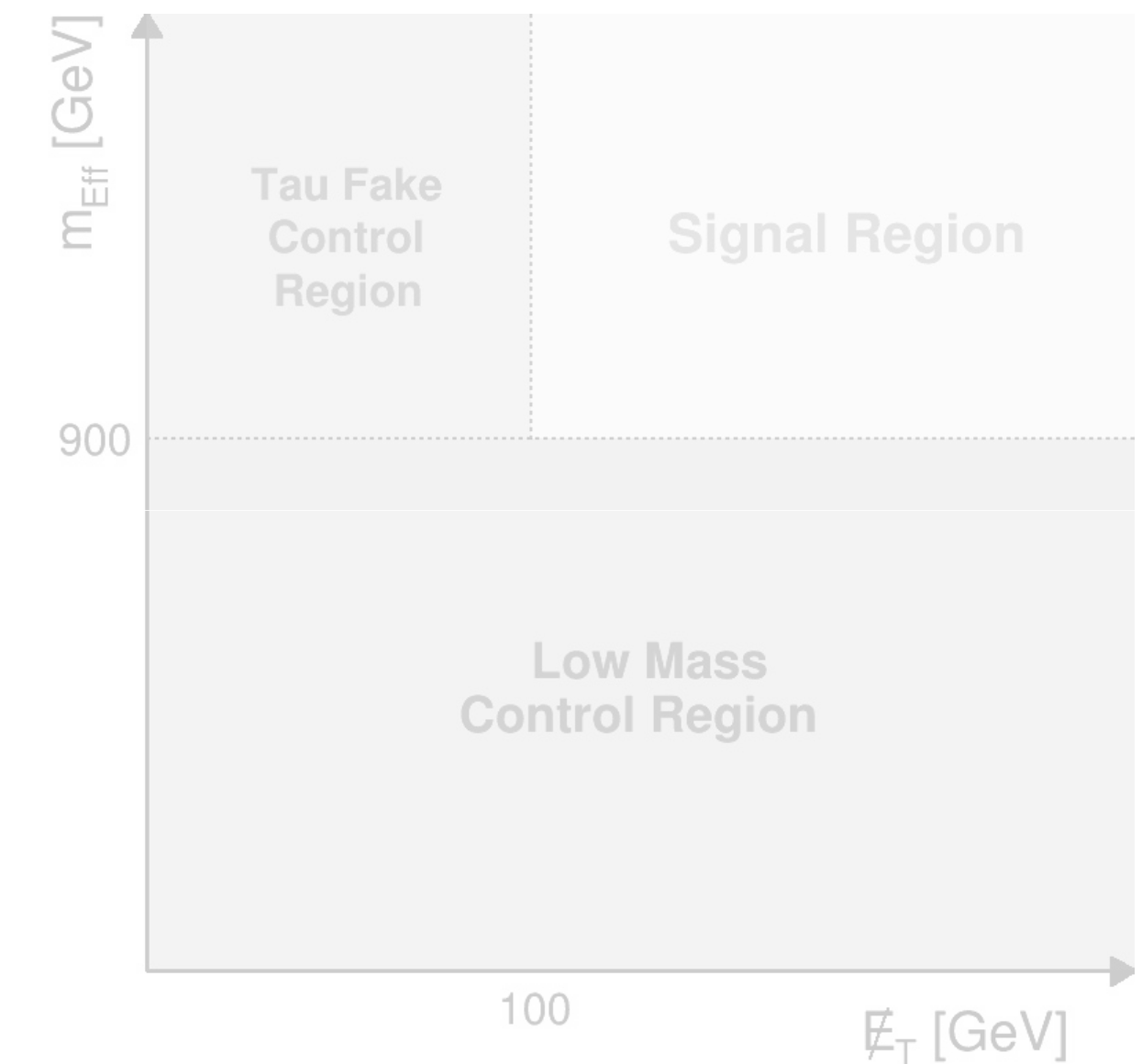
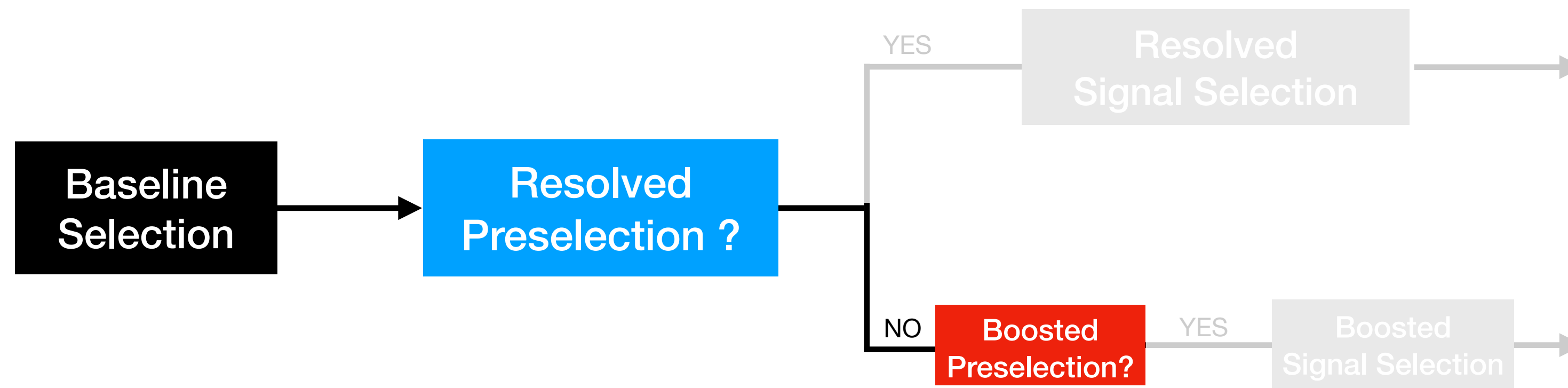
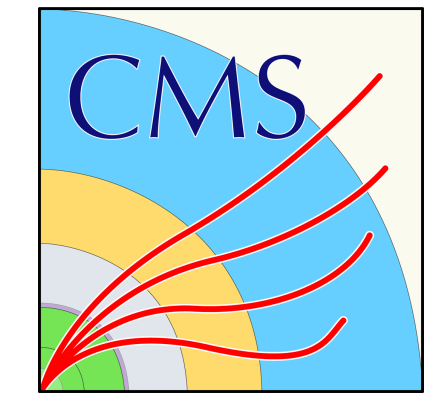


- Event
  - Pileup weight, Trigger SF, L1 Prefire weight
- Muon, Electron
  - Isolation SF , ID SF
- Tau
  - DeepTau ID SF
  - Energy scale
- Fatjet
  - LSF SF (not yet derived for UL)
    - Using prelegacy SFs from EXO-20-002 at the moment
    - Studying compatibility of LSF distributions between UL and prelacy

	2016	2017	2018
Trigger	HLT_VLooseIsoPFTau140_Trk50_eta2p1	HLT_MediumChargedIsoPFTau180HighPtRelaxedIso_Trk50_eta2p1	
Trigger Scale Factor	0.88 ± 0.08	1.08 ± 0.10	0.87 ± 0.11

LSF SF	2016	2017	2018
Electron Fatjet	1.04 (+0.09/-0.08)	1.02 (+0.08/-0.08)	1.05 (+0.07/-0.06)
Muon Fatjet	1.01 (+0.06/-0.06)	0.98 (+0.07/-0.07)	1.04 (+0.06/-0.05)

# Region Selection Definition



## Baseline Selection

- Pass single hadronic tau trigger
- Require at least 1 hadronic tau
- Require exactly 1 loose light lepton

## Resolved Preselection

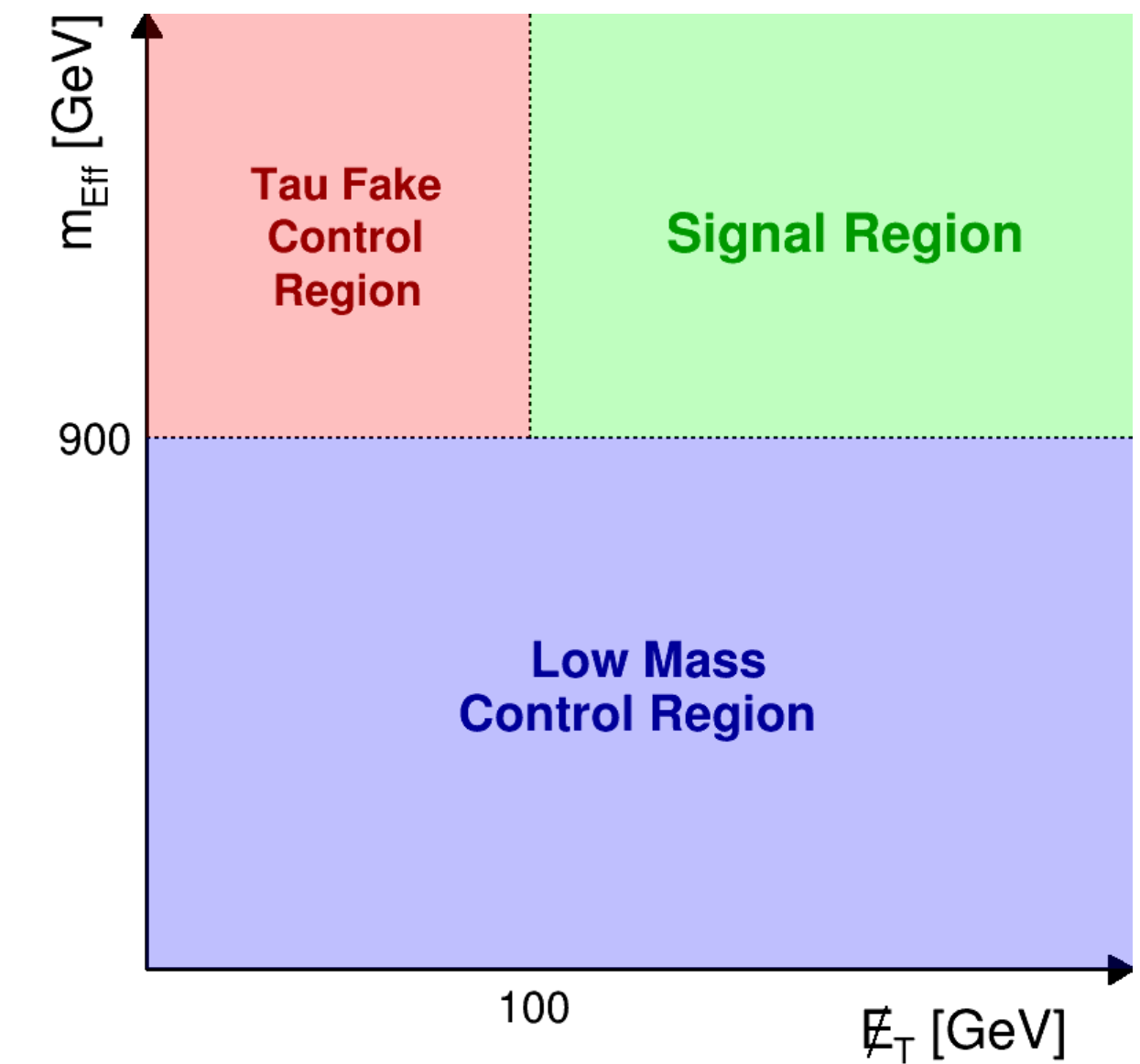
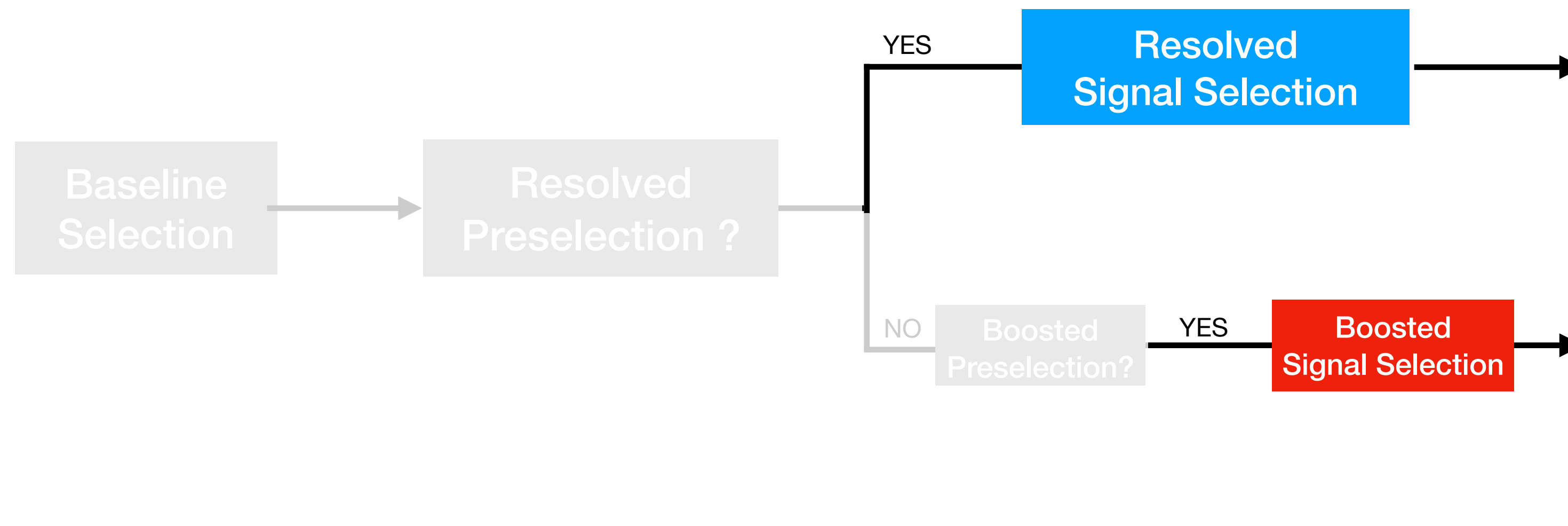
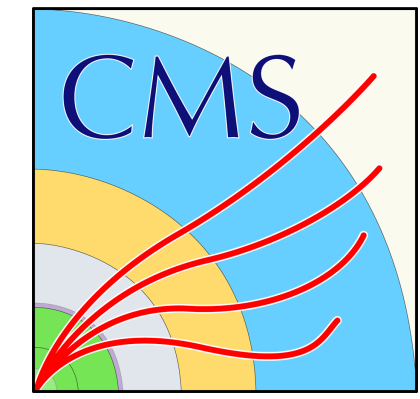
- Passing baseline selection
- Has at least 2 AK4 jets (j)
- Has at least 1 tight lepton

## Boosted Preselection

- Passing baseline selection
- Failing resolved preselection
- Has at least 1 AK8 jet (J)

# Region Selection

## Definition



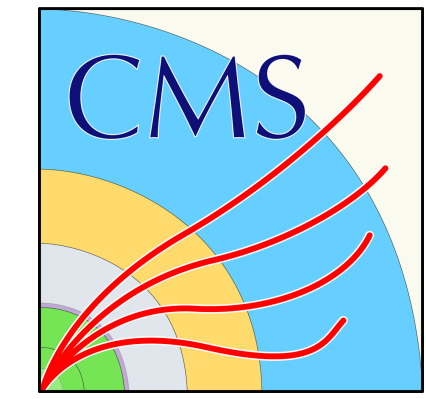
### Resolved Signal Selection

- Passing resolved preselection
- $\Delta R(\text{lepton}, \text{jet}) > 0.4$

### Boosted Signal Selection

- Passing boosted preselection
- $\Delta R(\text{tau}, J) > 2.0$  with  $\text{LSF}(J) > 0.6$
- $\Delta R(\text{lepton}, J) < 0.8$

# Background Estimation Contributions



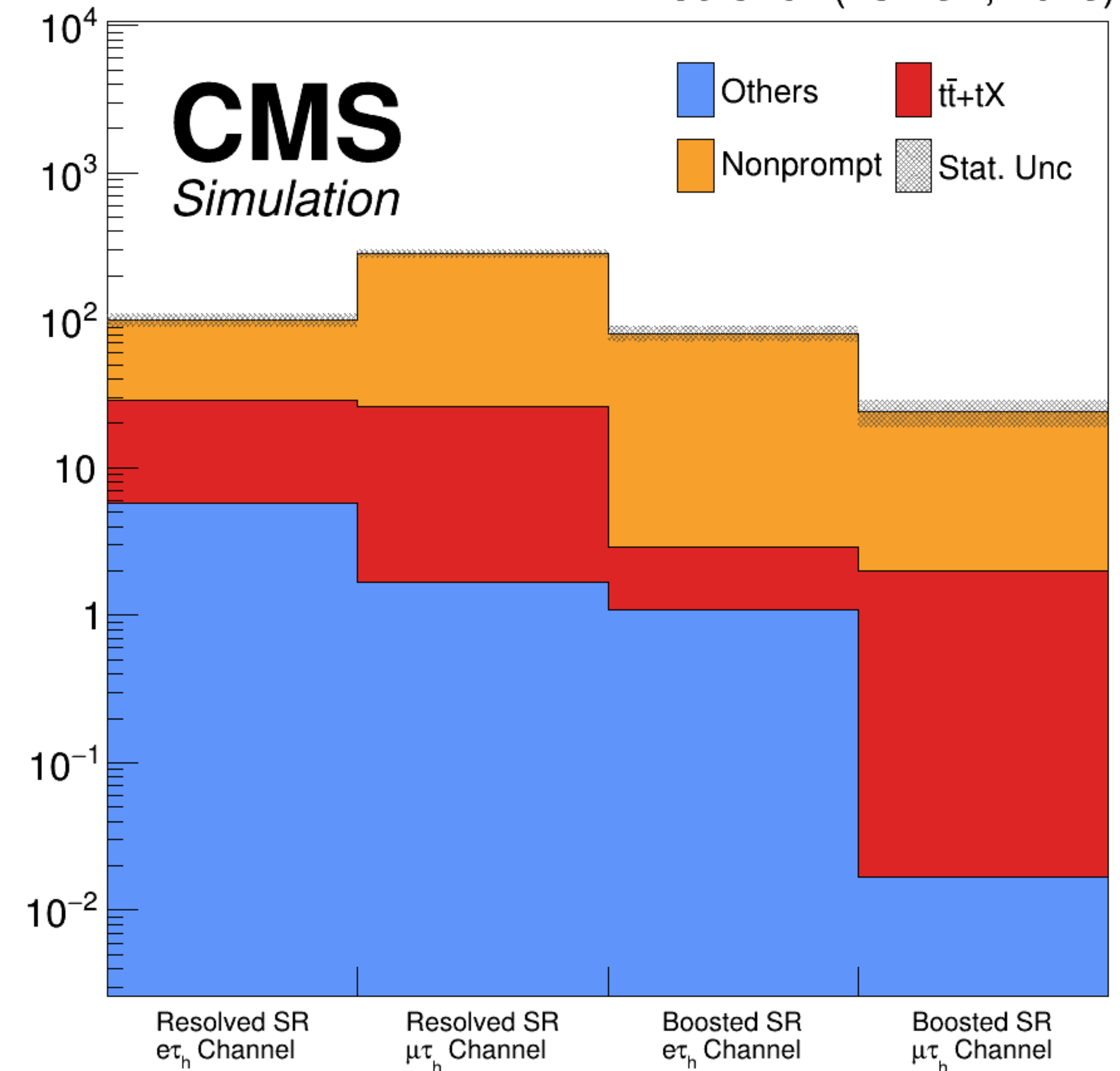
- Prompt contributions

- Top pair, single top processes ( $t\bar{t}+tX$ )
- Multiboson(VV,VVV) processes (Others)

- Nonprompt contributions

- Contributions from “faked” objects
- Mostly from QCD and W,Z+jet processes
- Both hadronic tau and light lepton have fake contributions, where hadronic taus have the biggest non-prompt contribution
  - Hadronic taus : Data-driven estimation
  - Light leptons : MC estimation

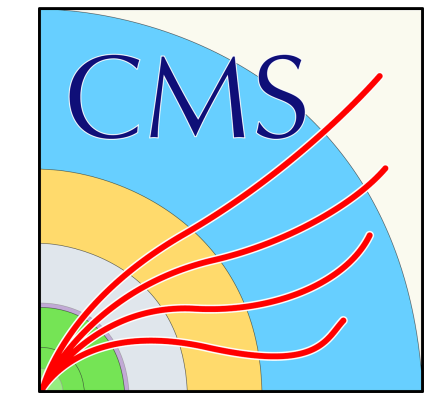
59.8 fb<sup>-1</sup> (13 TeV, 2018)



# Background Estimation

## Fake Factor Method

Updated



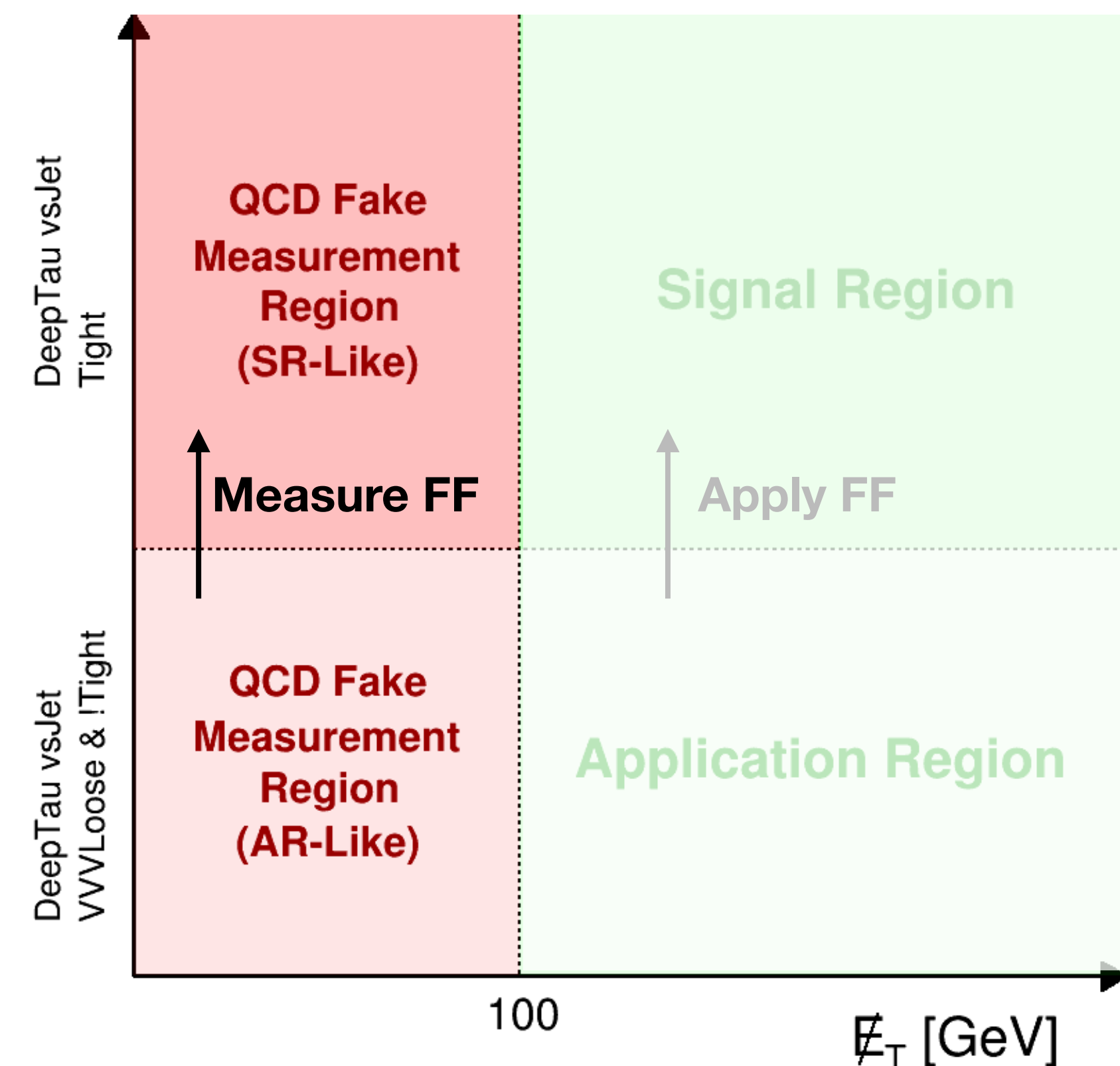
- Jets -> taus misid. has the biggest background contribution
- Inaccurate to estimate from MC simulations : **data-driven estimation** is used
- Fake factor (FF) is measured as a function of tau DM and pT or m\_eff

$$FF = \frac{N_{Data}^{SR-Like} - N_{Prompt}^{SR-Like}}{N_{Data}^{AR-Like} - N_{Prompt}^{AR-Like}}$$

- FFs are also measured with respect to different background contributions
  - QCD : measurement region (MR) set by inverting MET cut
    - Tau pT and DM ( 0+1 and 10+11; 0-prong and 1-prong respectively)
  - Top : no suitable MR constructed ; used MC
    - Tau pT and DM ( 0,1,10, and 11 individually )

Methodology borrowed  
from EXO-19-016

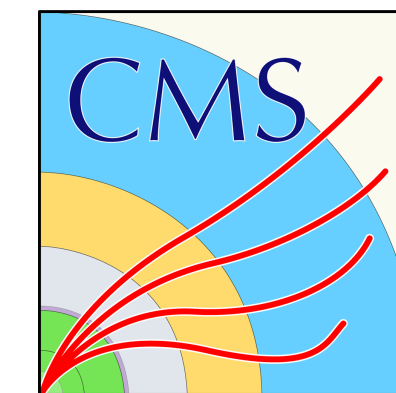
[doi:10.1007/JHEP05\(2024\)311](https://doi.org/10.1007/JHEP05(2024)311)



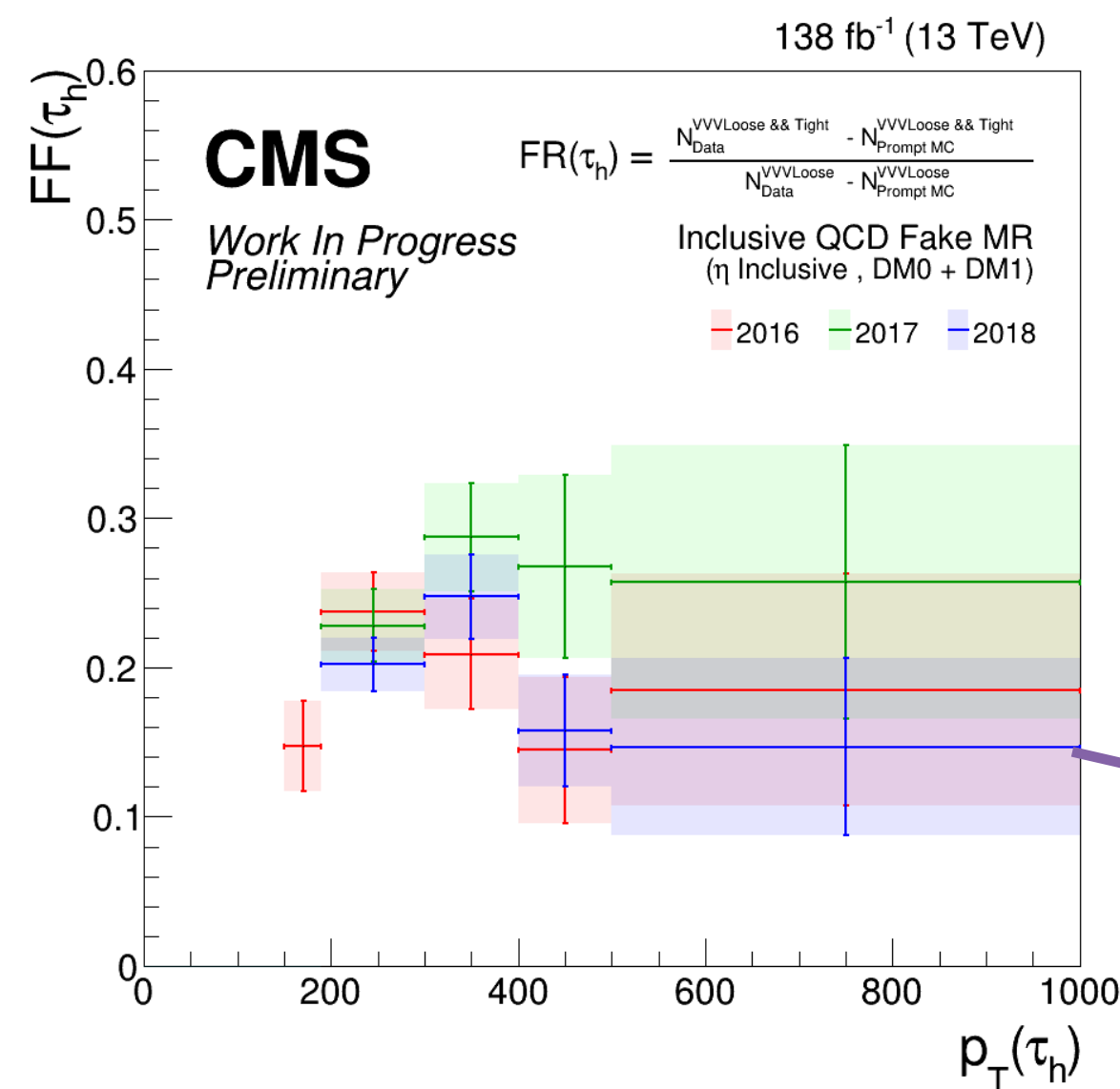
# Background Estimation

## Fake Factor Application

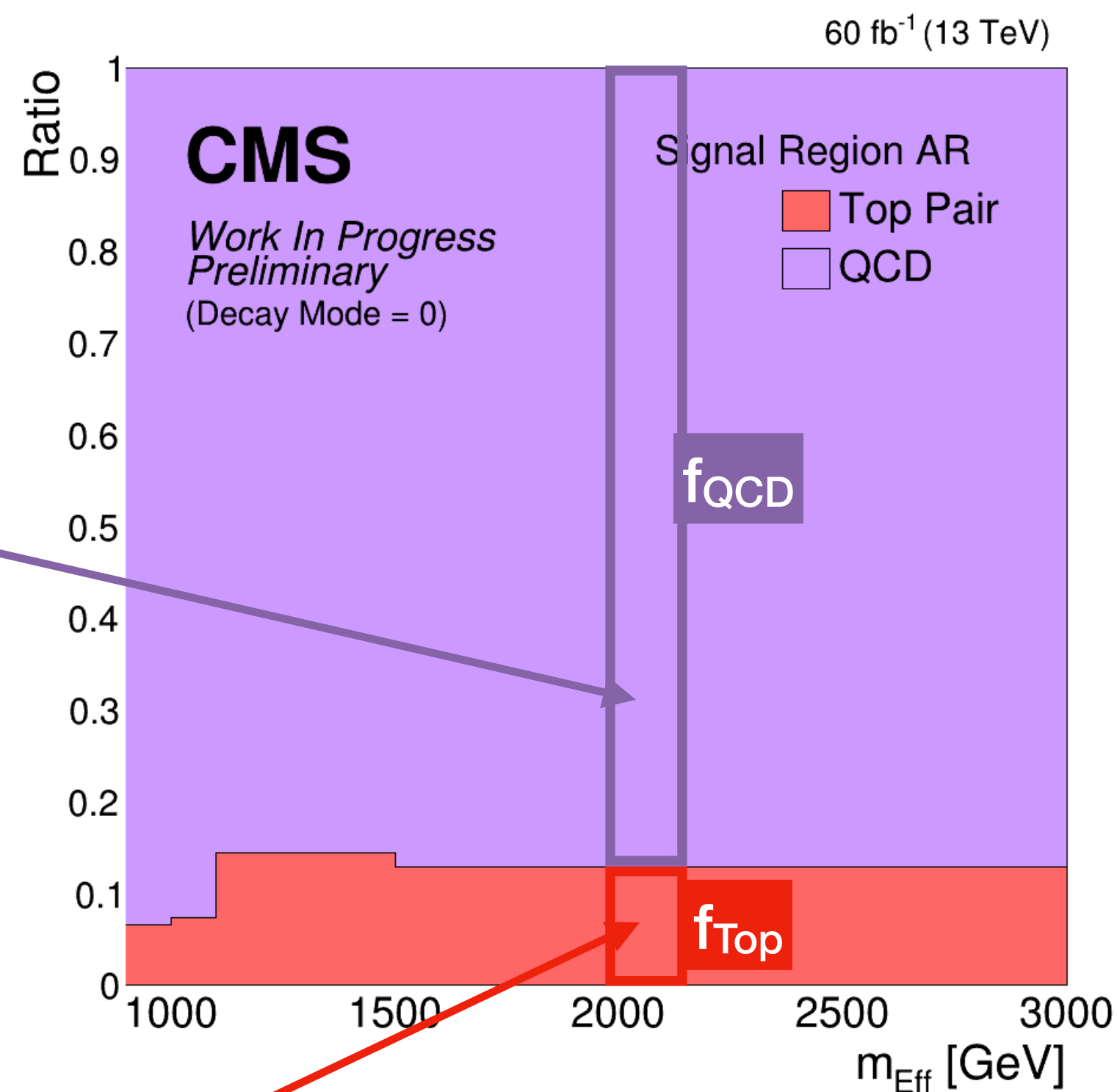
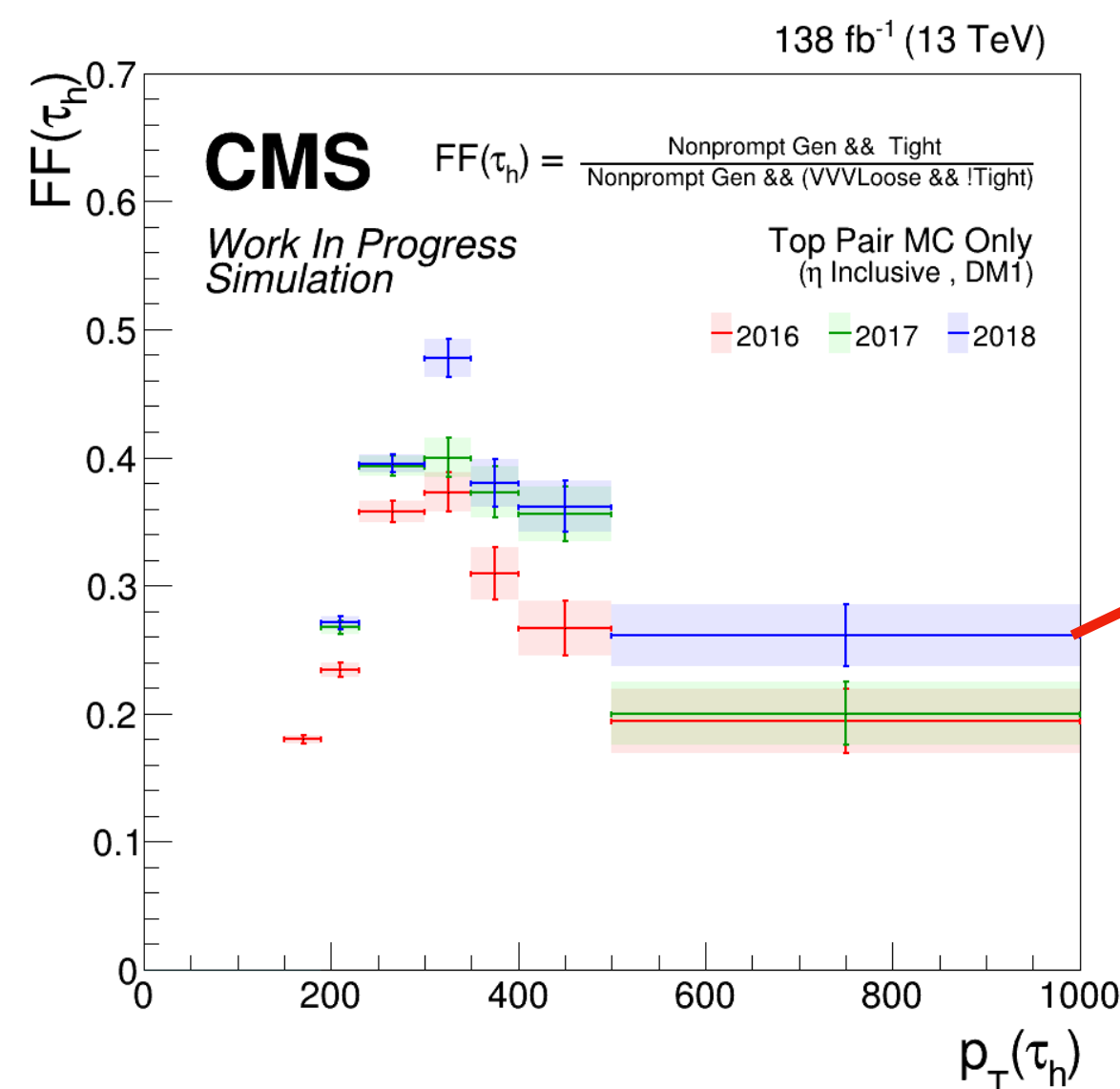
Updated



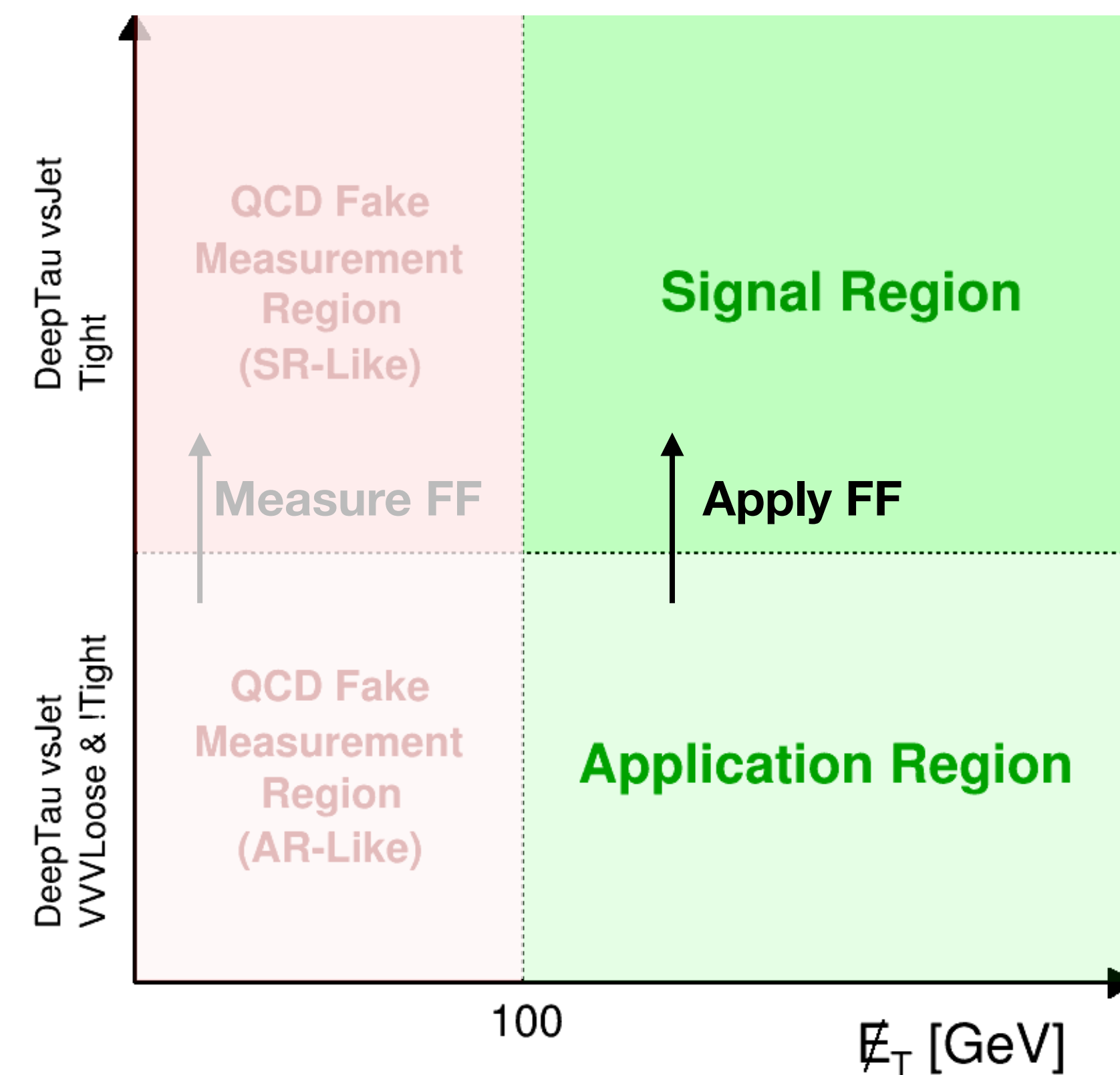
QCD FF



Top FF



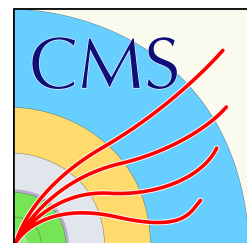
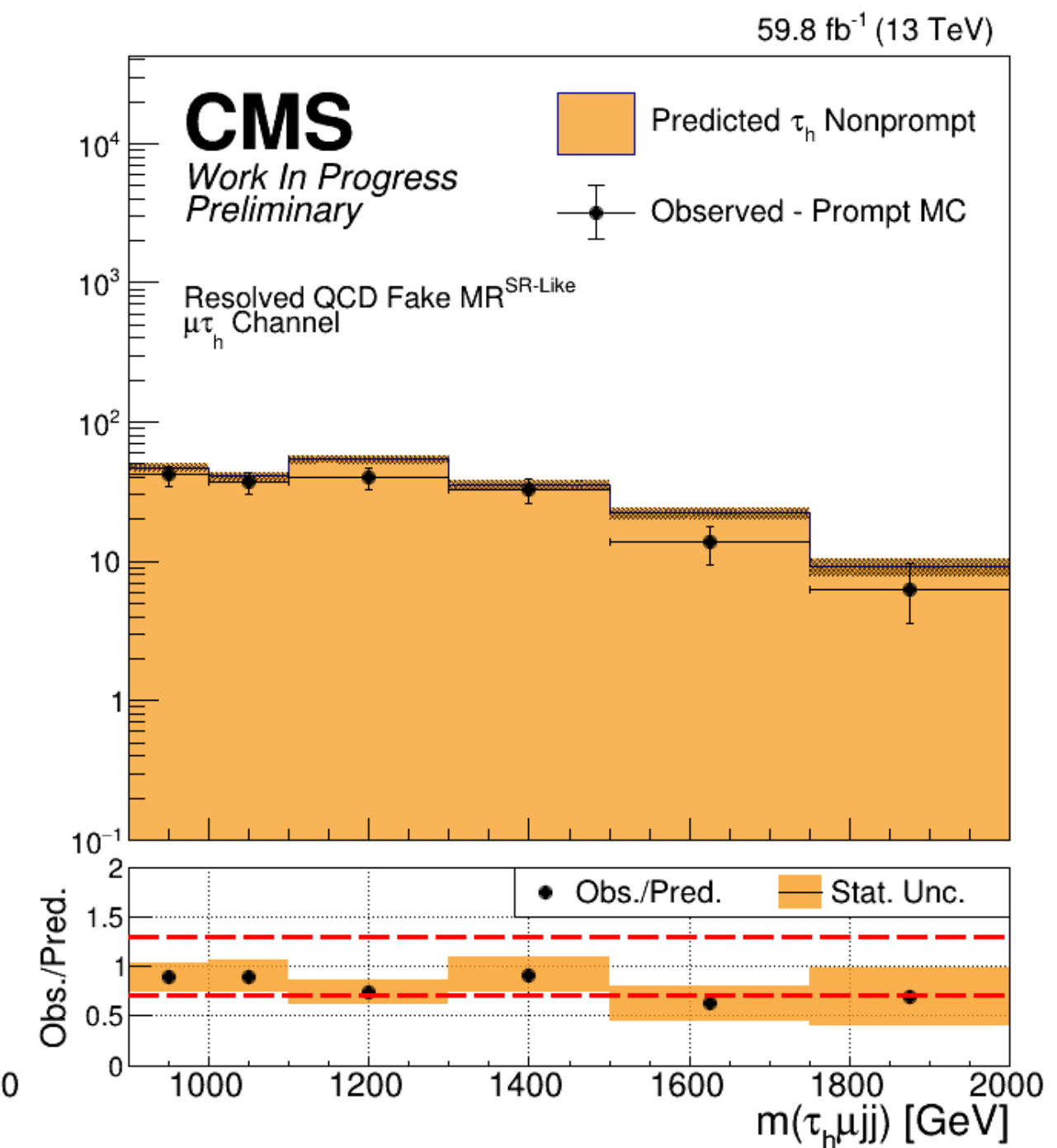
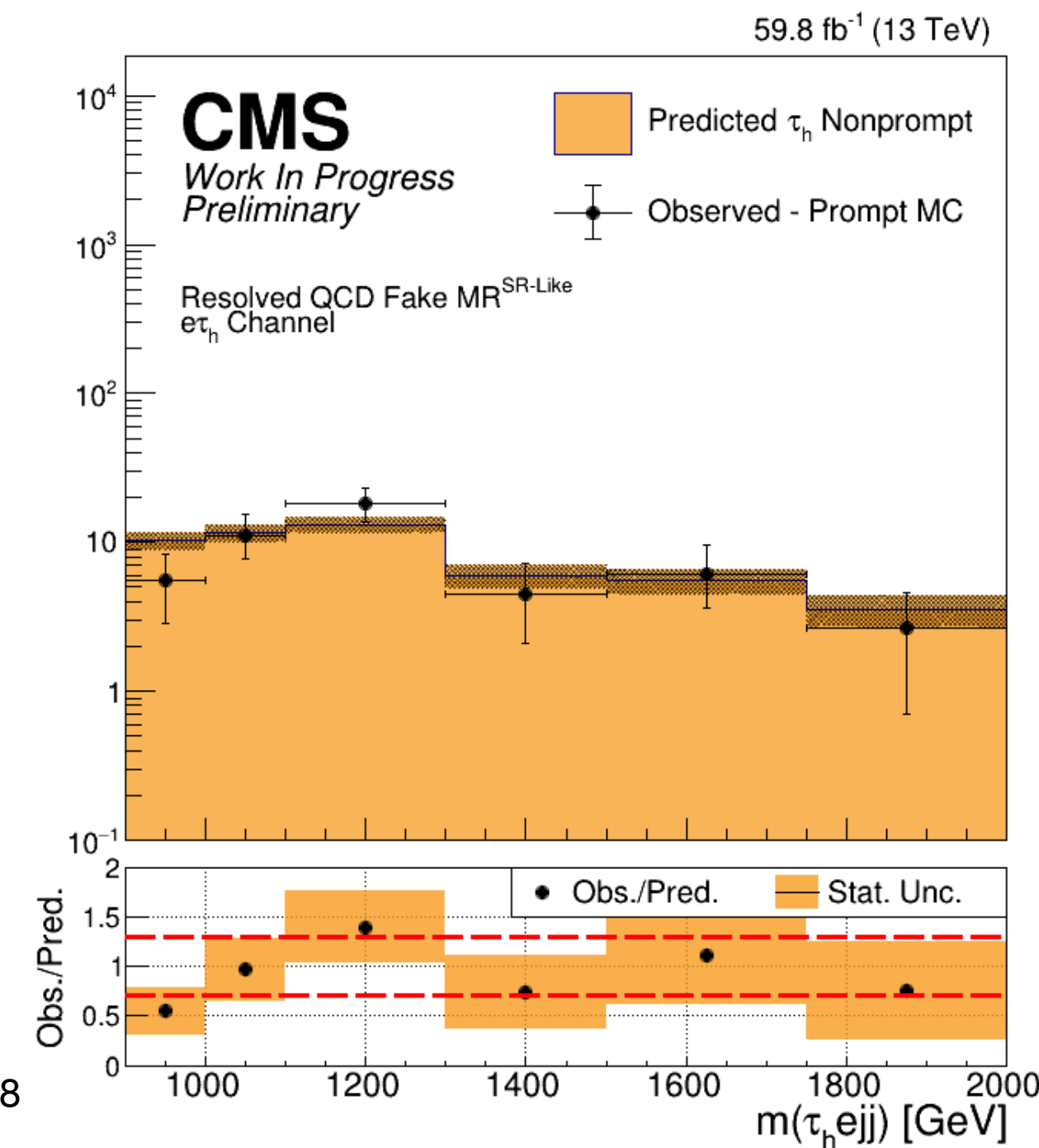
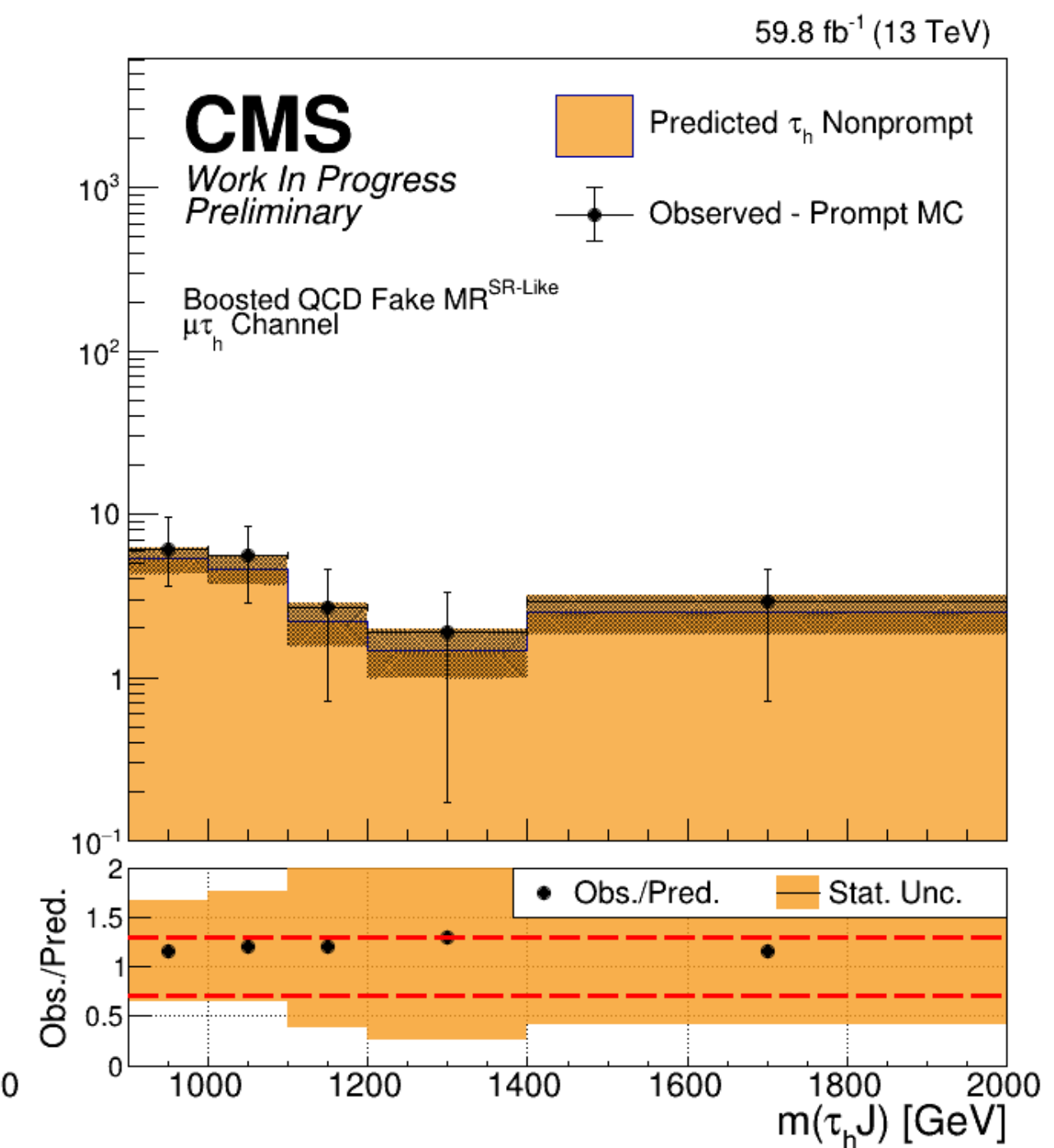
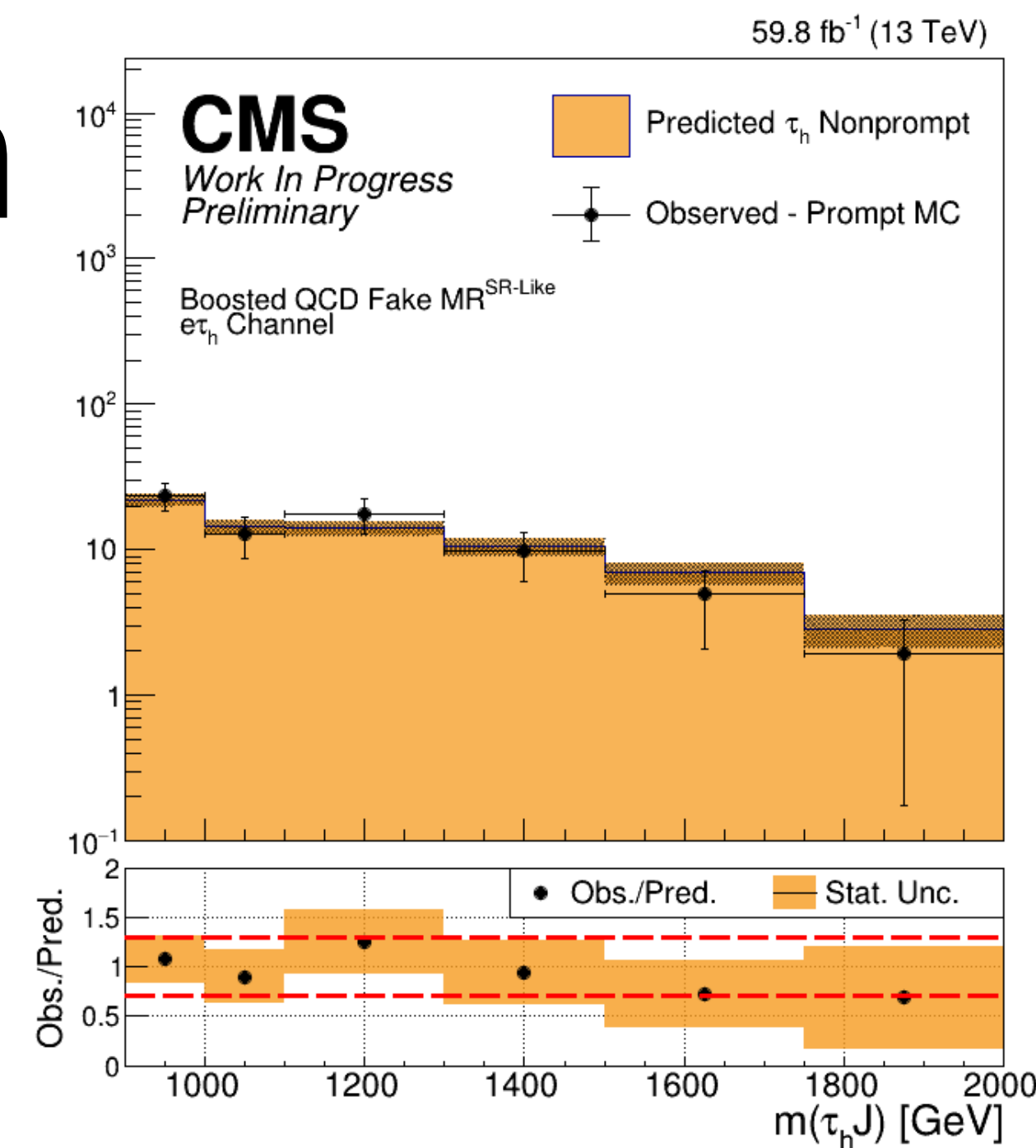
Total FF to be applied :

$$FF = f_{QCD} FF_{QCD} + f_{Top} FF_{Top}$$


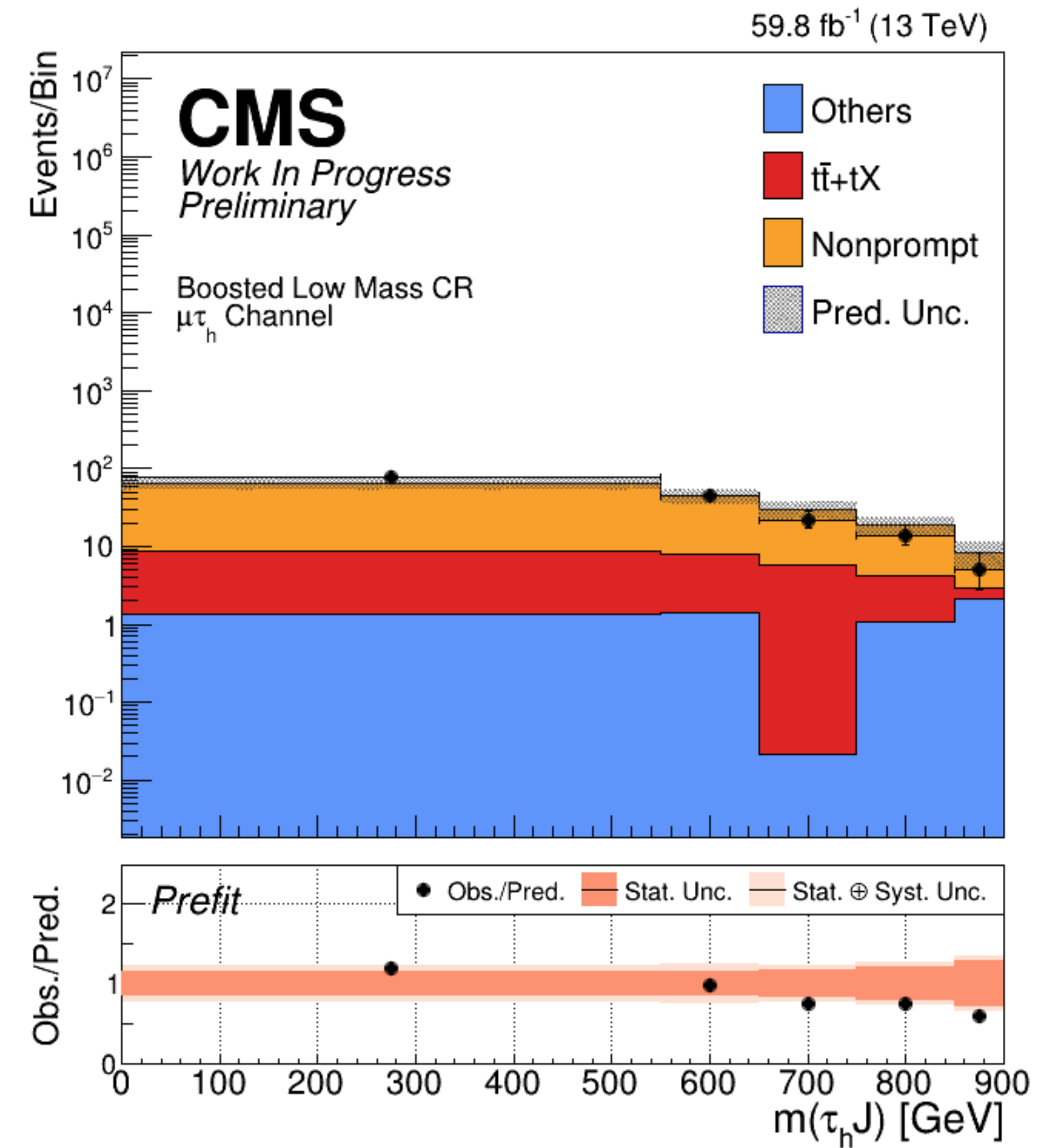
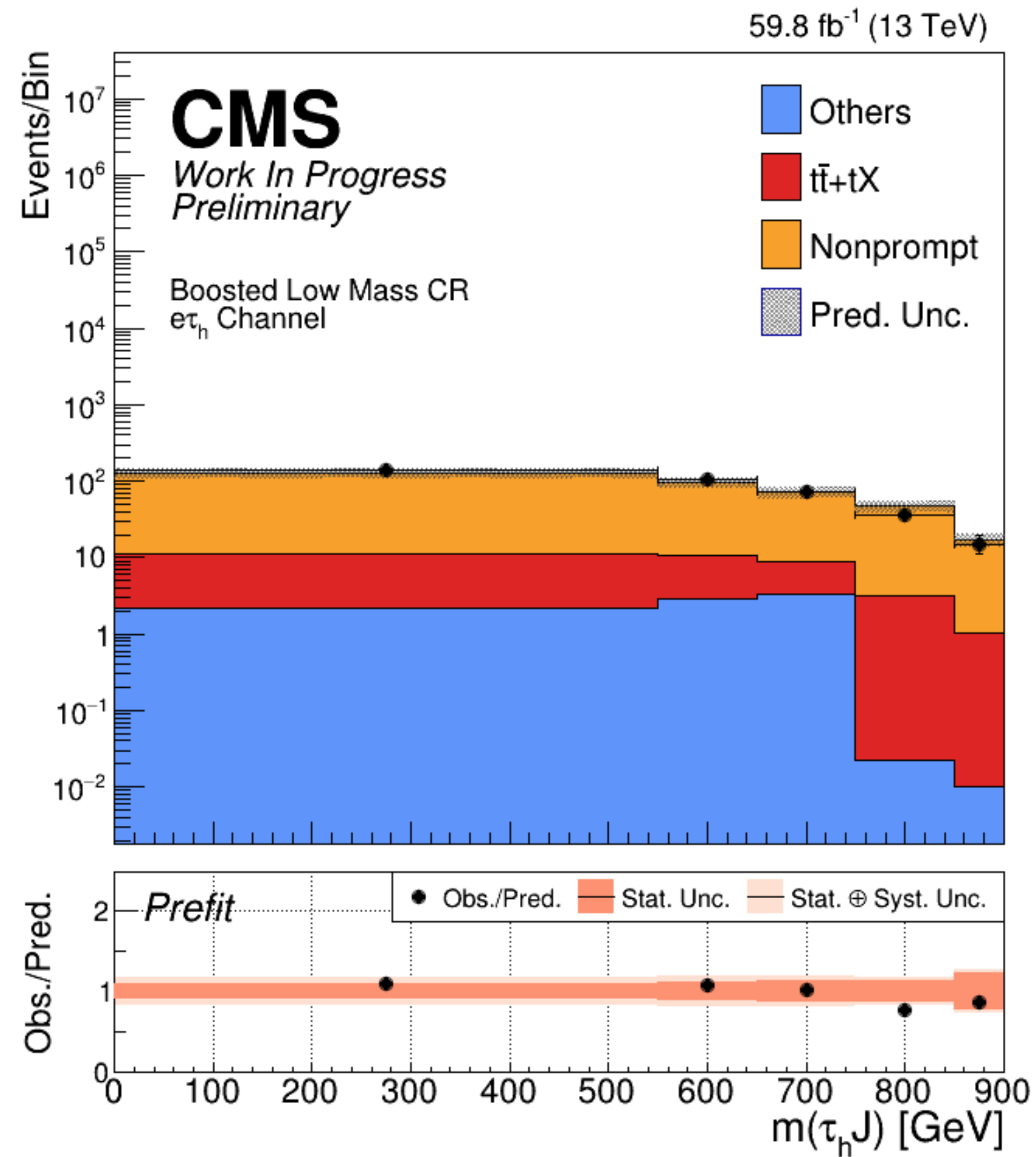
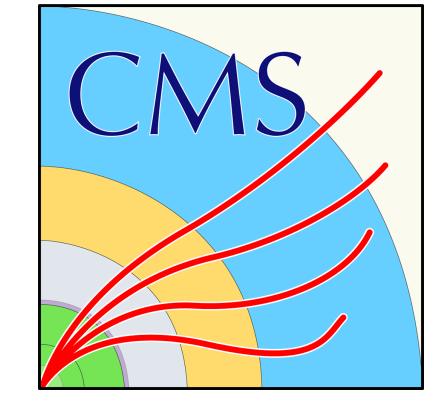
# Background Estimation

## Hadronic Tau Fake

- After applying fake factors and compare with data, closure seems to agree well within overall 30% normalization uncertainty
- 30% flat uncertainty applied as systematics to nonprompt contributions

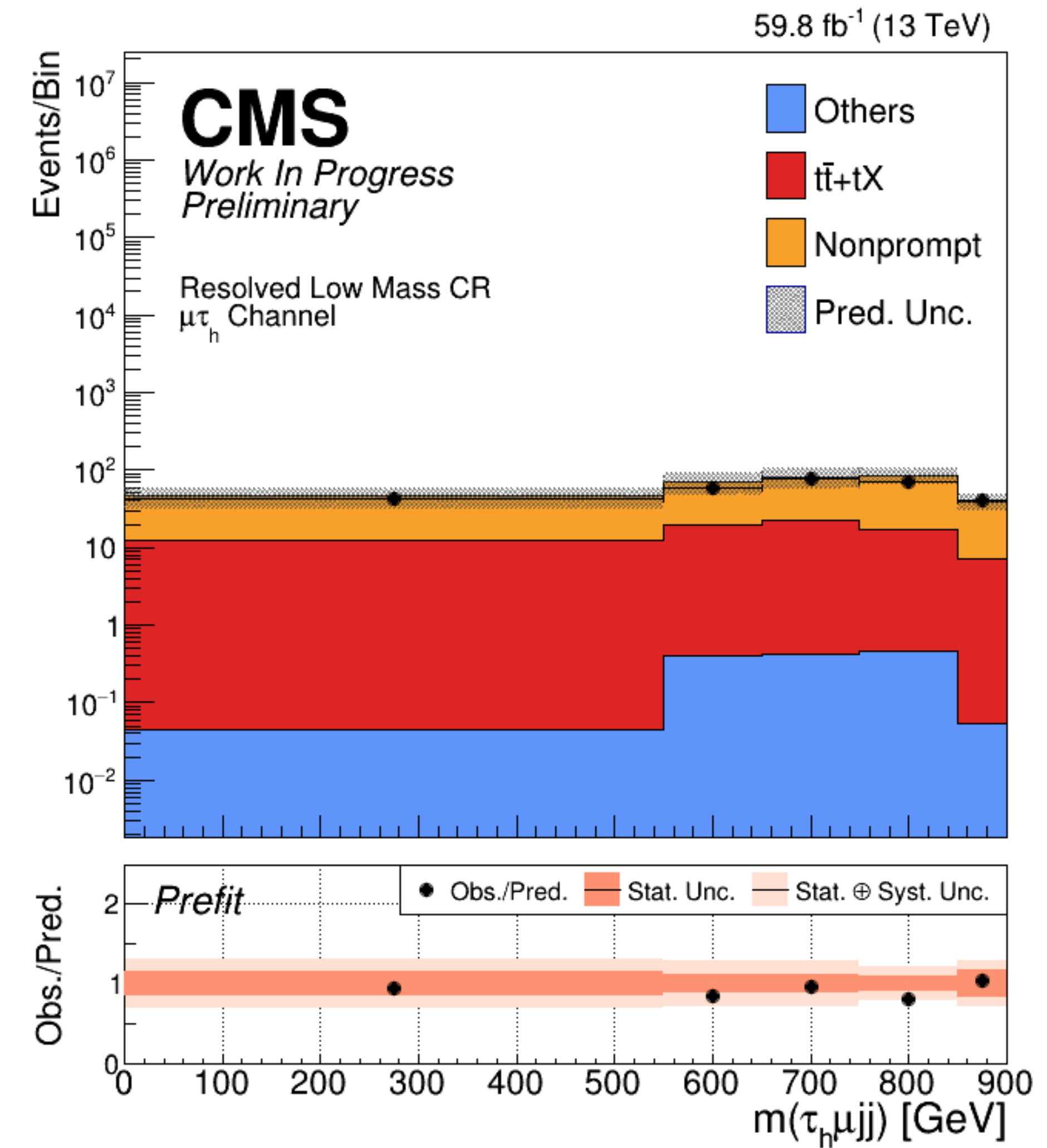
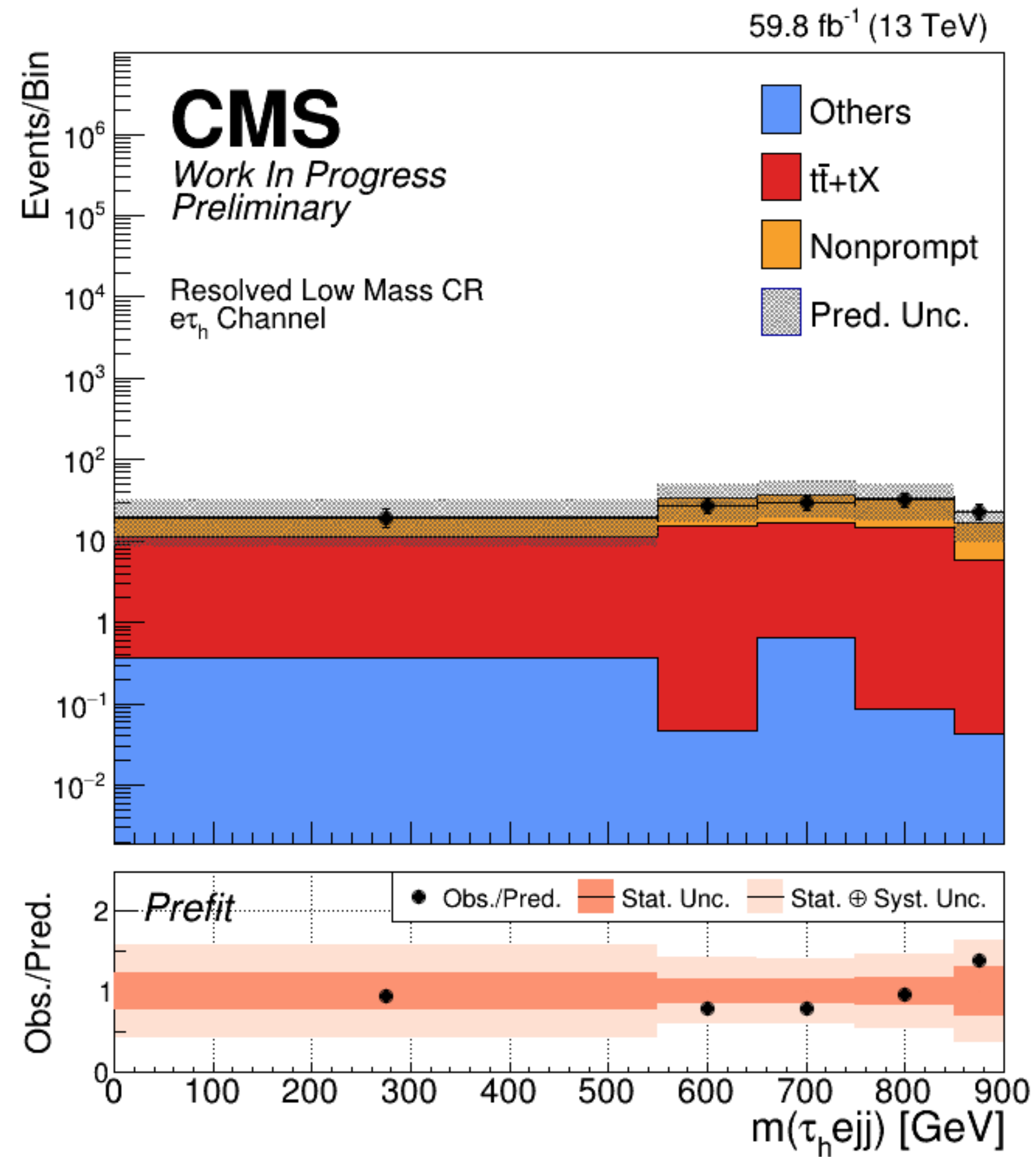
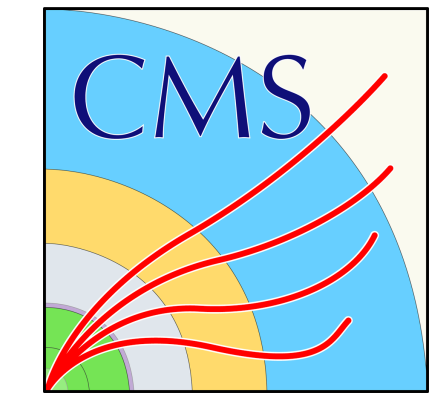


# Control Region Plots



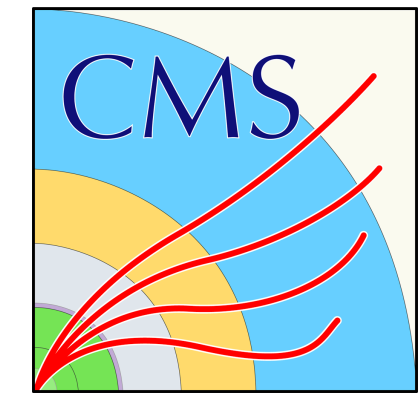
# Control Region

## Plots



# Systematics Overview

Updated

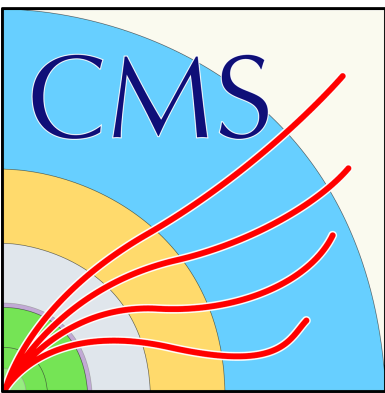


- In previous iteration, no specific systematic source was considered thus a dummy 30% was applied
- Major systematics included, taken hints from other hadronic tau final state LRSM studies :
  - Jet : Energy scale
  - Tau : Energy scale, ID SF
  - Fake : FF statistical error, normalization
  - Theory : PDF, scale
  - Others : Luminosity, trigger SF

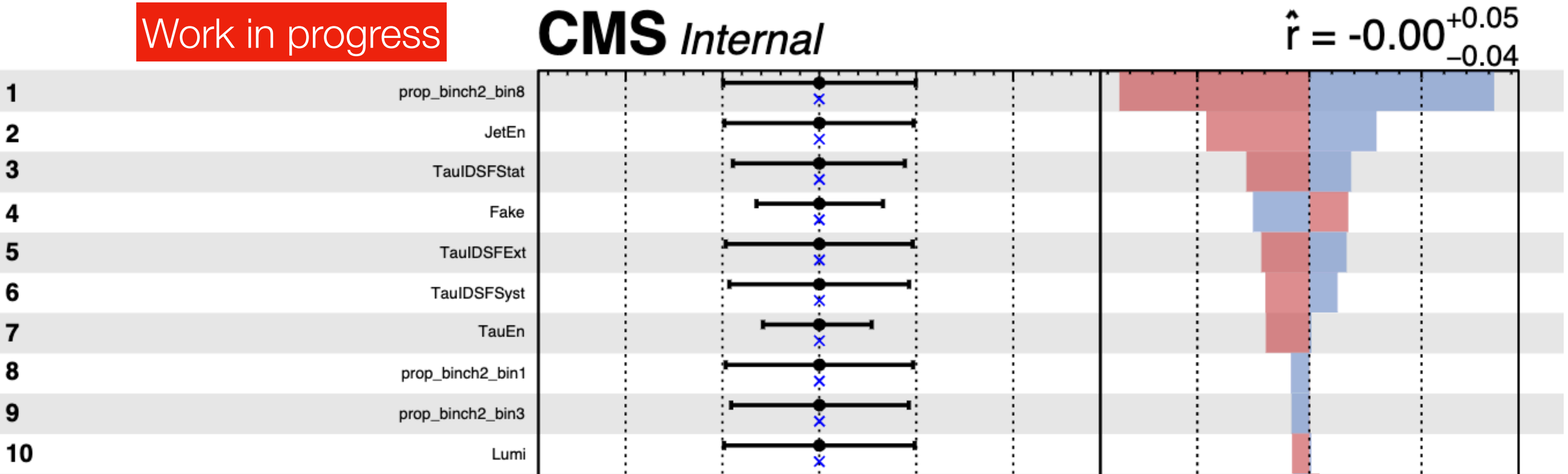
Uncertainty source		Type	Magnitude	Processes
Luminosity		norm.	1 – 2.5%	All Simulations
Hadronic Tau	ID.	shape	–	All Simulations
	Trigger	norm.	8 – 11%	All Simulations
	Energy Scale	shape	–	All Simulations
	FF Stat.	shape	–	Nonprompts
	FF Norm.	norm.	30%	Nonprompts
Jet	Energy Scale	shape	–	All Simulations
Theory	PDF	shape.	–	Signals
	$\mu_R, \mu_F$	shape	–	Signals

# Systematics Impacts

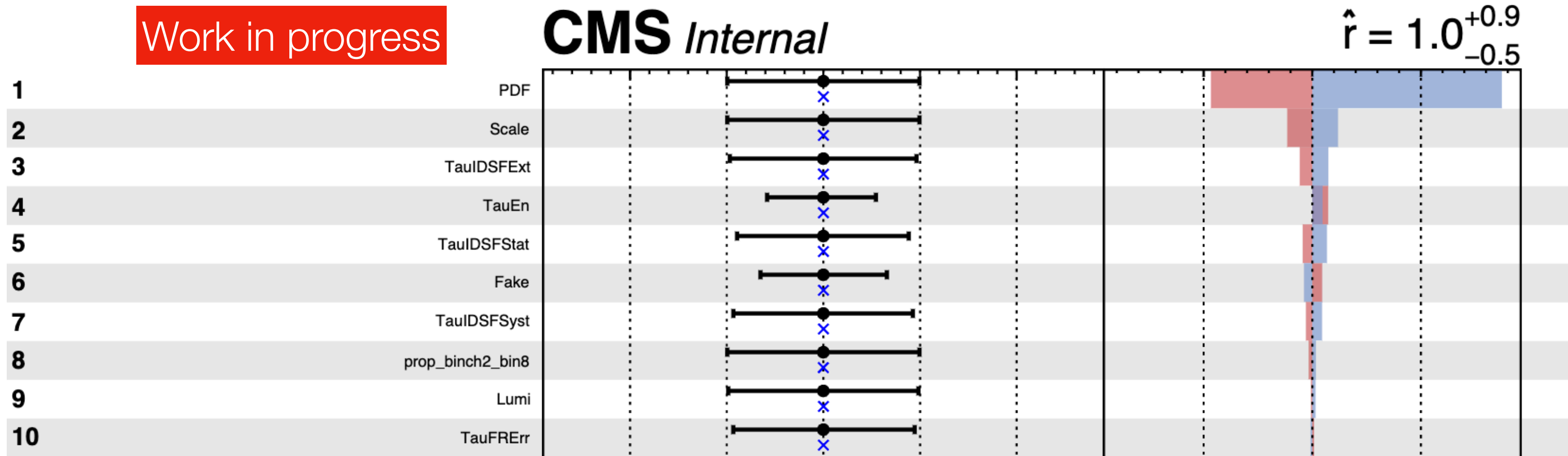
Updated



Background only  
Asimov



Signal injected (r=1)  
Asimov

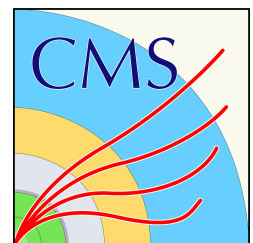
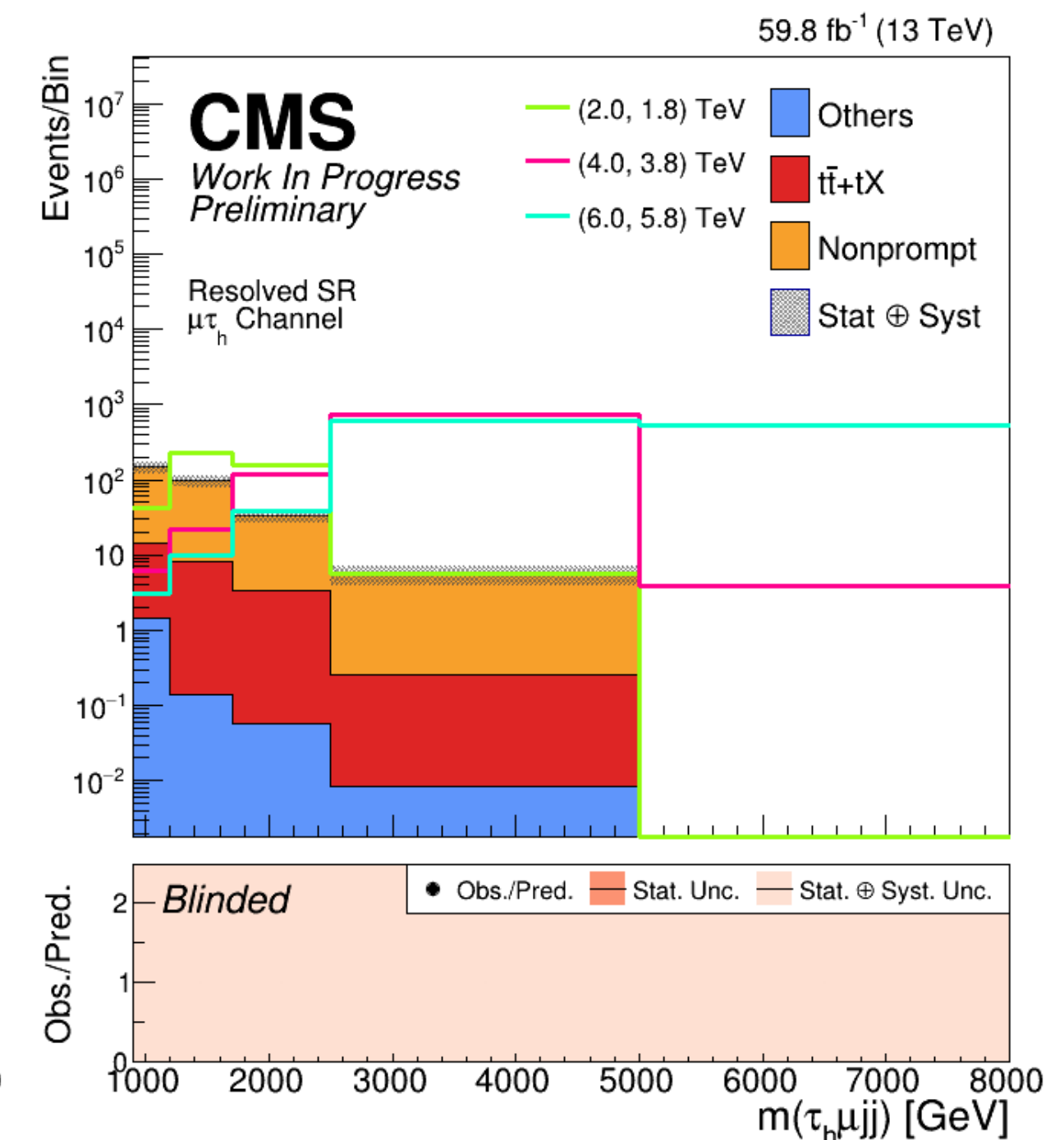
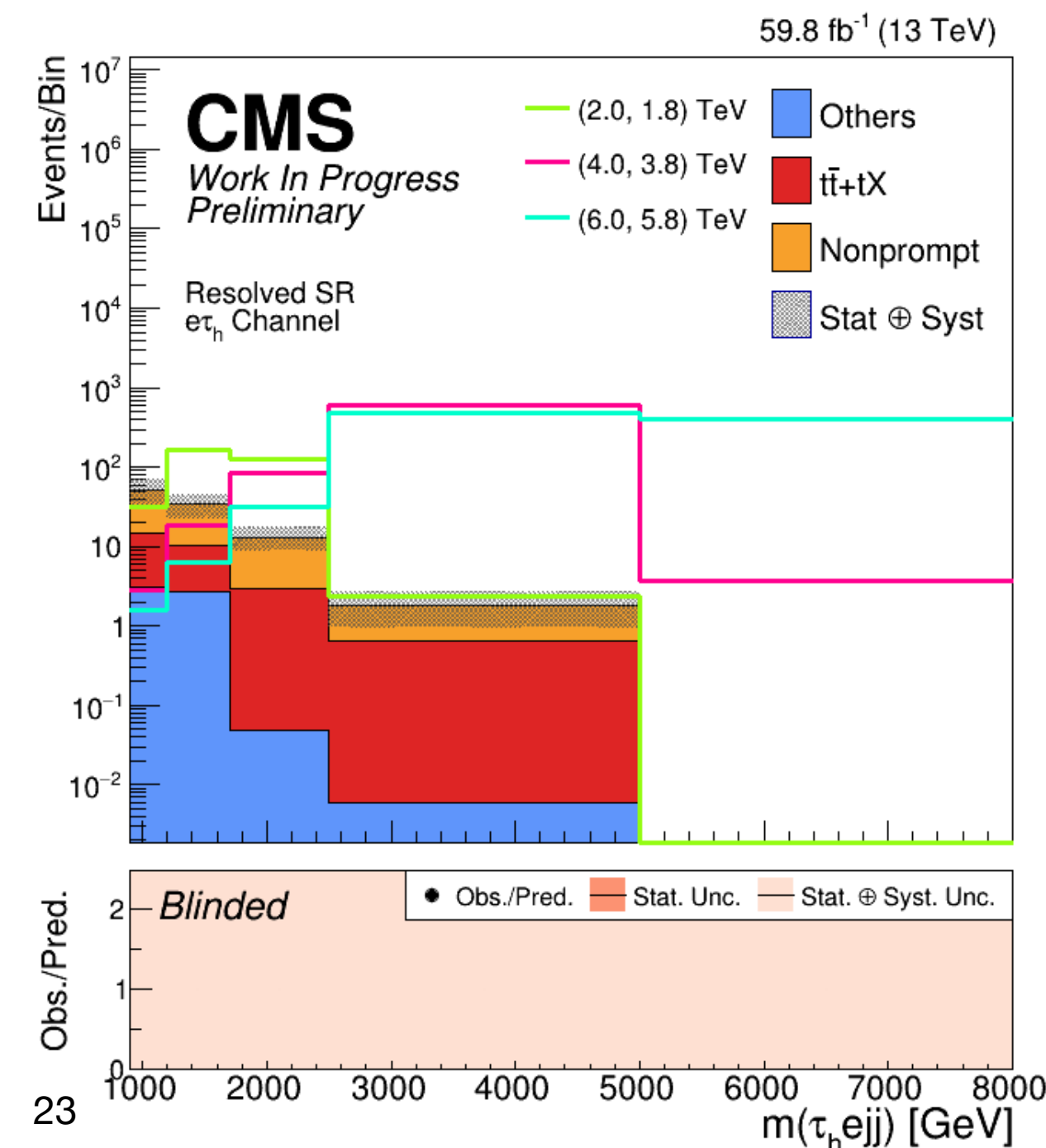
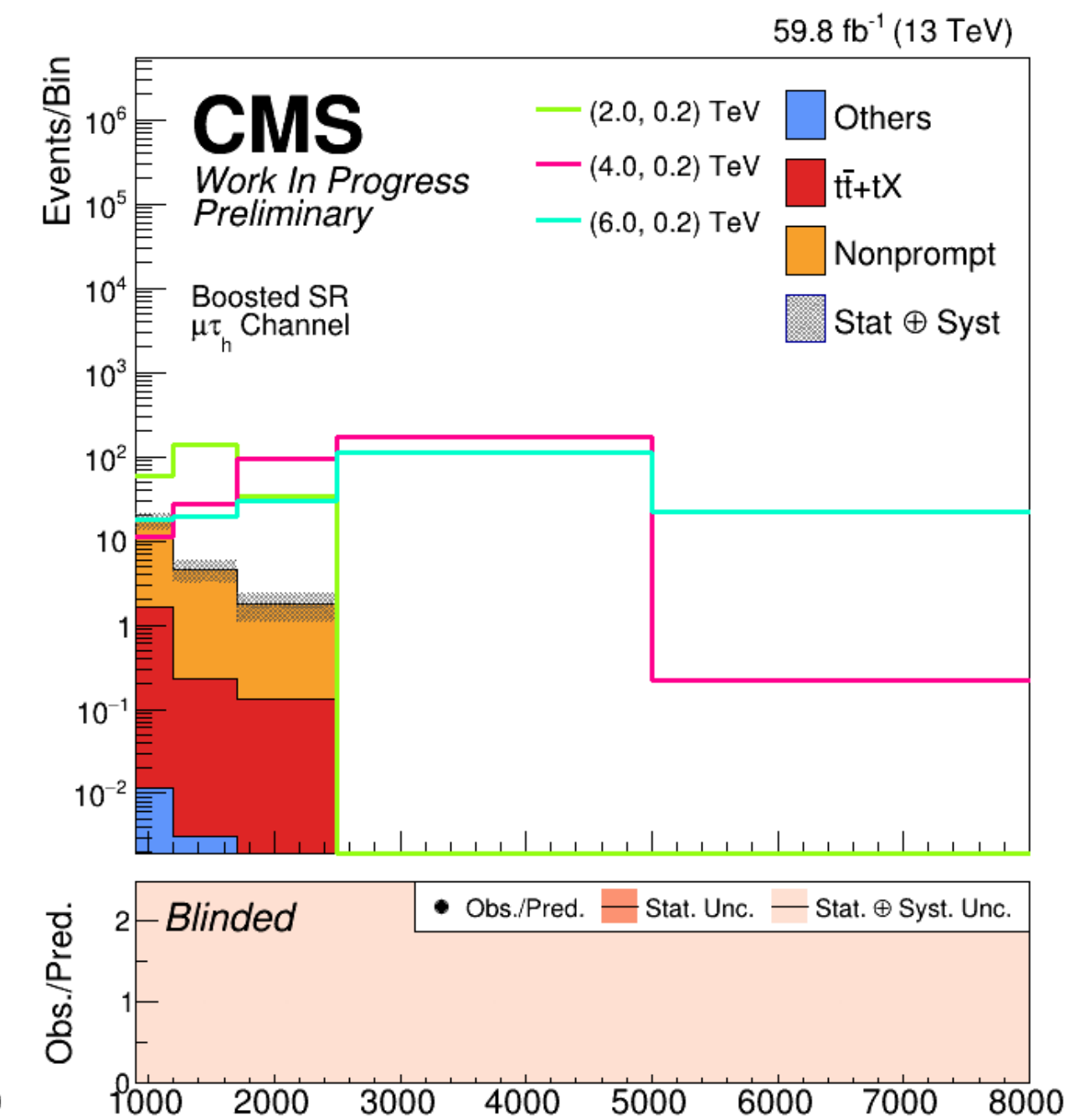
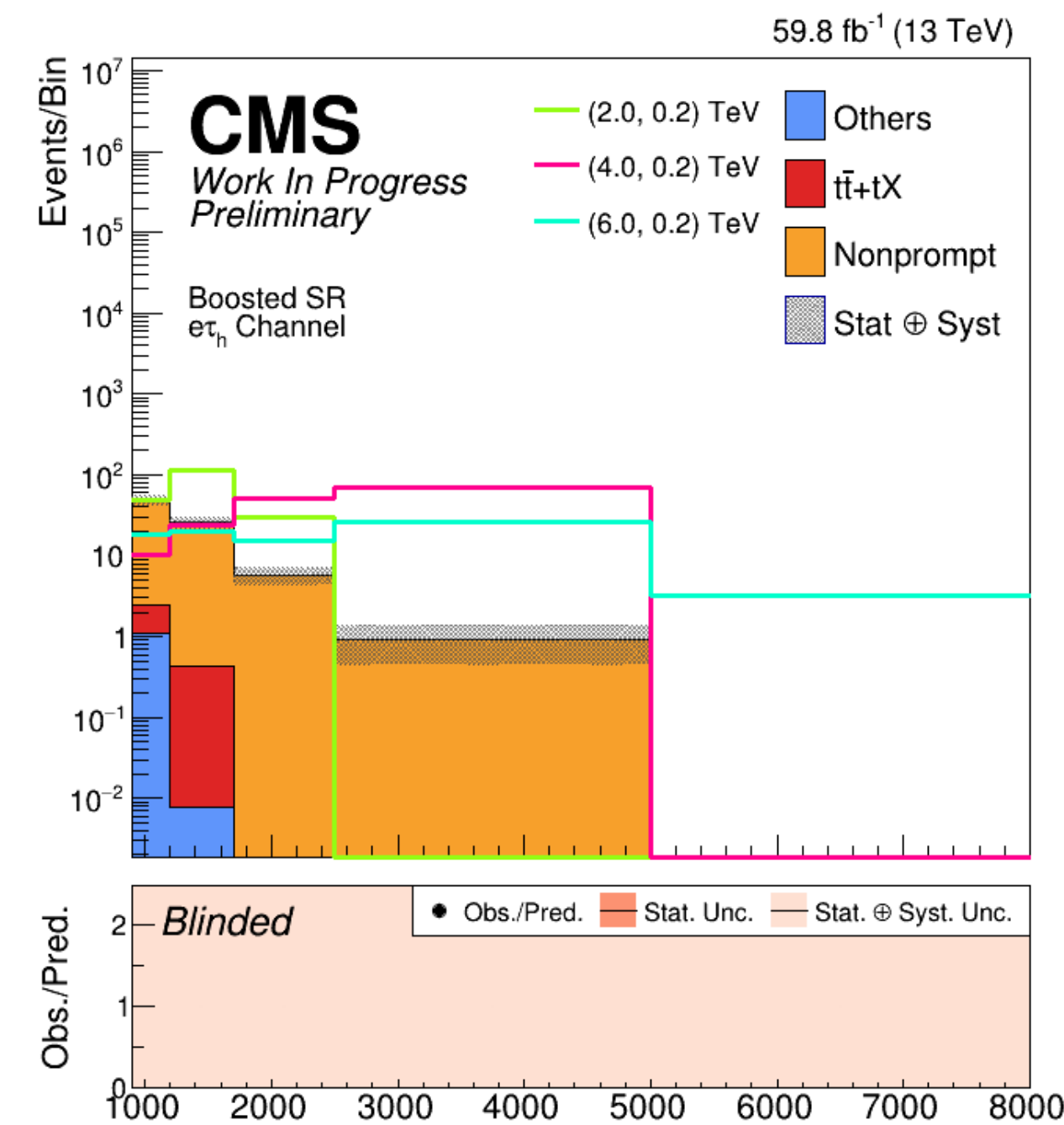


# Results

## Expected Limits

Updated

- Preliminary expected limits are extracted
- 2018 only as samples from other eras are still being produced
- Fitting based on reconstructed  $W_R$  mass shape :  $m(\text{tau}, \text{lepton}, \text{jets})$
- Mentioned systematics are included
- Binning optimization for stable fitting is being studied

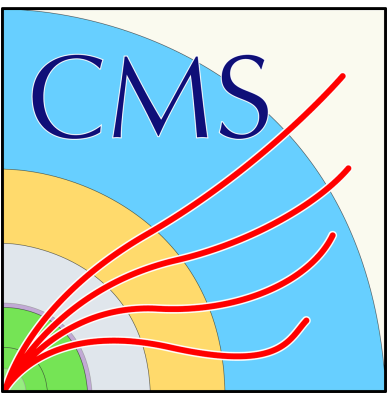


# Results

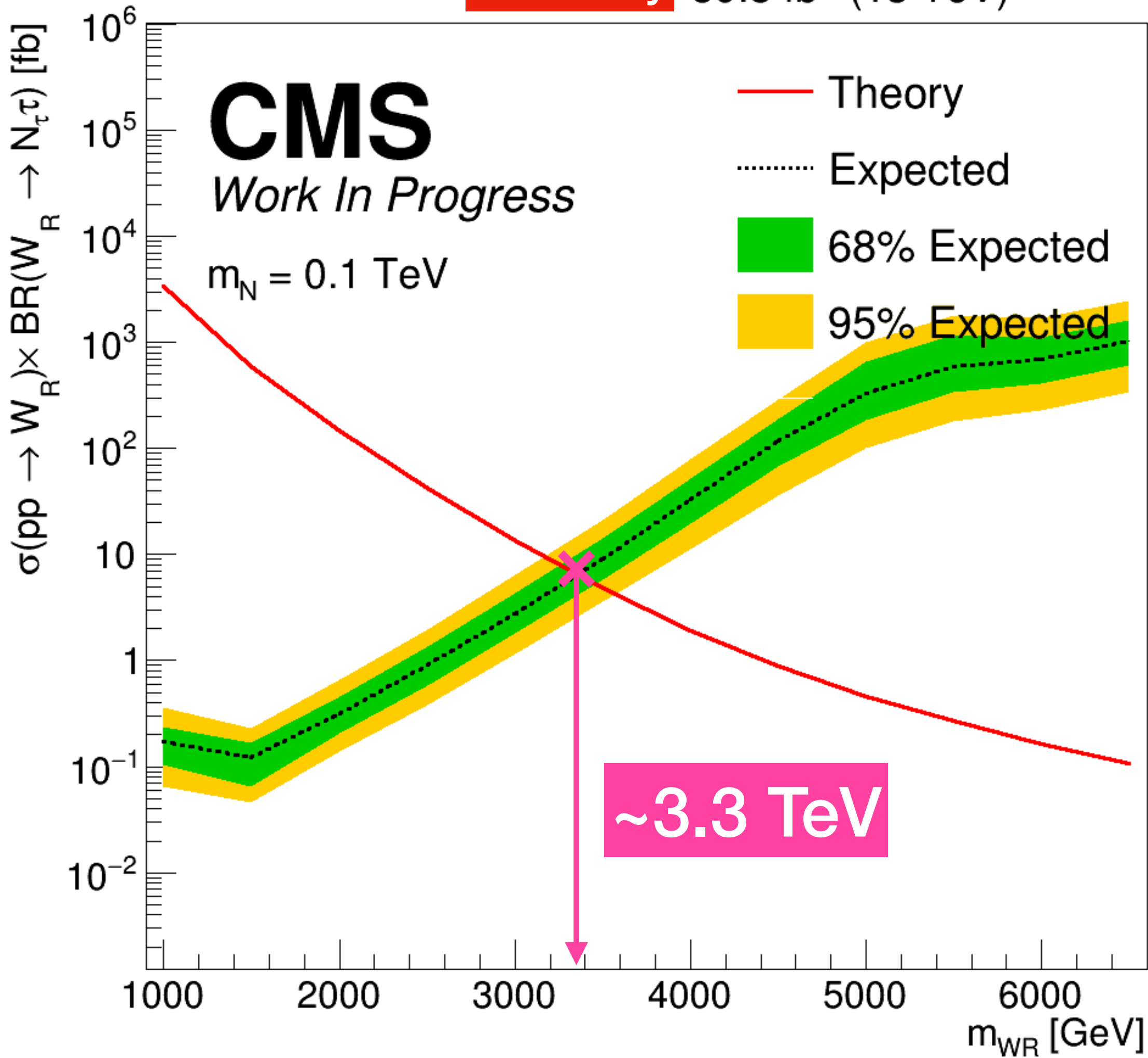
## Expected Limits

$m_N = 0.1 \text{ TeV}$  Scenario

Updated

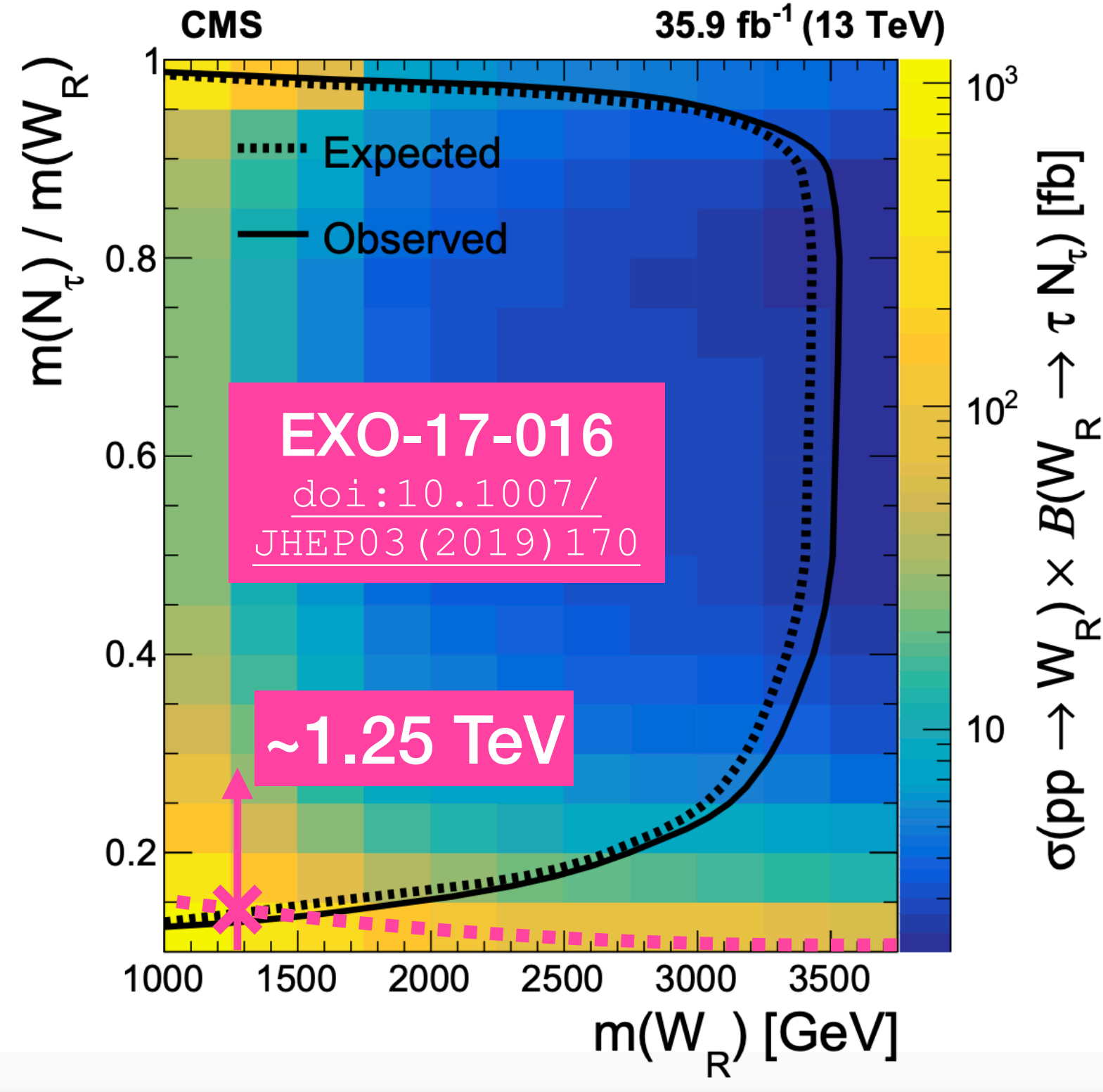


2018 only 59.8 fb<sup>-1</sup> (13 TeV)



Improved sensitivity compared to previous studies!

~1.25 TeV to ~3.3 TeV

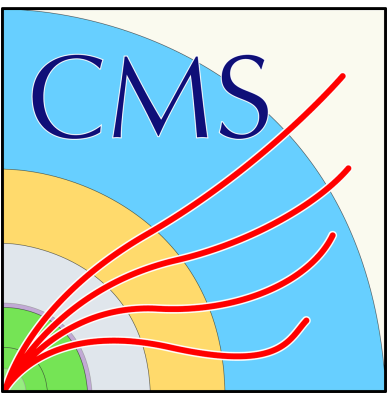


# Results

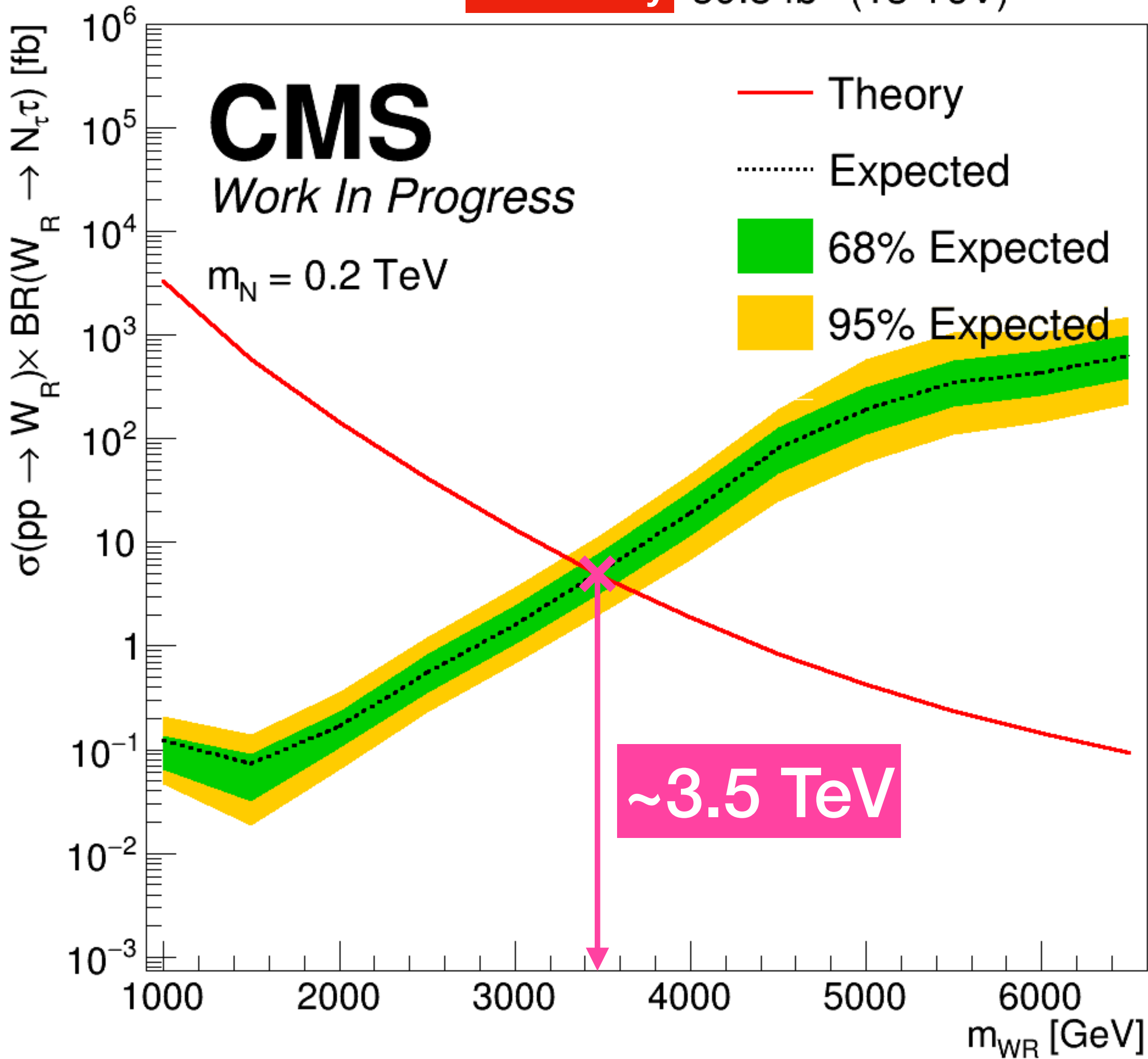
## Expected Limits

$m_N = 0.2 \text{ TeV}$  Scenario

Updated

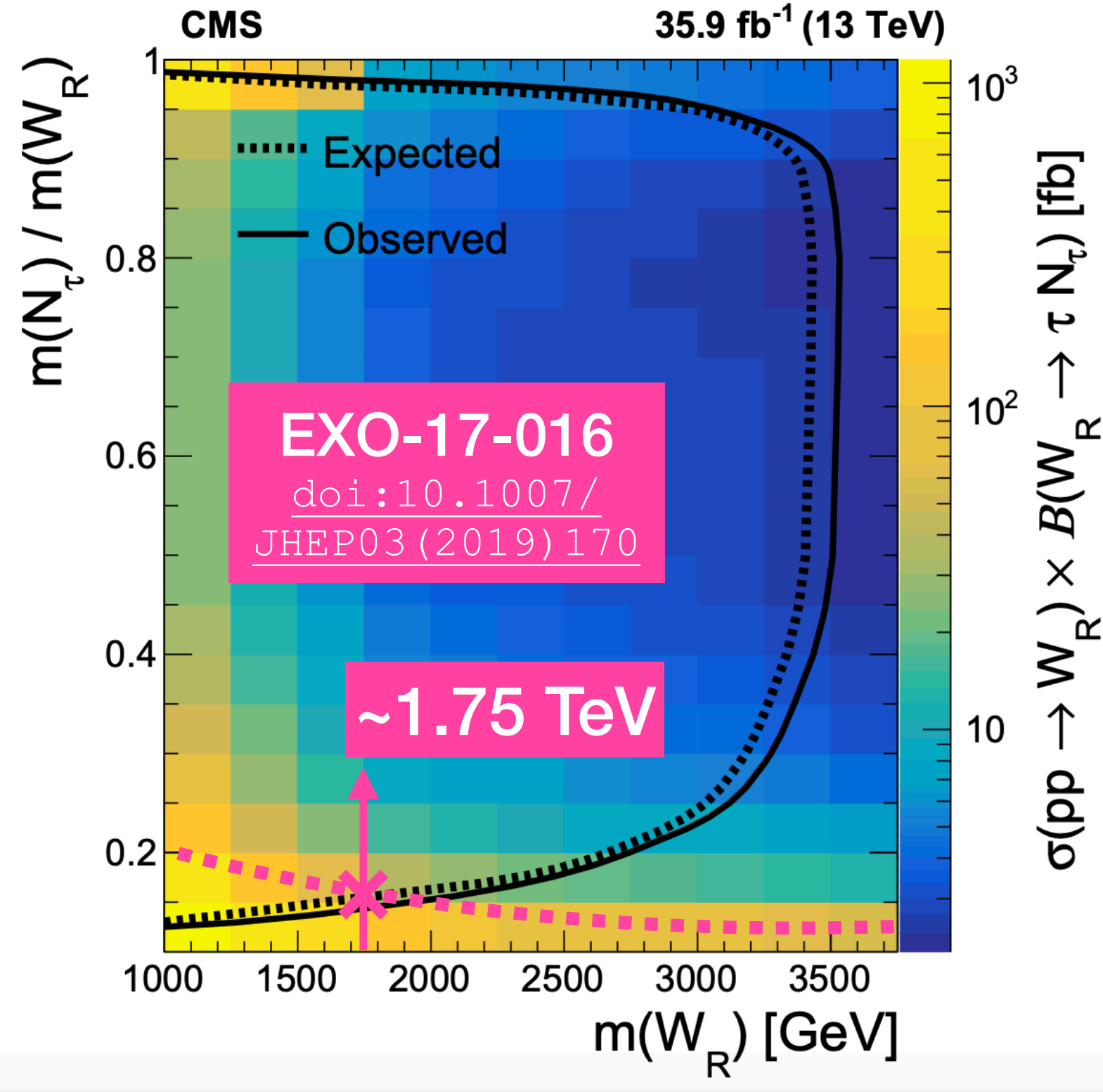


2018 only 59.8 fb<sup>-1</sup> (13 TeV)

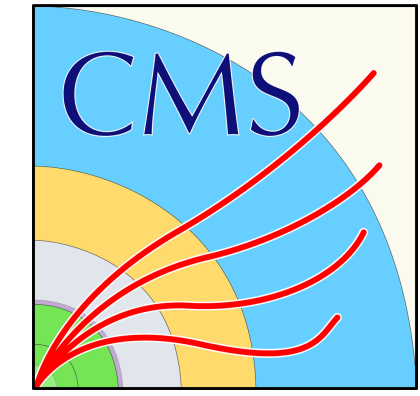


Improved sensitivity compared to previous studies!

$\sim 1.75 \text{ TeV}$  to  $\sim 3.5 \text{ TeV}$



# Conclusion



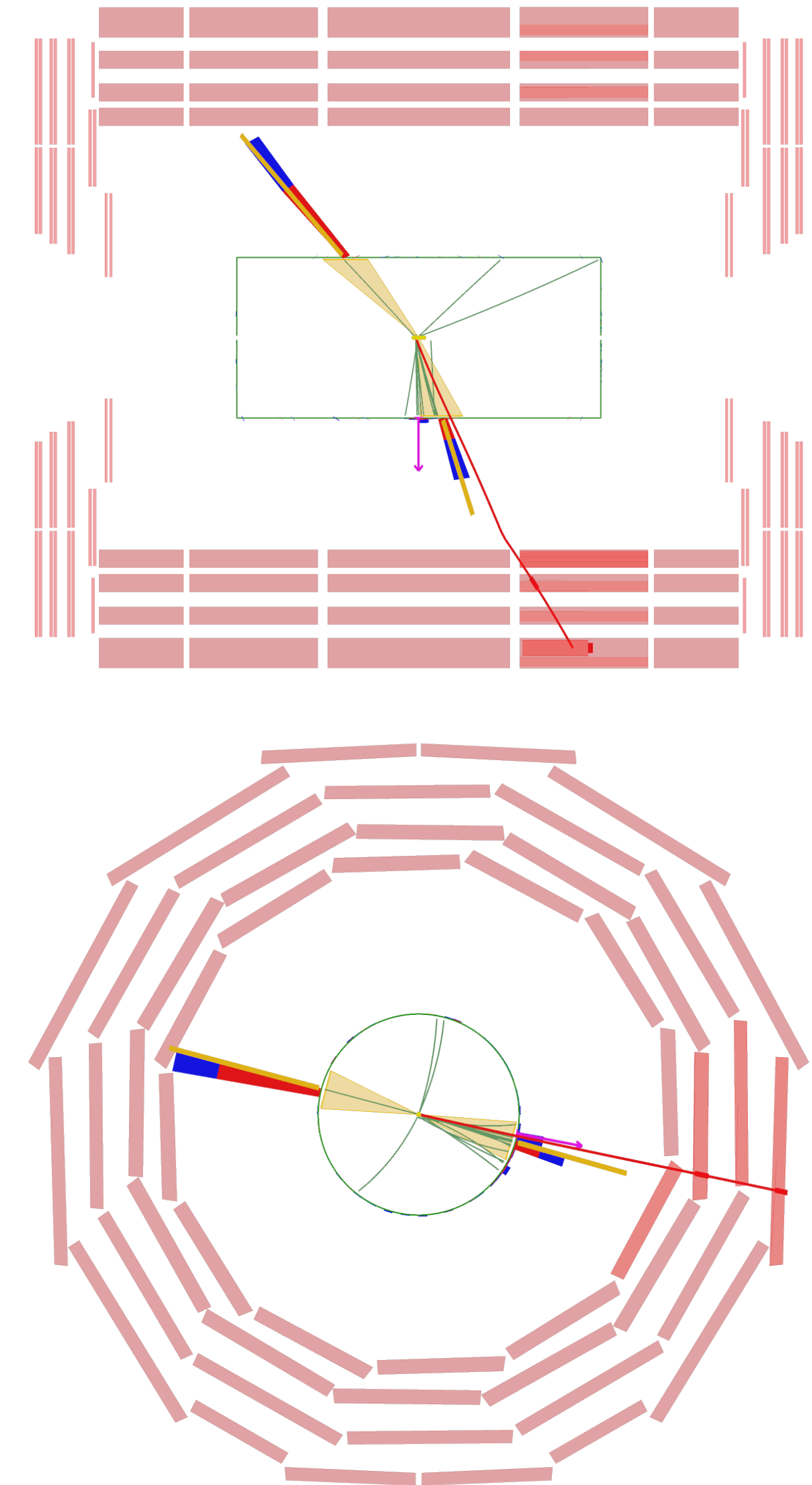
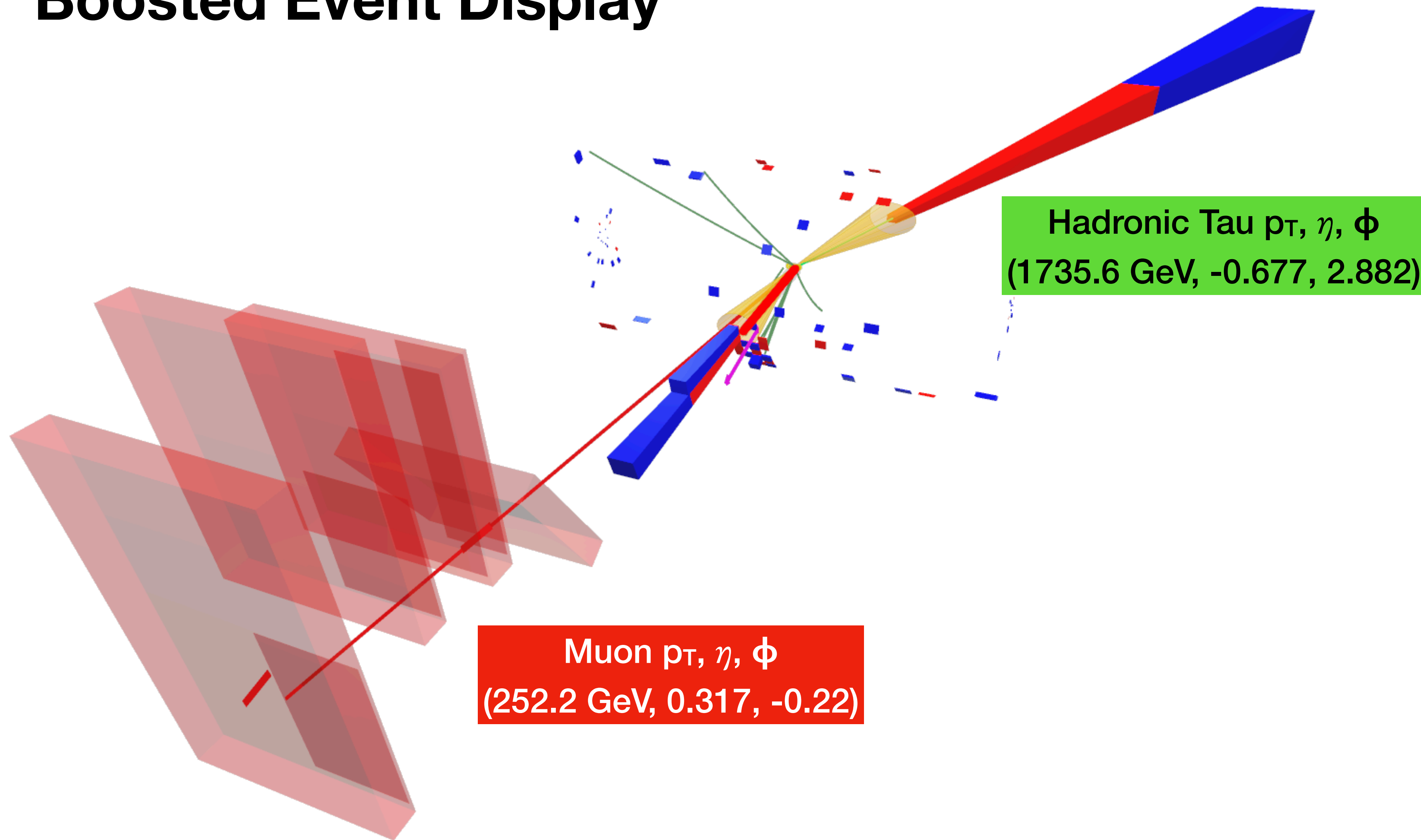
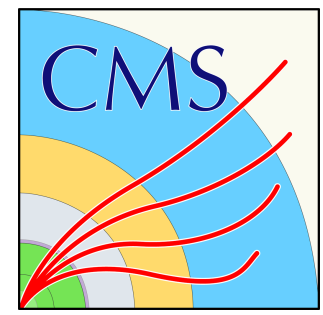
- Search for  $W_R$  and HNL in a  $\tau_h\tau_\ell + \text{jets}$  final state is being actively updated
  - Updated background modeling of hadronic tau fakes show good agreement with data in CRs for all years
  - Included major systematics for today's result, but only for 2018
    - Would like to ask for PC lane priority production for the rest of the years 2016, 2017 signal samples
    - Will include all systematics for all era in the next iteration
  - Preliminary expected limits extracted using only 2018, improved compared to previous studies
    - $m_N = 0.1$  TeV scenario : improved from  $\sim 1.25$  TeV to  $\sim 3.3$  TeV
    - $m_N = 0.2$  TeV scenario : improved from  $\sim 1.75$  TeV to  $\sim 3.5$  TeV
  - First iteration of AN has been sent out to conveners
    - Expecting to do a full status report for Run 2 only before winter

# **Thank You!**

# Backups

# Signals

## Boosted Event Display

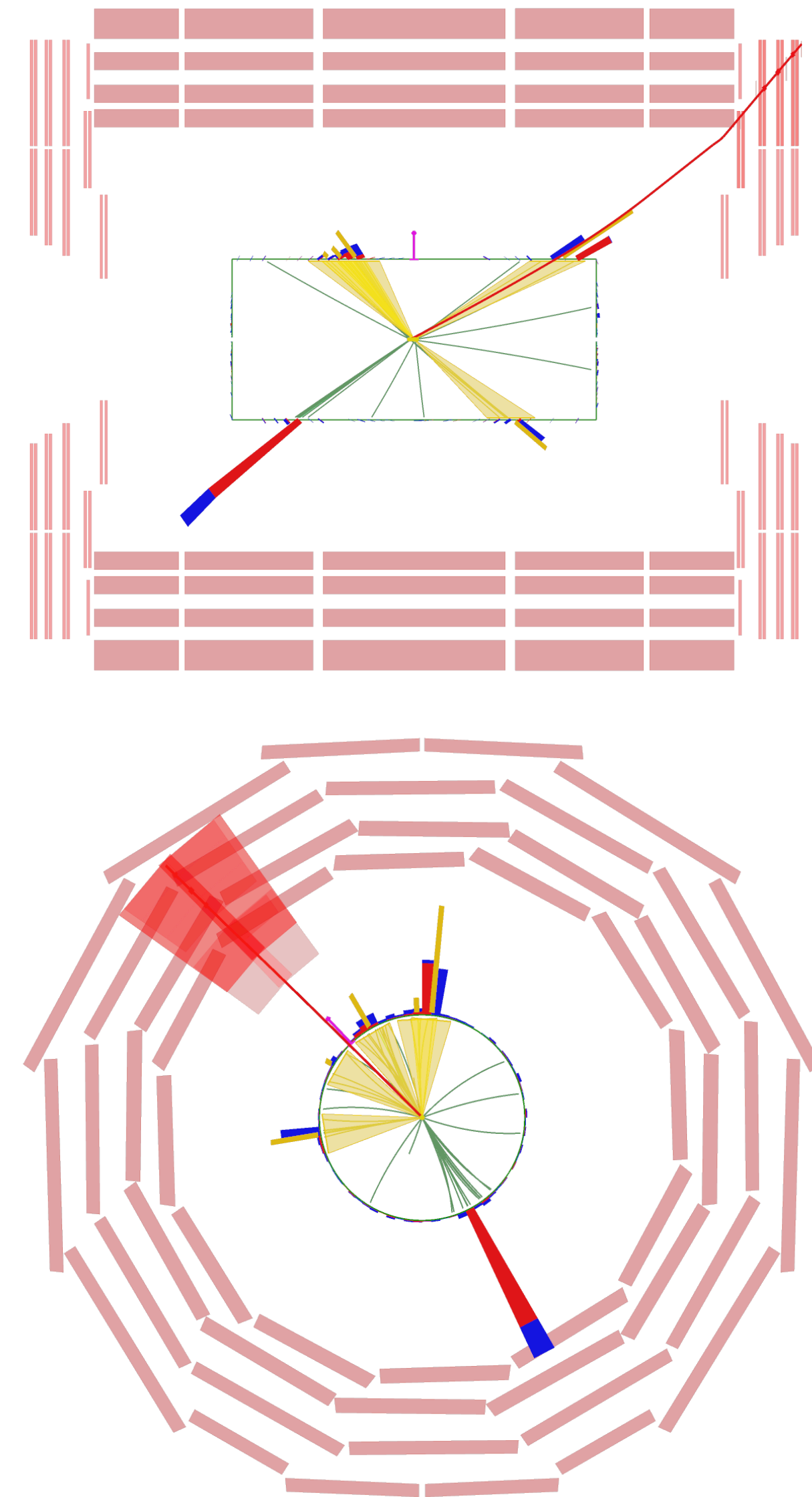
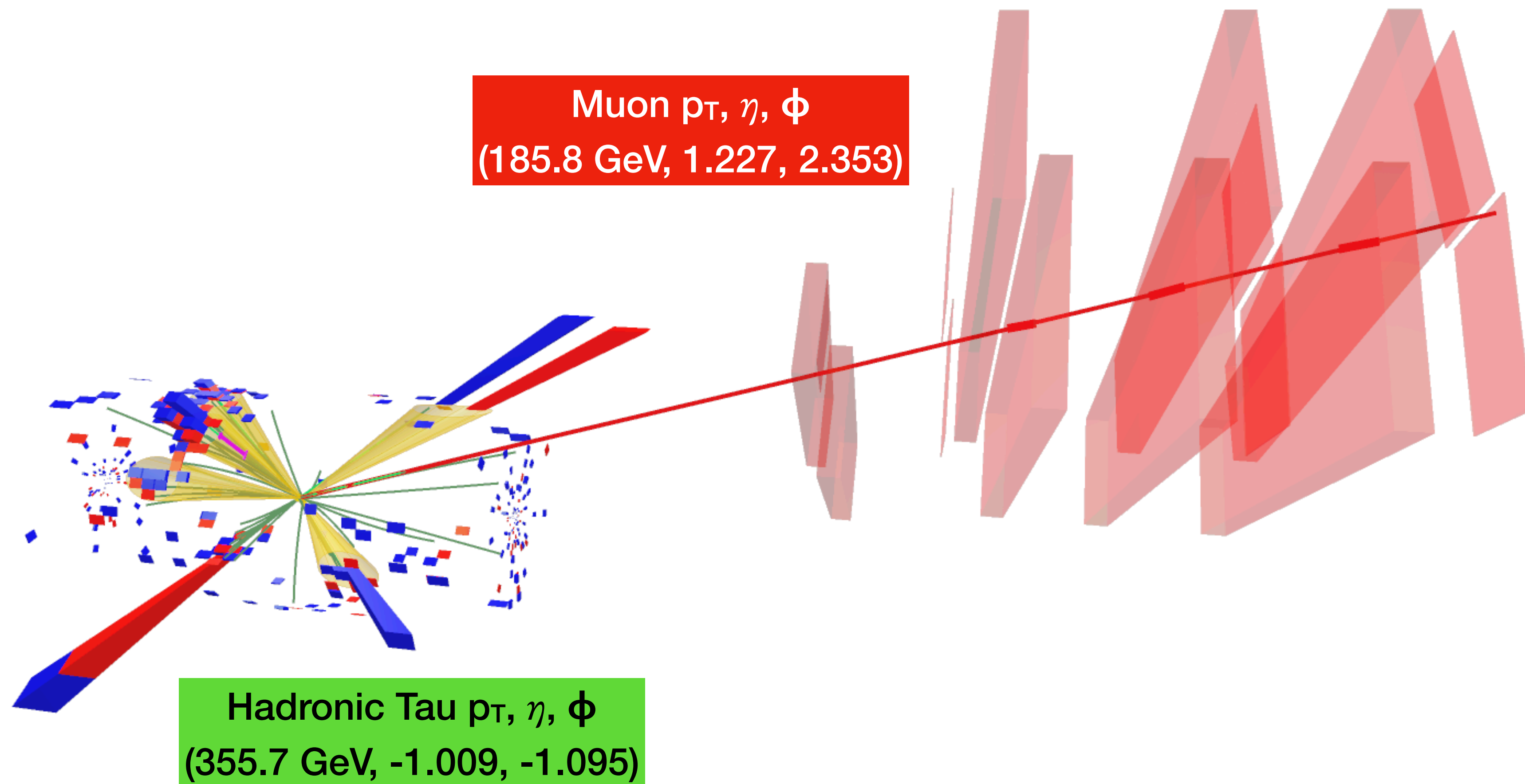
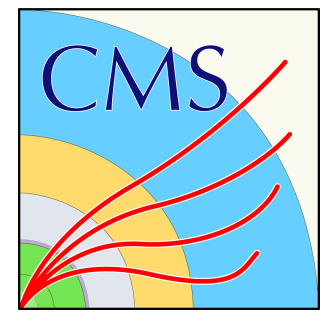


(run:lumi:event) = (1:54:81888) of (mWR,mN) = (4.8 TeV, 200GeV)

/WRtoTauNtoTauTauJets\_WR4800\_N200\_TuneCP5\_13TeV-madgraph-pythia8/RunIISummer20UL16MiniAODAPVv2-106X\_mcRun2\_asymptotic\_preVFP\_v11-v2/MINIAODSIM

# Signals

## Resolved Event Display

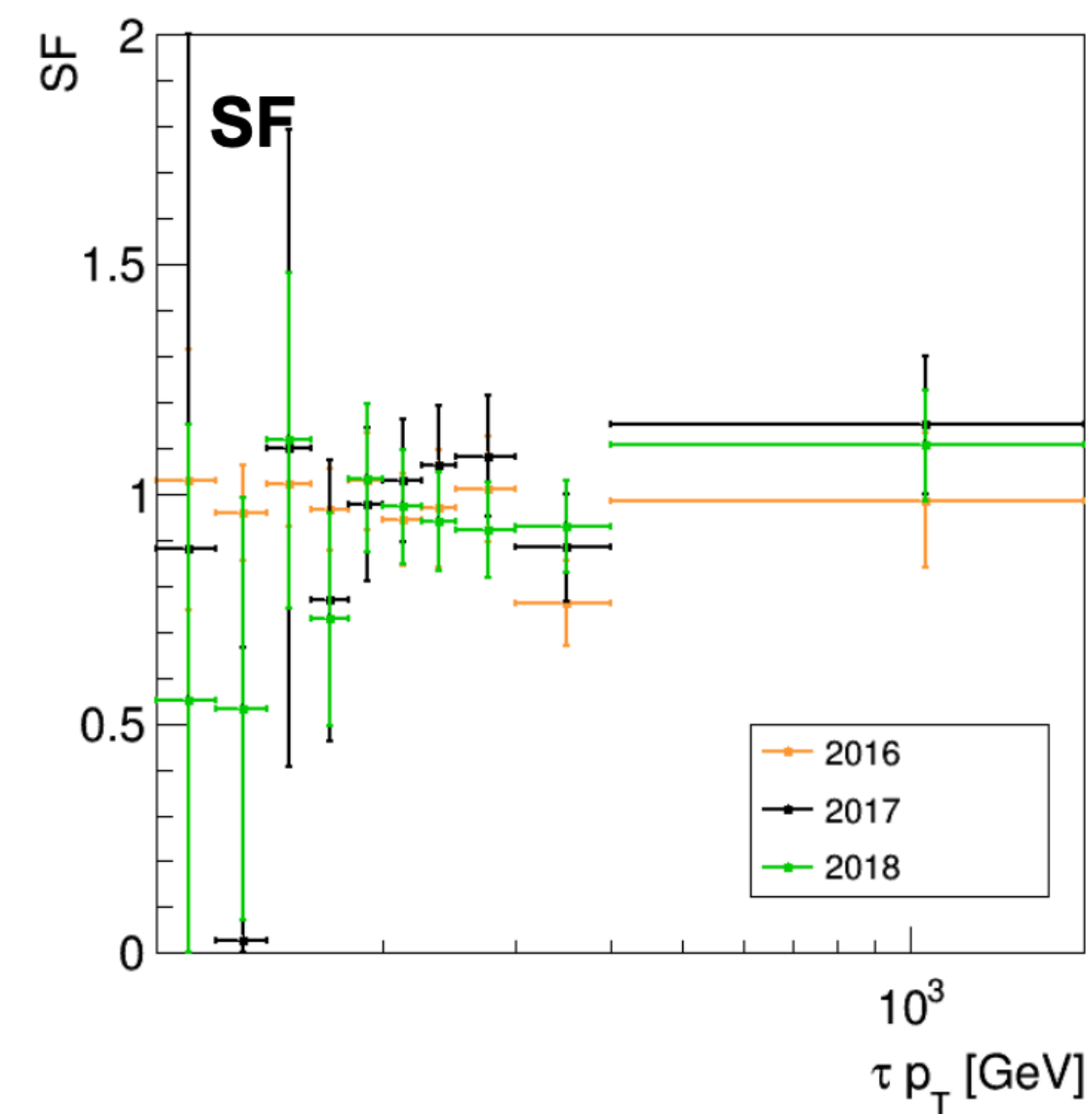
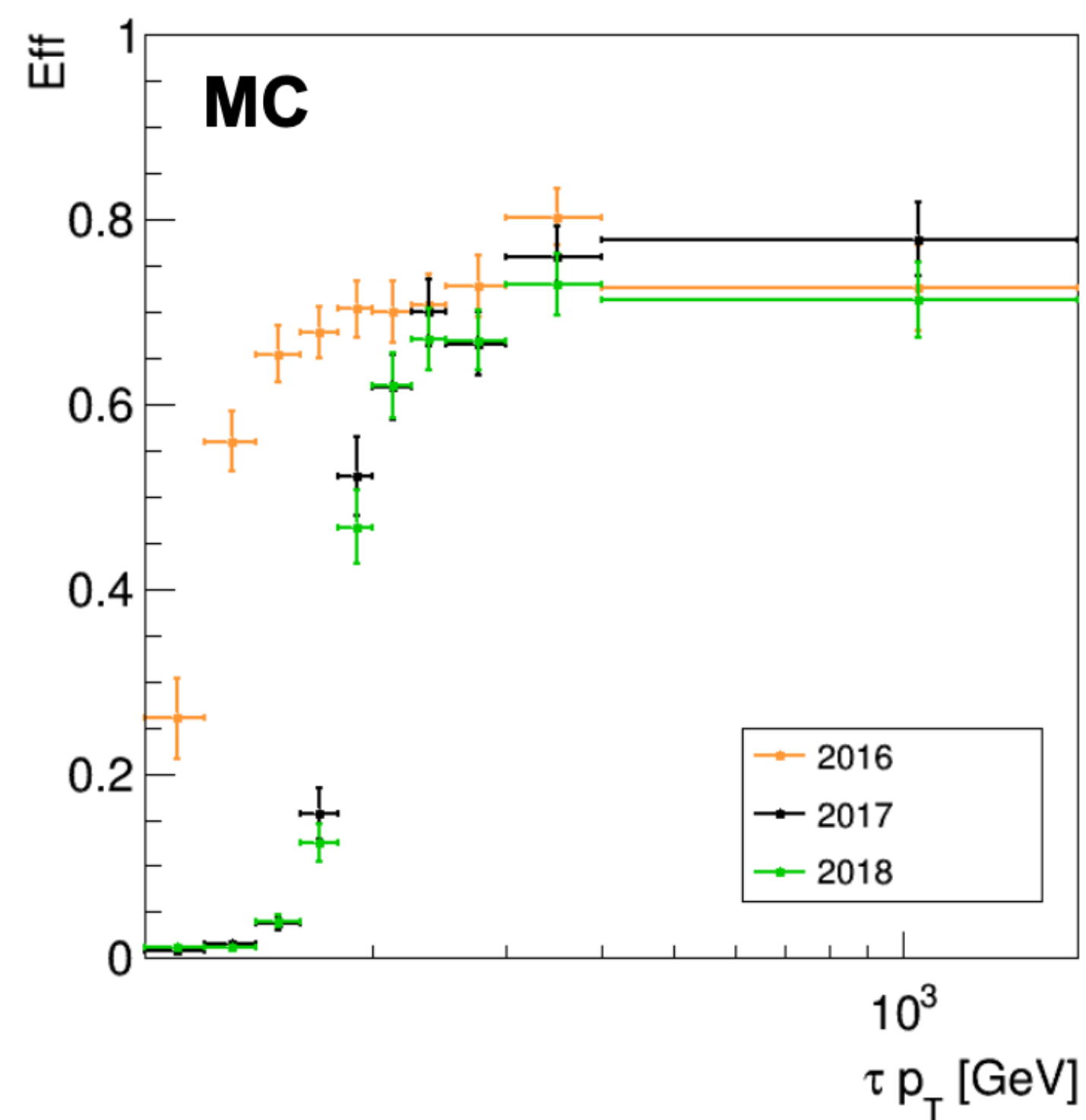
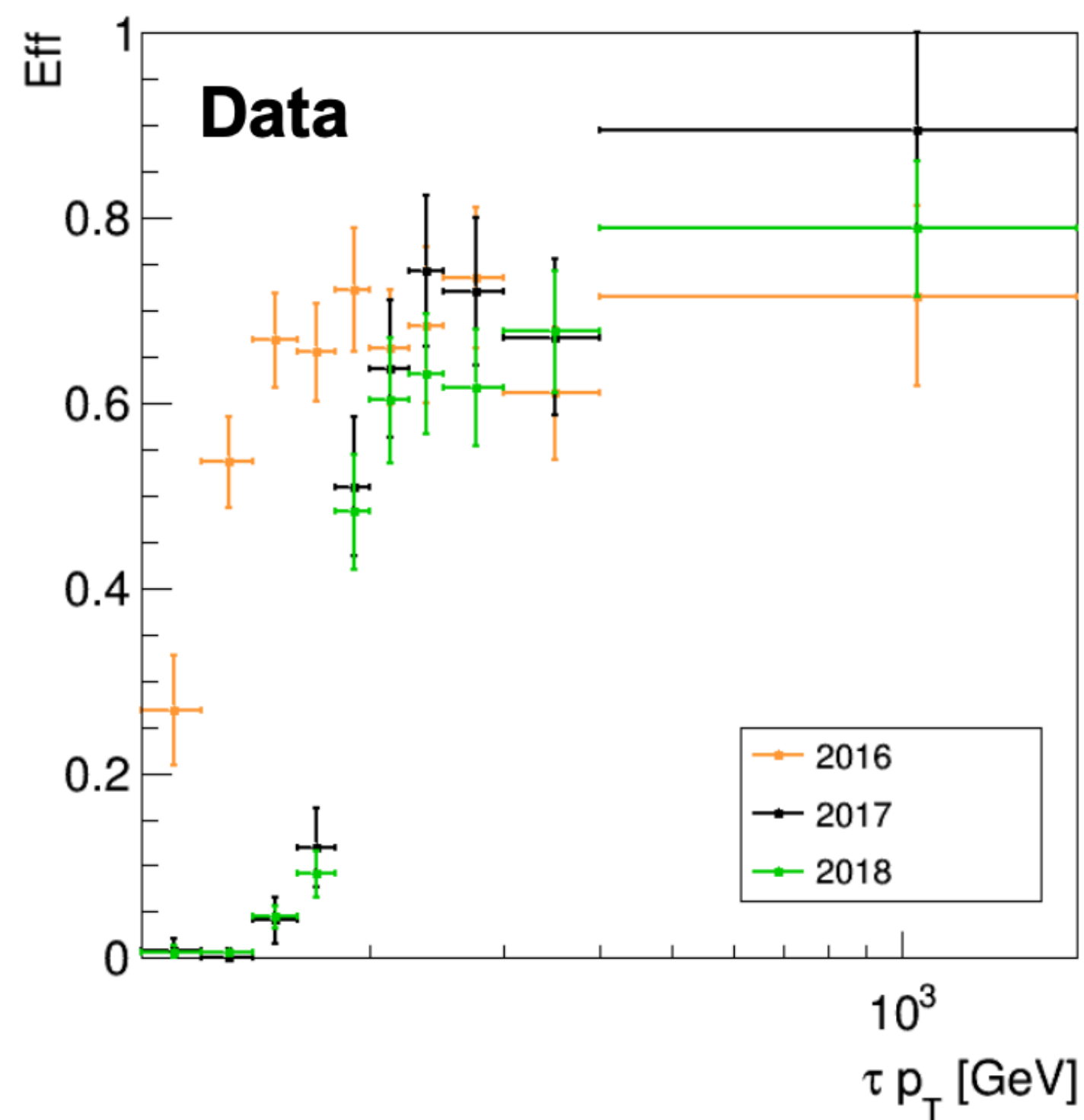
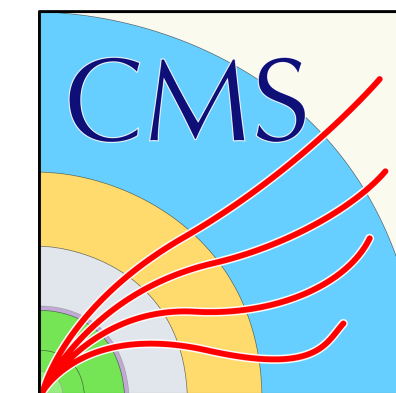


(run:lumi:event) = (1:24:37770) of (mWR,mN) = (4.8 TeV, 4.7 TeV)

/WRtoTauNtoTauTauJets WR4800 N4700 TuneCP5 13TeV-madgraph-pythia8/RunIISummer20UL16MiniAODAPVv2-106X mcRun2 asymptotic preVFP v11-v2/MINIAODSIM

# Trigger Efficiency

## Single Tau HLT



**Tau ID Meeting**  
(14th Dec. 2020)

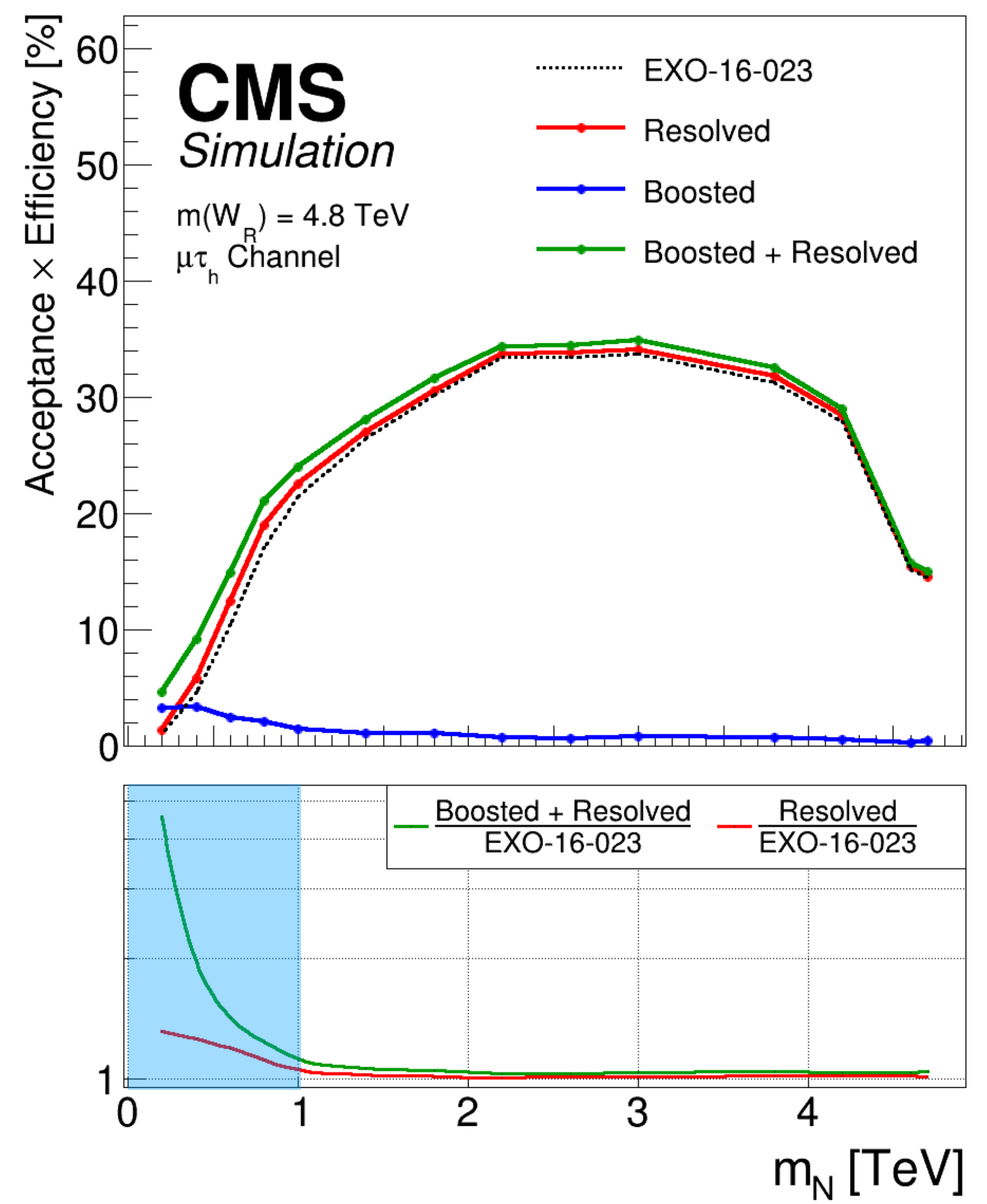
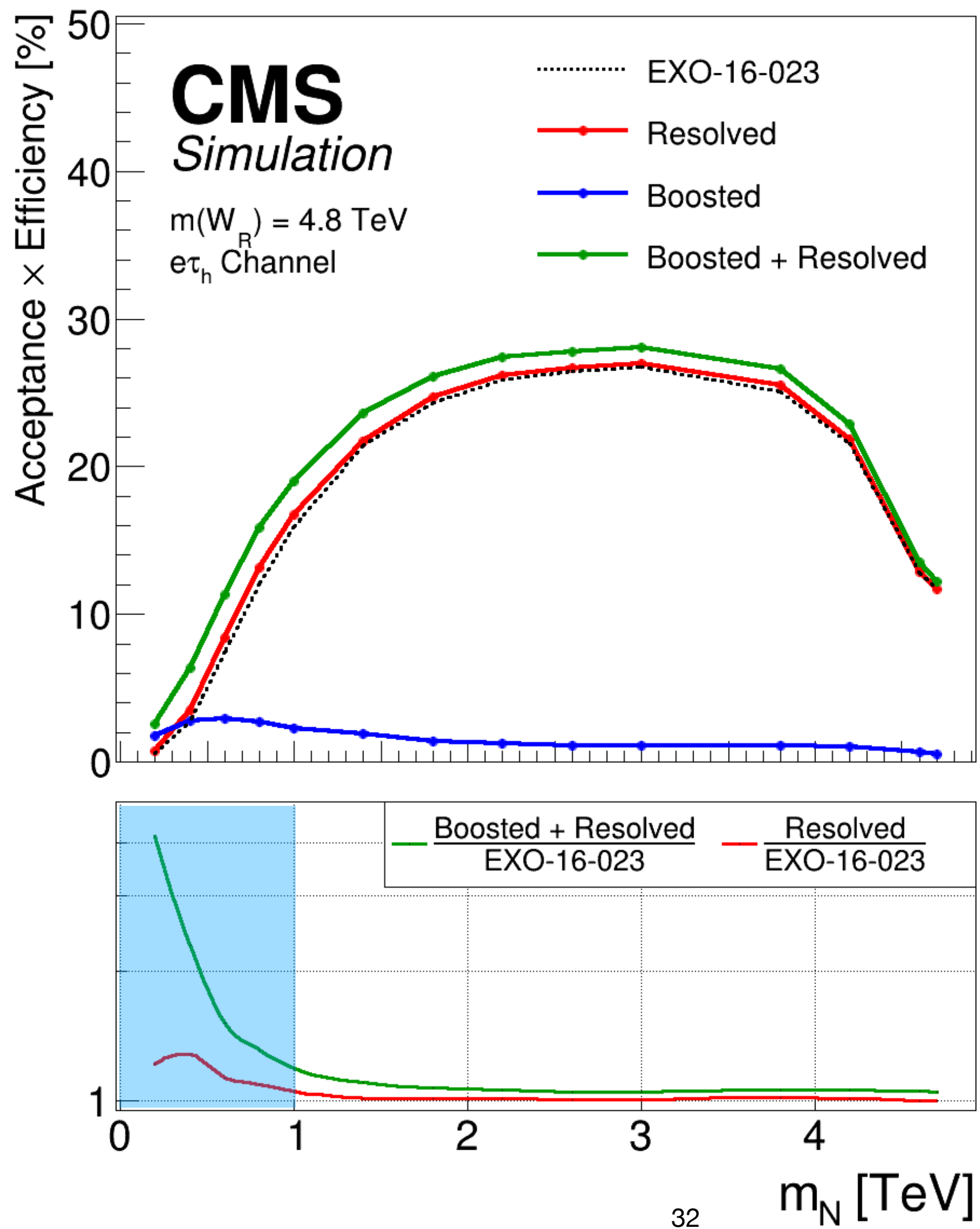
# Selection Efficiency

## Signals



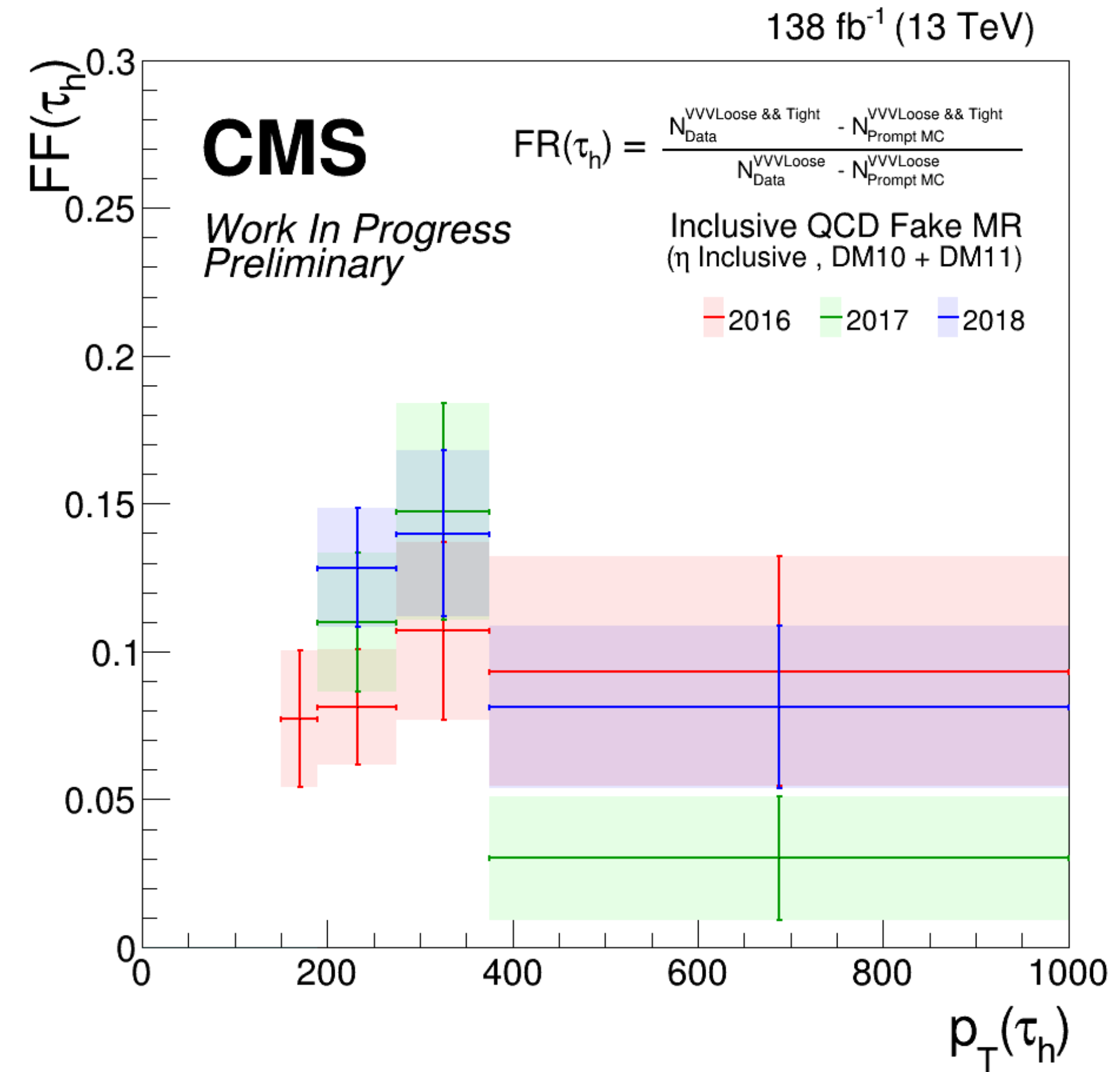
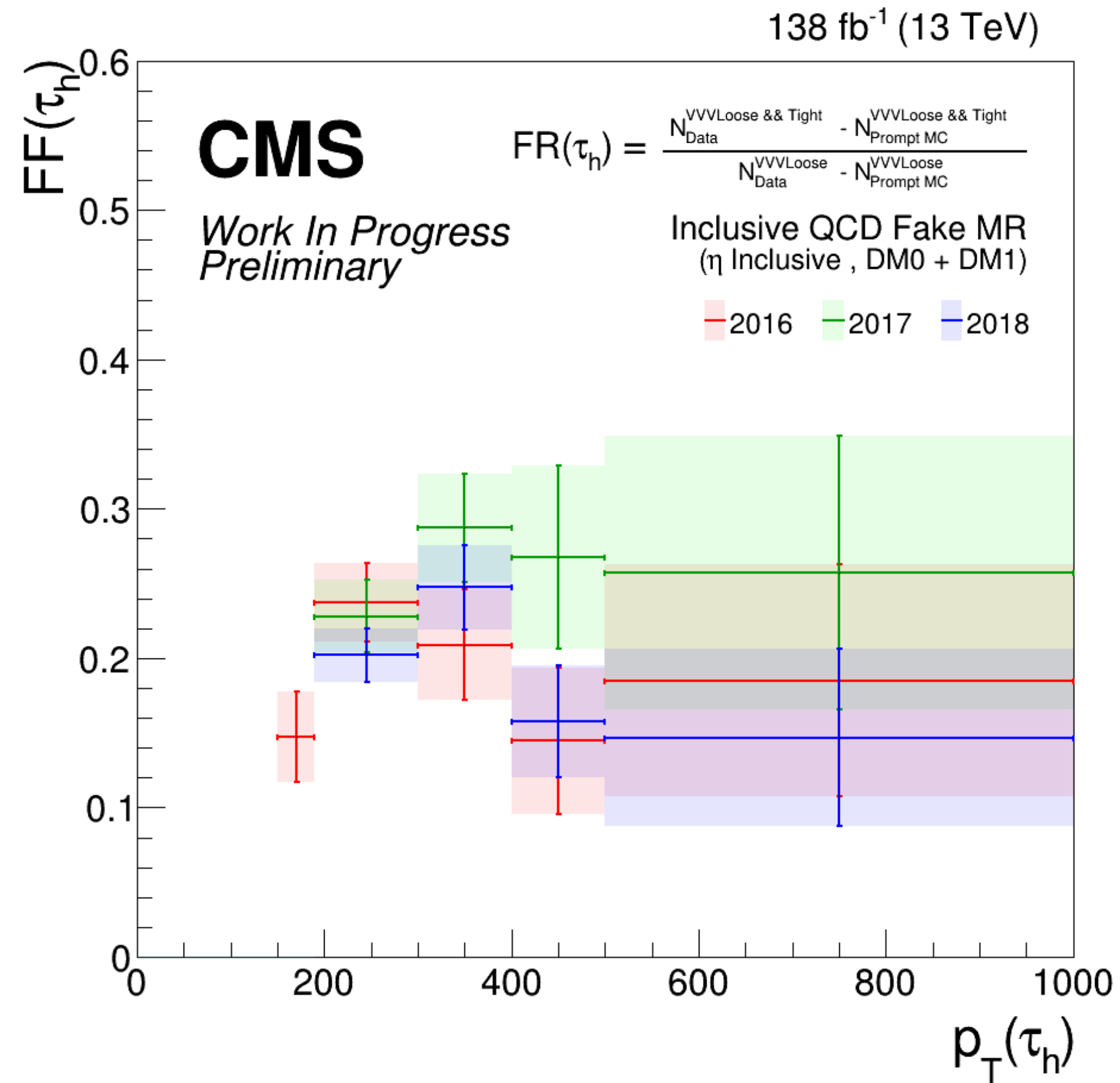
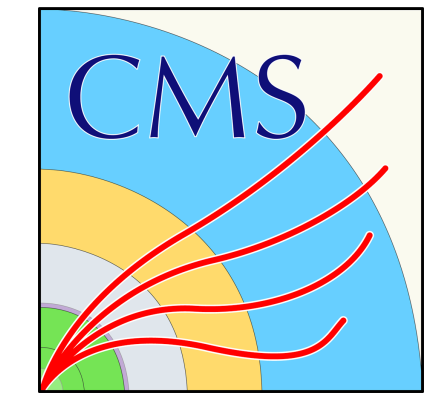
Efficiency calculated from  
corresponding  
Gen-matched channels

EXO-16-023 here is not  
exactly identical with the  
original selection



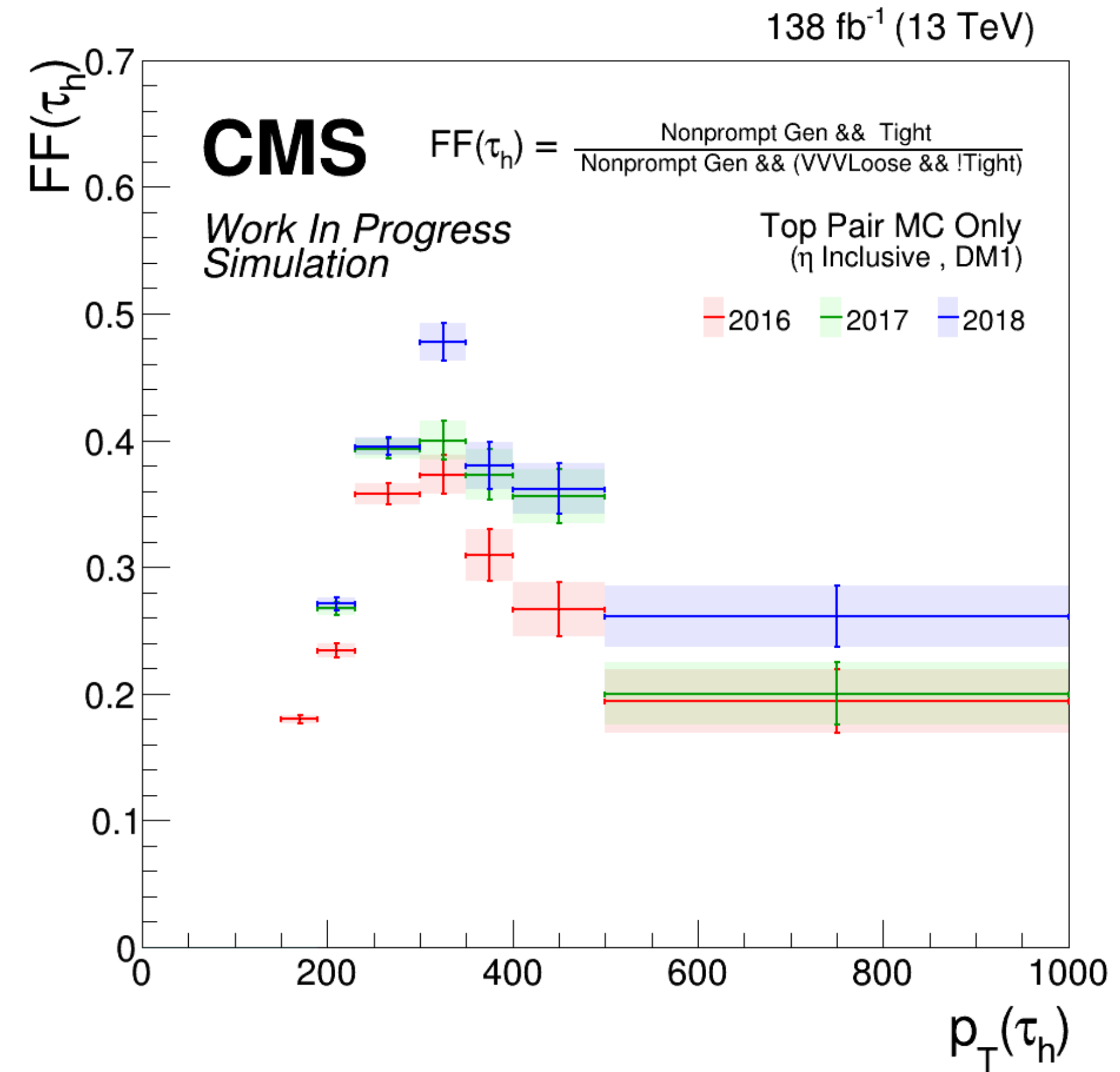
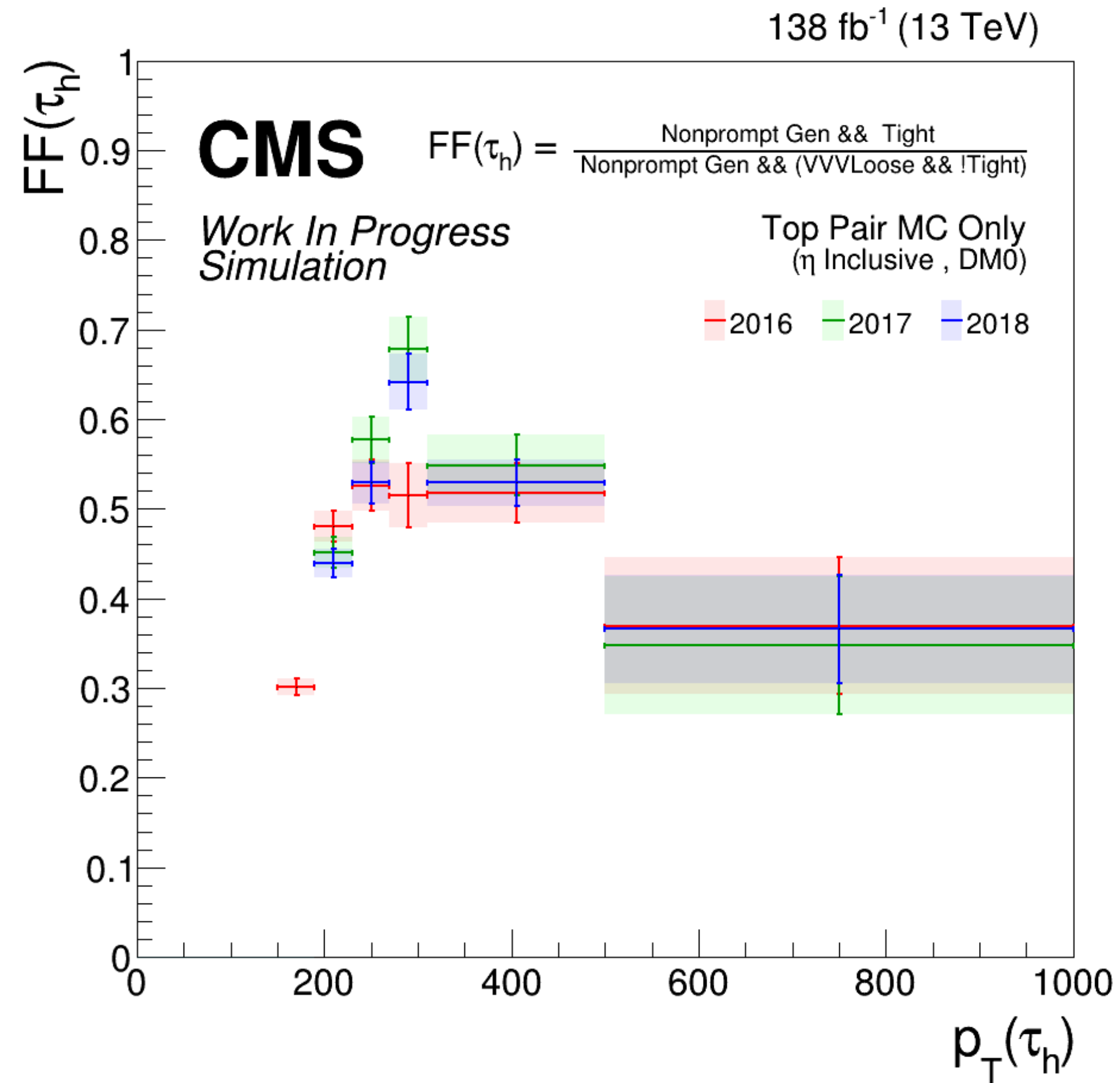
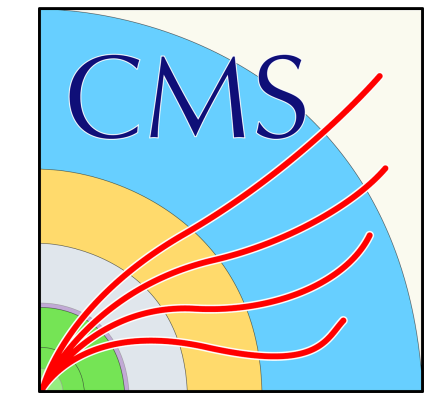
# Background Estimation

## QCD FF



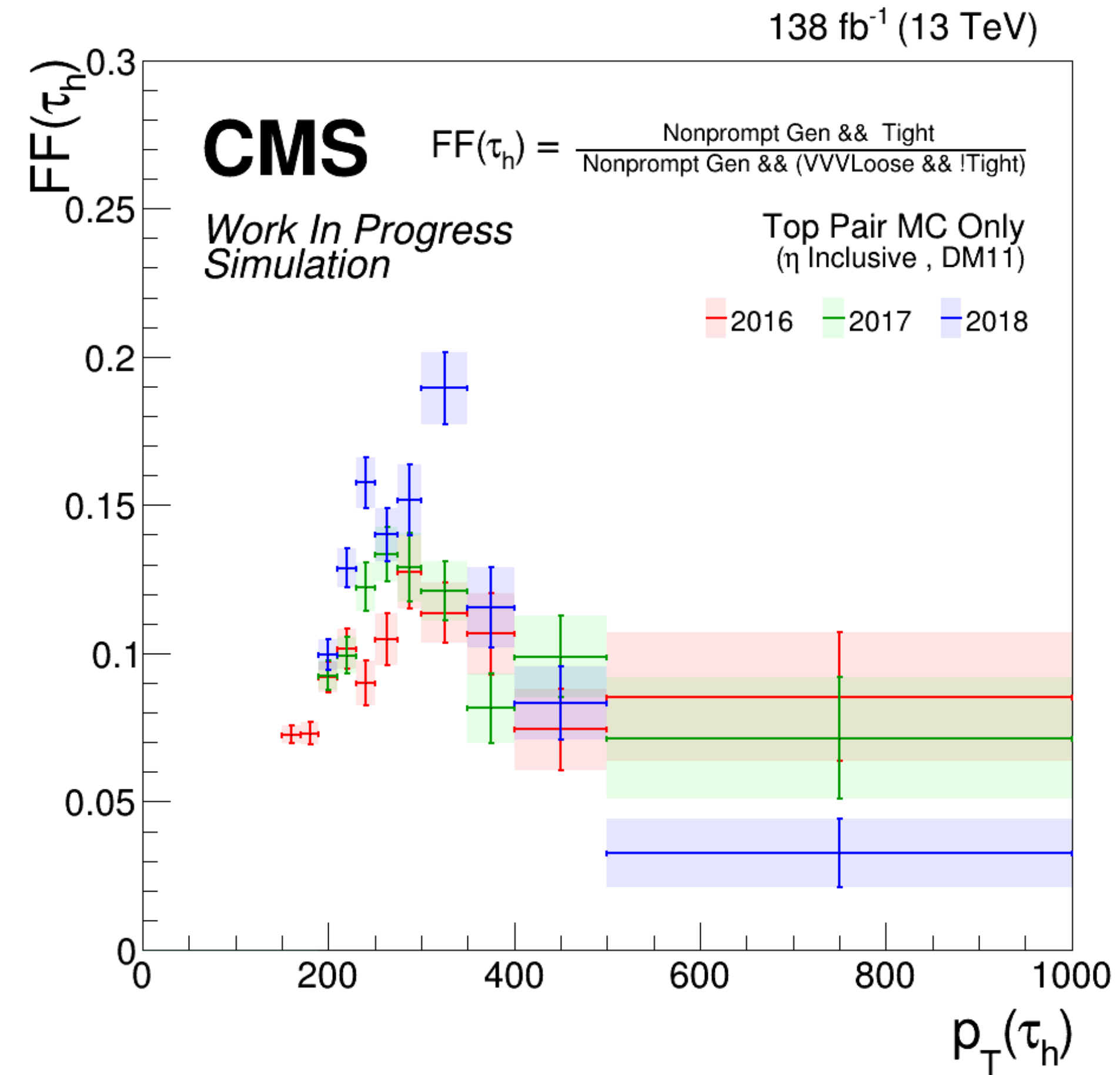
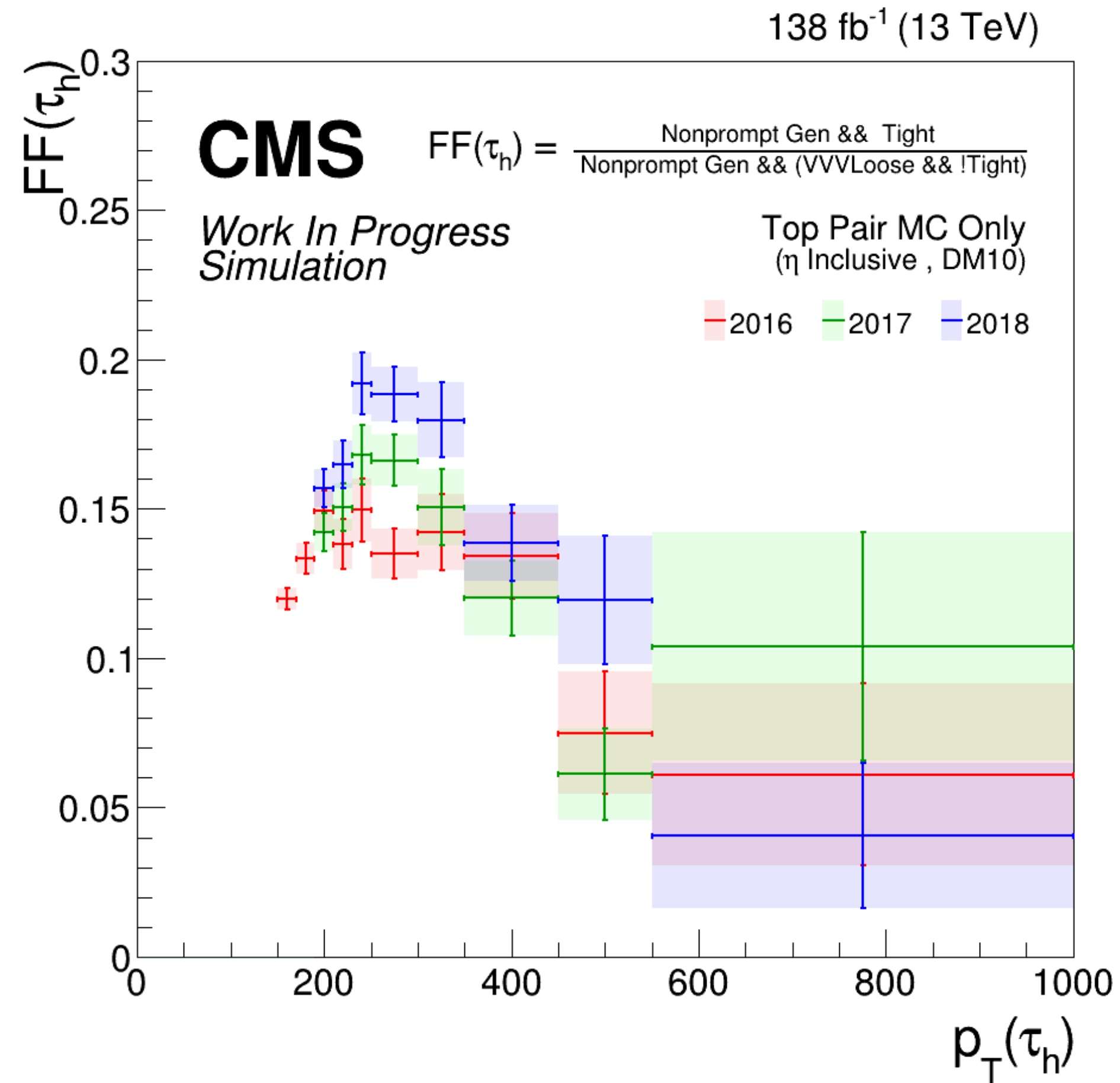
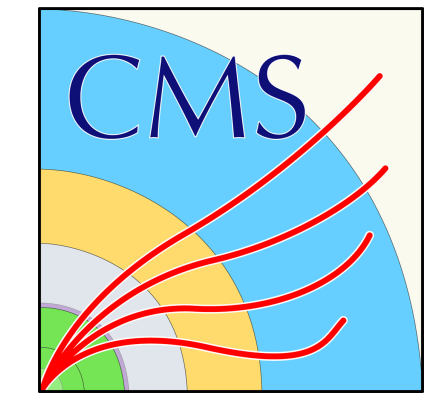
# Background Estimation

## Top FF



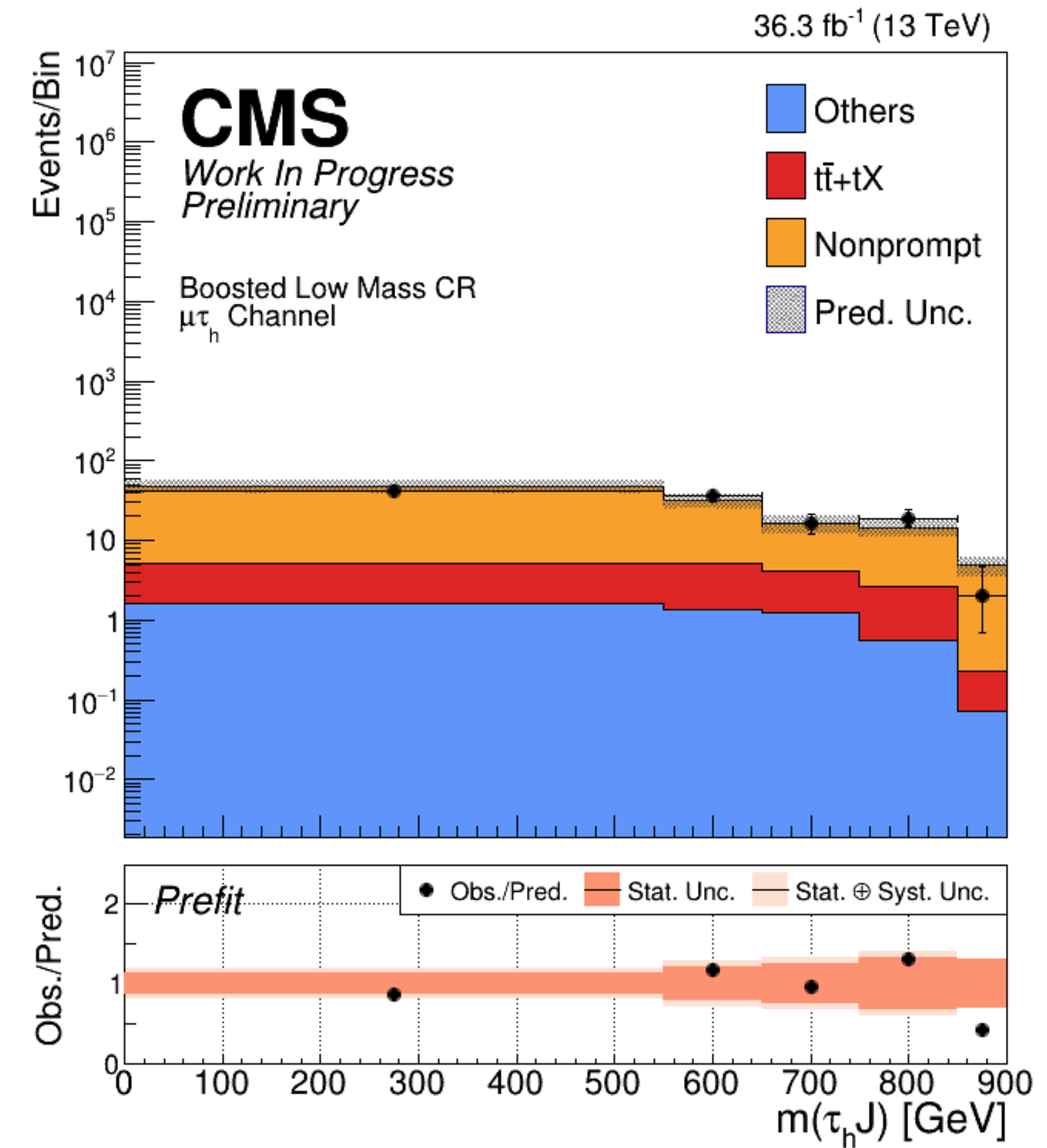
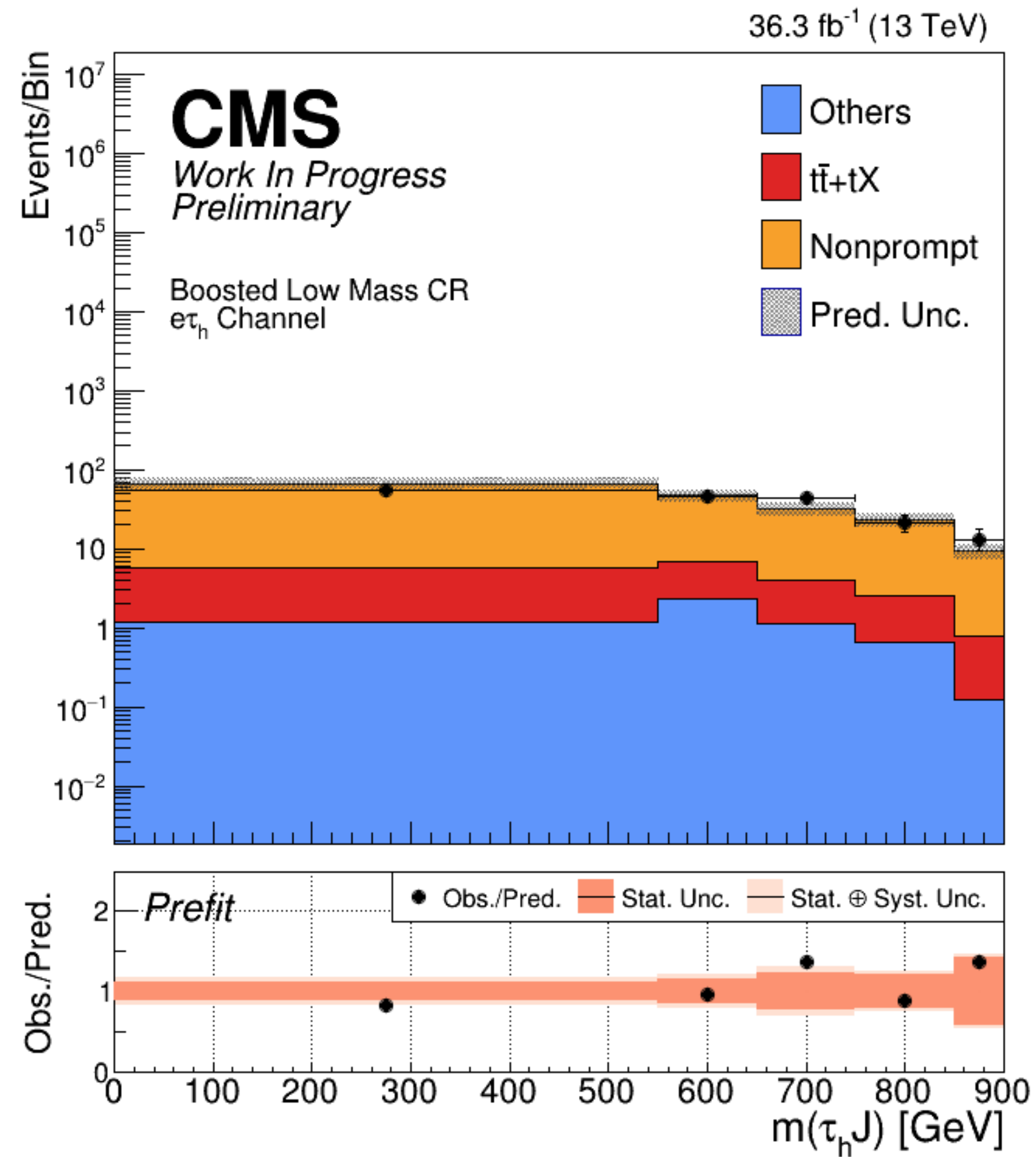
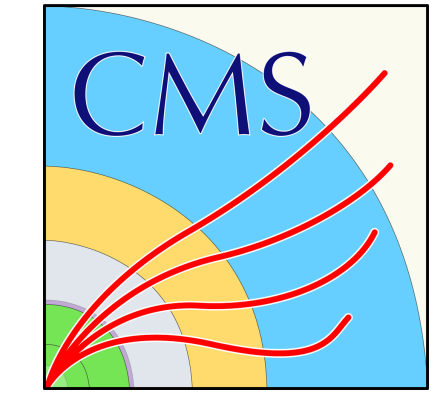
# Background Estimation

## Top FF



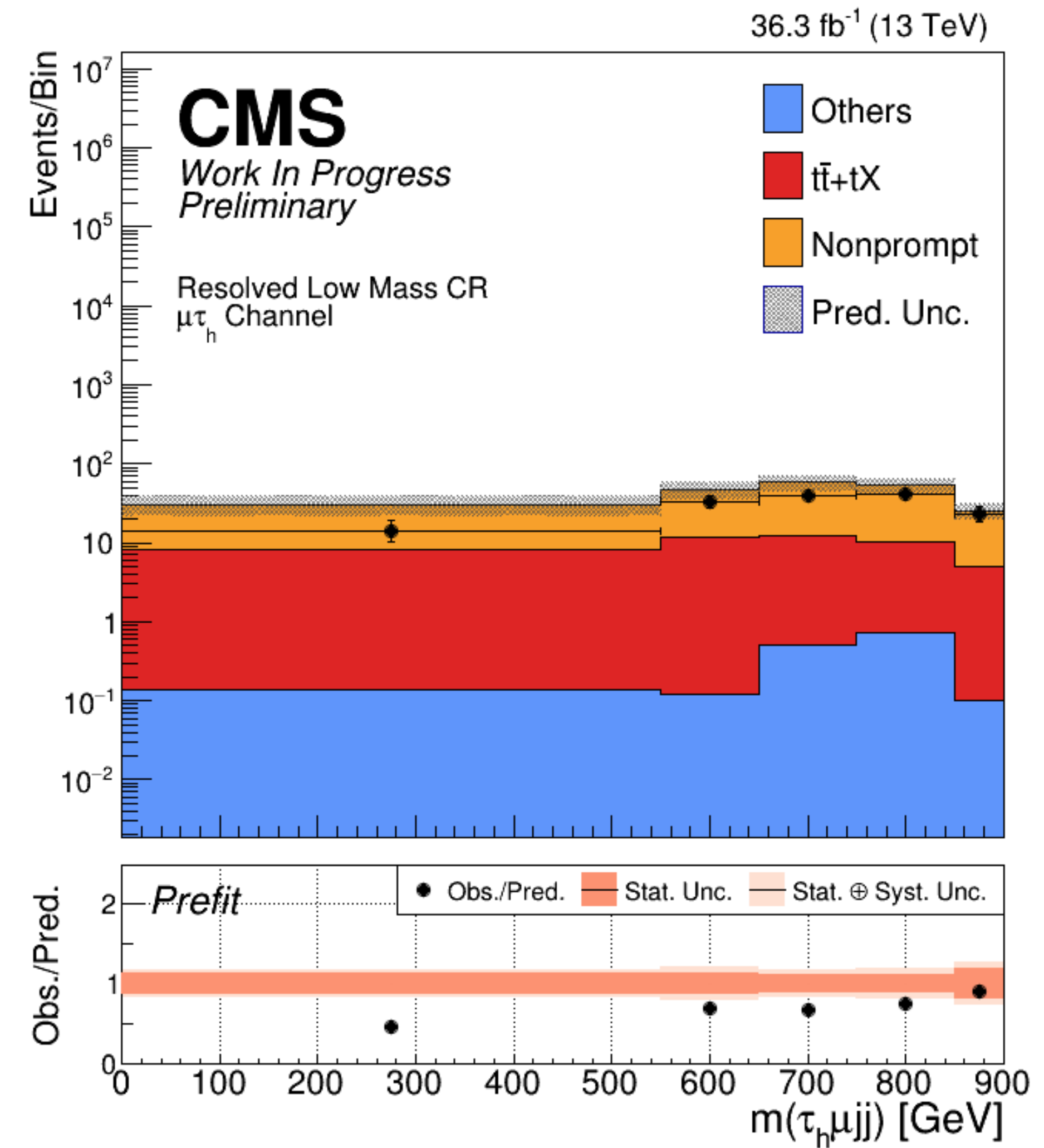
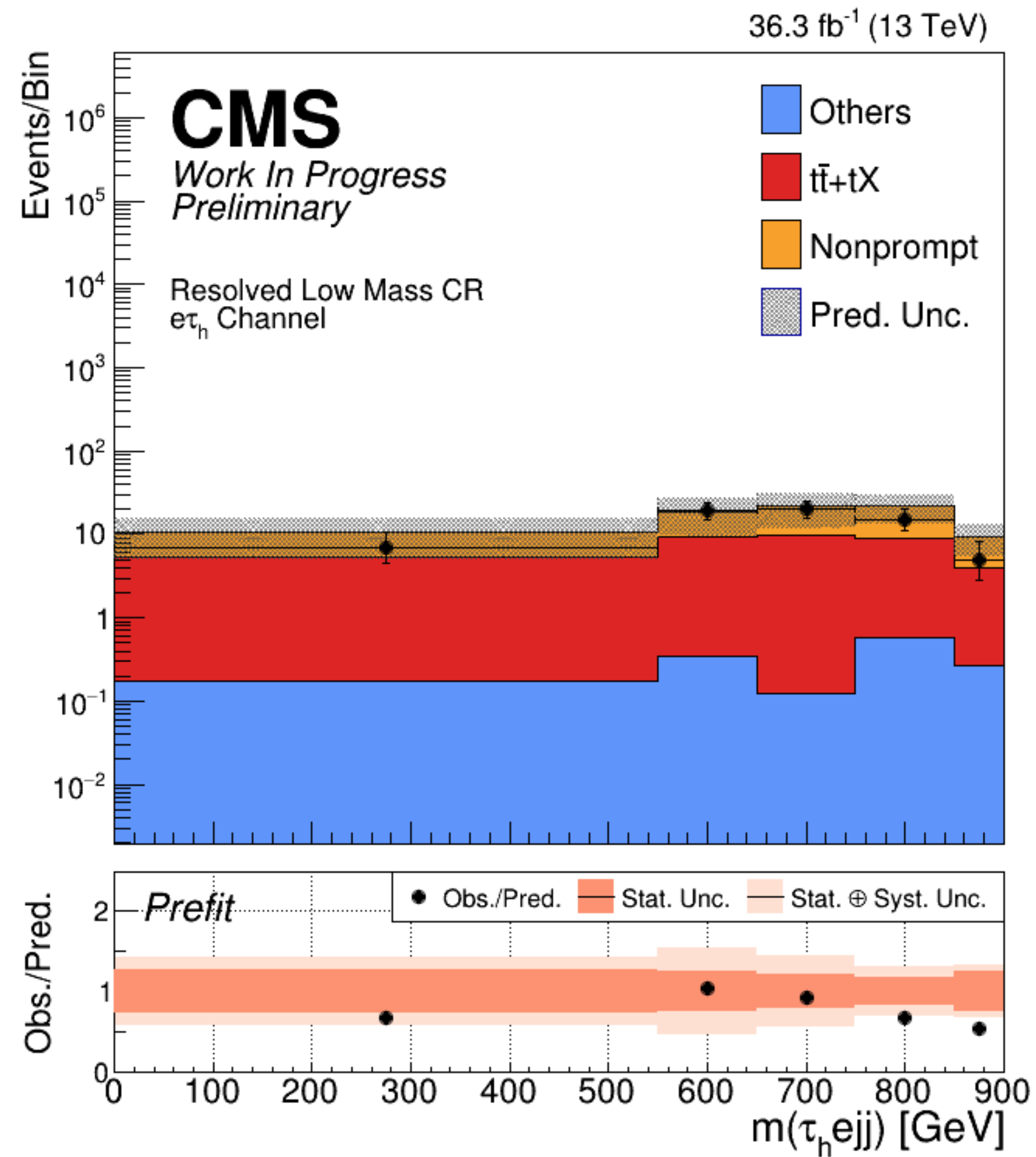
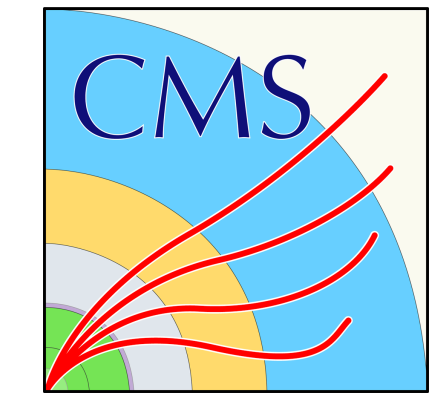
# Control Region

## 2016 Plots



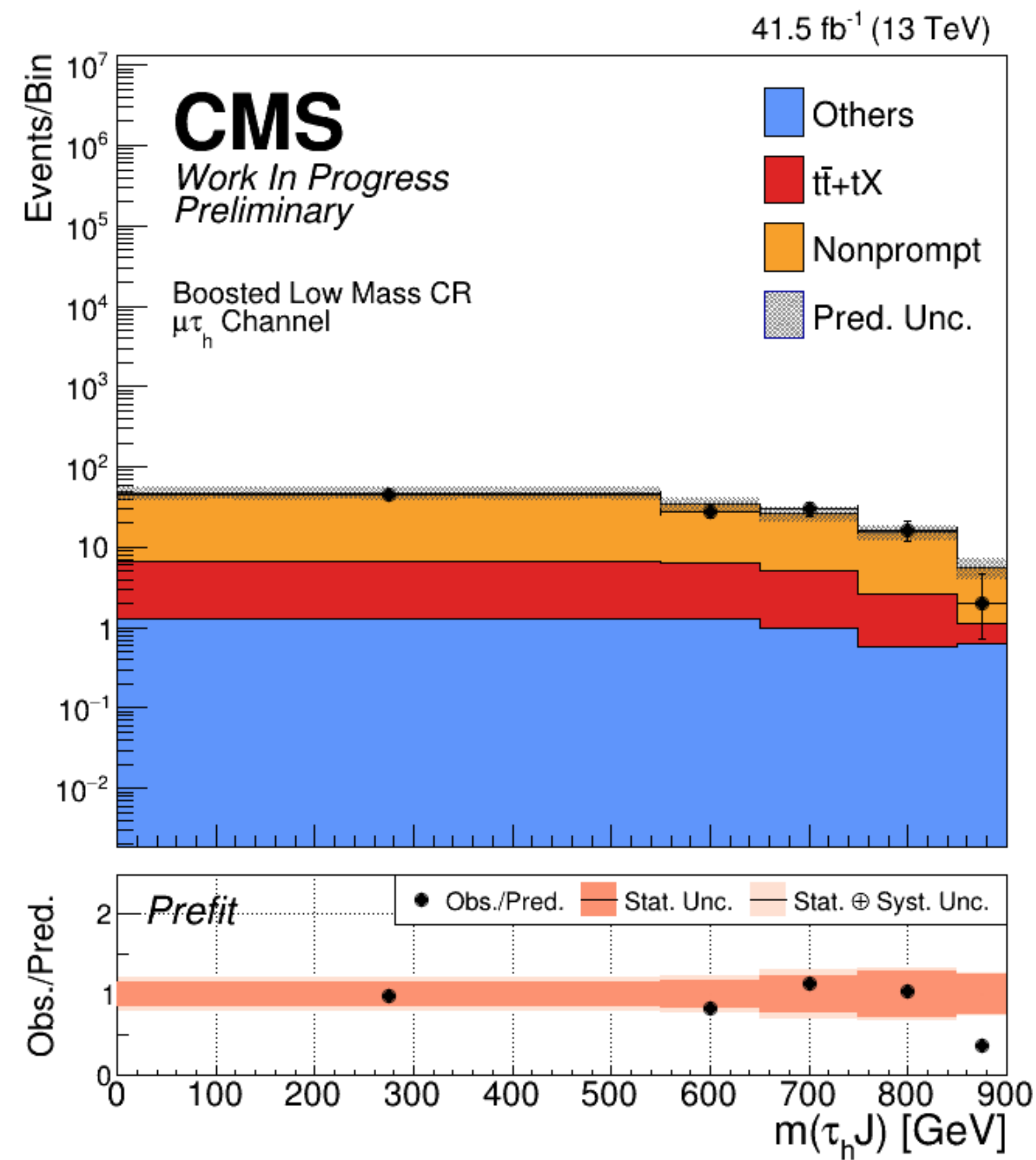
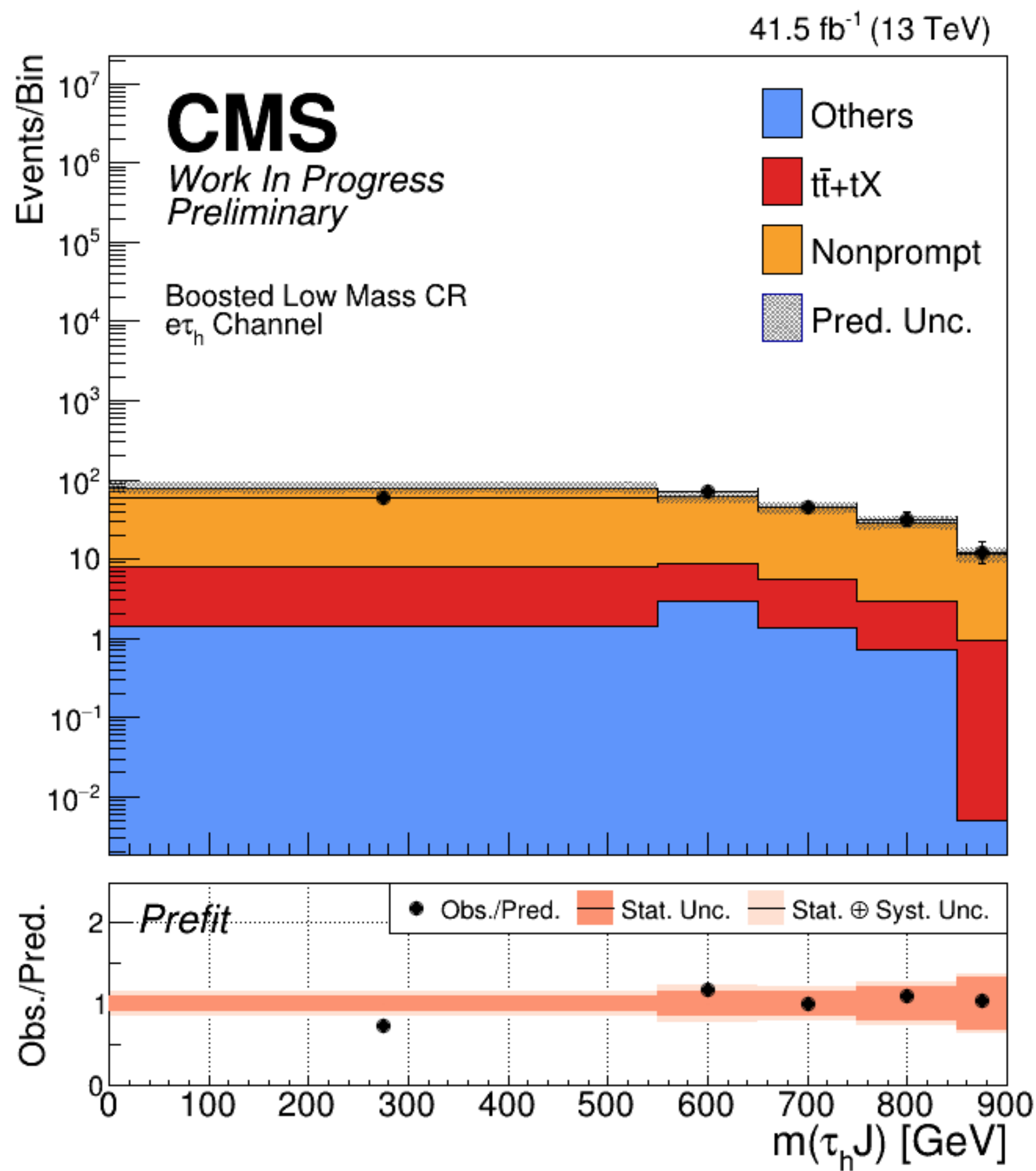
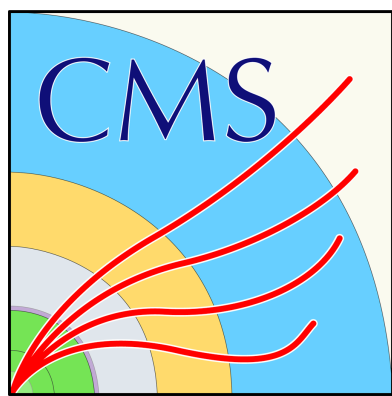
# Control Region

## 2016 Plots



# Control Region

## 2017 Plots



# Control Region

## 2017 Plots

